# Isogenies, Polarisations and Real Multiplication 2015/10/06 - Journées C2 - La Londe-Les-Maures 

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## Outline

(1) Isogenies on elliptic curves

2 Abelian varieties and polarisations
(3) Maximal isotropic isogenies
4. Cyclic isogenies and Real Multiplication
(5) Isogeny graphs in dimension 2

## Isogenies between elliptic curves

## Definition

An isogeny is a (non trivial) algebraic map $f: E_{1} \rightarrow E_{2}$ between two elliptic curves such that $f(P+Q)=f(P)+f(Q)$ for all geometric points $P, Q \in E_{1}$.

## Theorem

An algebraic map f: $E_{1} \rightarrow E_{2}$ is an isogeny if and only if $f\left(0_{E_{1}}\right)=0_{E_{2}}$

## Corollary

An algebraic map between two elliptic curves is either

- trivial (i.e. constant)
- or the composition of a translation with an isogeny.


## Remark

- Isogenies are surjective (on the geometric points). In particular, if $E$ is ordinary, any curve isogenous to $E$ is also ordinary.
- Two elliptic curves over $\mathbb{F}_{q}$ are isogenous if and only if they have the same number of points (Tate).


## Algorithmic aspect of isogenies

- Given a kernel $K \subset E(\bar{k})$ compute the isogenous elliptic curve $E / K$;
- Given a kernel $K \subset E(\bar{k})$ and $P \in E(k)$ compute the image of $P$ under the isogeny $E \rightarrow E / K$;
- Given a kernel $K \subset E(\bar{k})$ compute the map $E \rightarrow E / K$;
- Given an elliptic curve $E / k$ compute all isogenous (of a certain degree $d$ ) elliptic curves $E^{\prime}$;
- Given two elliptic curves $E_{1}$ and $E_{2}$ check if they are $d$-isogenous and if so compute the kernel $K \subset E_{1}(\bar{k})$.


## Algorithmic aspect of isogenies

- Given a kernel $K \subset E(\bar{k})$ compute the isogenous elliptic curve $E / K$ (Vélu's formulae [Vél71]);
- Given a kernel $K \subset E(\bar{k})$ and $P \in E(k)$ compute the image of $P$ under the isogeny $E \rightarrow E / K$ (Vélu's formulae [Vél71]);
- Given a kernel $K \subset E(\bar{k})$ compute the map $E \rightarrow E / K$ (formal version of Vélu's formulae [Koh96]);
- Given an elliptic curve $E / k$ compute all isogenous (of a certain degree $d$ ) elliptic curves $E^{\prime}$ (Modular polynomial [Eng09; BLS12]);
- Given two elliptic curves $E_{1}$ and $E_{2}$ check if they are $d$-isogenous and if so compute the kernel $K \subset E_{1}(\bar{k})$ (Elkie's method via a differential equation [Elk92; Bos+08]).
$\Rightarrow$ We have quasi-linear algorithms for all these aspects of isogeny computation over elliptic curves.


## Destructive cryptographic applications

- An isogeny $f: E_{1} \rightarrow E_{2}$ transports the DLP from $E_{1}$ to $E_{2}$. This can be used to attack the DLP on $E_{1}$ if there is a weak curve on its isogeny class (and an efficient way to compute an isogeny to it).


## Example

- Extend attacks using Weil descent [GHSO2]
- Transfert the DLP from the Jacobian of an hyperelliptic curve of genus 3 to the Jacobian of a quartic curve [Smiog].


## Constructive cryptographic applications

- One can recover informations on the elliptic curve $E$ modulo $\ell$ by working over the $\ell$-torsion.
- But by computing isogenies, one can work over a cyclic subgroup of cardinal $\ell$ instead.
- Since thus a subgroup is of degree $\ell$, whereas the full $\ell$-torsion is of degree $\ell^{2}$, we can work faster over it.


## Example

- The SEA point counting algorithm [Sch95; Mor95; Elk97];
- The CRT algorithms to compute class polynomials [Sut11; ES10];
- The CRT algorithms to compute modular polynomials [BLS12].


## Further applications of isogenies

- Splitting the multiplication using isogenies can improve the arithmetic [DIK06; Gau07];
- The isogeny graph of a supersingular elliptic curve can be used to construct secure hash functions [CLG09];
- Construct public key cryptosystems by hiding vulnerable curves by an isogeny (the trapdoor) [Tes06], or by encoding informations in the isogeny graph [RS06];
- Take isogenies to reduce the impact of side channel attacks [Sma03];
- Construct a normal basis of a finite field [CL09];
- Improve the discrete logarithm in $\mathbb{F}_{q}^{*}$ by finding a smoothness basis invariant by automorphisms [CL08].


## Computing explicit isogenies

- If $E_{1}$ and $E_{2}$ are two elliptic curves given by short Weierstrass equations $y^{2}=x^{3}+a_{i} x+b_{i}$ an isogeny $f: E_{1} \rightarrow E_{2}$ is of the form

$$
f(x, y)=\left(R_{1}(x), y R_{2}(x)\right)
$$

where $R_{1}$ and $R_{2}$ are rational functions. (Exercice: $f\left(0_{E_{1}}\right)=0_{E_{2}}$; what does this implies on the degrees of $R_{1}$ and $R_{2}$ ?)

- Let $w_{E}=d x / 2 y$ be the canonical differential. Then $f^{*} w_{E^{\prime}}=c w_{E}$, with $c$ in $k$ so

$$
f(x, y)=\left(\frac{g(x)}{h(x)}, c y\left(\frac{g(x)}{h(x)}\right)^{\prime}\right),
$$

where $h(x)=\prod_{P \in \operatorname{Kerf}\left\{\left\{_{E}\right\}\right.}\left(x-x_{P}\right)$.

## Theorem ([Vél71])

Given the equation $h$ of the kernel Kerf, Vélu's formula can compute the isogeny $f$ in time linear in degf.

## Modular polynomials

Here $k=\bar{k}$.

## Definition (Modular polynomial)

The modular polynomial $\varphi_{\ell}(x, y) \in \mathbb{Z}[x, y]$ is a bivariate polynomial such that $\varphi_{\ell}(x, y)=0 \Leftrightarrow x=j\left(E_{1}\right)$ and $y=j\left(E_{2}\right)$ with $E_{1}$ and $E_{2} \ell$-isogeneous.

- Roots of $\varphi_{\ell}\left(j\left(E_{1}\right),.\right) \Leftrightarrow$ elliptic curves $\ell$-isogeneous to $E_{1}$. There are $\ell+1=\# \mathbb{P}^{1}\left(\mathbb{F}_{\ell}\right)$ such roots if $\ell$ is prime.
- $\varphi_{\ell}$ is symmetric;
- The height of $\varphi_{\ell}$ grows as $\widetilde{O}(\ell)$;
- $\varphi_{\ell}$ has total size $\widetilde{O}\left(\ell^{3}\right)$.

- Let $E / \mathbb{F}_{q}$ be an ordinary elliptic curve, $\chi_{\pi}=X^{2}-t X+q$ the characteristic polynomial of the Frobenius $\pi$;
- $\# E\left(\mathbb{F}_{q}\right)=1-t+q$.
- $\Delta_{\pi}=t^{2}-4 q<0$ (since $t \leqslant 2 \sqrt{q}$ by Hasse) so $\operatorname{End}(E) \supset \mathbb{Z}[\pi]$ is an order in $K=\mathbb{Q}\left(\sqrt{\Delta_{\pi}}\right)$ a quadratic imaginary field;
- Write $\Delta_{\pi}=\Delta_{0} f^{2}$, where $\Delta$ is the discriminant of $K$, then $f$ is the conductor of $\mathbb{Z}[\pi] \subset O_{K}$.
- Conversely fix $N$ in the Hasse-Weil interval, and let $t=1+q-N$ and $O_{K}$ be the maximal order in $\mathbb{Q}\left(\sqrt{\Delta_{\pi}}\right)$;
- If $E / \mathbb{F}_{q}$ has endomorphism ring $O_{K}$ (or an order in $K$ containing $\mathbb{Z}[\pi]$ ), then $\# E\left(\mathbb{F}_{q}\right)=N$.


## Complex Multiplication

## Theorem (Fondamental theorem of Complex Multiplication)

Let $K$ be a quadratic imaginary field, $E / \mathbb{C}$ an elliptic curve with $\operatorname{End}(E)=O_{K}$.

- $j(E)$ is algebraic and $K(j(E))$ is the Hilbert class field $\mathfrak{H}_{K}$ of $K$ (the maximal unramified abelian extension of $K$ ).
- The minimal polynomial of $j(E)$ is

$$
H_{K}(X)=\prod_{\sigma \in \operatorname{Gal}\left(\mathfrak{H}_{K} / K\right) \simeq \mathrm{Cl}(K)}(X-\sigma(j(E)))=\prod_{E_{i} / \mathbb{C} \mid \operatorname{End}\left(E_{i}\right)=O_{K}}\left(X-j\left(E_{i}\right)\right) \in \mathbb{Z}[X]
$$

where for $\sigma=[I] \in \operatorname{Gal}\left(\mathfrak{H}_{K} / K\right) \simeq \mathrm{Cl}(K), \sigma(j(E))=j(E / E[I])$;

- If $p=\mathfrak{p}_{1} \mathfrak{p}_{2}$ splits in $K$, and $\mathfrak{P}$ is a prime above $p$ in $\mathfrak{H}_{K}$ then $E$ has good reduction at $p$ and $E_{\mathbb{F}_{\mathfrak{F}}}$ is an ordinary elliptic curve over $\mathbb{F}_{\mathfrak{P}}$. The extension $\mathbb{F}_{\mathfrak{P}} / \mathbb{F}_{p}$ has degree the order of $\left[\mathfrak{p}_{i}\right] \in \mathrm{Cl}\left(O_{K}\right)$ and $\operatorname{End}\left(E_{\mathbb{F}_{\mathfrak{P}}}\right)=O_{K}$
- In particular if $p$ splits completely in $\mathfrak{H}_{K}$ (or equivalently if $\mathfrak{p}_{i}$ is principal), then $H_{K}$ splits over $\mathbb{F}_{p}$ :

$$
H_{K} \equiv \prod_{E / \mathbb{F}_{p} \mid \operatorname{End}(E)=O_{K}}(X-j(E)) \bmod p
$$

## The CRT method to compute the class polynomial $H_{K}$

(1) Find $p$ completely split in $\mathfrak{H}_{K}$;
(2) Find all $\# \mathrm{Cl}(K)$ elliptic curves $E$ over $\mathbb{F}_{p}$ with $\operatorname{End}(E)=O_{K}$;
(0) Recover $H_{K} \bmod p=\prod_{E / \mathcal{F}_{\nu} \mid \operatorname{End}(E)=o_{K}}(X-j(E))$;
(1) Iterate the process for several primes $p_{i}$ and use the CRT to recover $H_{K}$ from $H_{K} \bmod p_{i}$.

## Theorem ([Bel+08; Sut11])

Using isogenies in Step 3 to

- Compute End $(E)$ for a random $E / \mathbb{F}_{p}$;
- Go up in the volcano once a curve $E$ in the right isogeny class is found;
- Once a curve $E / \mathbb{F}_{p}$ is found with $\operatorname{End}(E)=O_{K}$ compute all the others directly from the action of $\mathrm{Cl}(K)$;
yields a quasi-linear algorithm.


## Computing End $(E)$ and going up in the volcano [Koh96; FM02]

- If $E / \mathbb{F}_{q}$ is ordinary, $\# E\left(\mathbb{F}_{q}\right)$ gives $\pi$ and so $\mathbb{Z}[\pi] \subset \operatorname{End}(E) \subset O_{K}$;
- It remains to compute the conductor $f$ of $\operatorname{End}(E)$;
- It suffices to compute $v_{\ell}(f)$ for $\ell$ dividing the conductor $f_{\pi}$ of $\mathbb{Z}[\pi]$;
- In the $\ell$-isogeny graph, following three paths allows to determine the height we are on, and from it the valuation $v_{\ell}(f)$.
- A similar method is used to go up in the volcano.


## Definition

A complex abelian variety $A$ of dimension $g$ is isomorphic to a compact Lie group $V / \Lambda$ with

- A complex vector space $V$ of dimension $g$;
- A $\mathbb{Z}$-lattice $\Lambda$ in $V$ (of rank $2 g$ );
- An Hermitian form $H$ on $V$ with $E(\Lambda, \Lambda) \subset \mathbb{Z}$ where $E=\operatorname{Im} H$ is symplectic.
- Such an Hermitian form $H$ is called a polarisation on $A$. Conversely, any symplectic form $E$ on $V$ such that $E(\Lambda, \Lambda) \subset \mathbb{Z}$ and $E(i x, i y)=E(x, y)$ for all $x, y \in V$ gives a polarisation $H$ with $E=\operatorname{Im} H$.
- Over a symplectic basis of $\Lambda, E$ is of the form.

$$
\left(\begin{array}{cc}
0 & D_{\delta} \\
-D_{\delta} & 0
\end{array}\right)
$$

where $D_{\delta}$ is a diagonal positive integer matrix $\delta=\left(\delta_{1}, \delta_{2}, \ldots, \delta_{g}\right)$ and $\delta_{1}\left|\delta_{2}\right| \cdots \mid \delta_{g}$.

- $\operatorname{deg} H=\prod \delta_{i} ; H$ is a principal polarisation if $\operatorname{deg} H=1$.


## Principal polarisations

- If $A$ is principally polarised, $A=\mathbb{C}^{g} /\left(\Omega \mathbb{Z}^{g} \oplus \mathbb{Z}^{g}\right)$ where the matrix $\Omega$ is in $\mathfrak{H}_{g}$, the Siegel space of symmetric matrices $\Omega$ with $\operatorname{Im} \Omega$ positive definite;
- The principal polarisation $H$ is given by the matrix $(\operatorname{lm} \Omega)^{-1}$.
- The choice of a symplectic basis gives an action of $\mathrm{Sp}_{2 g}(\mathbb{Z})$ on $\mathfrak{H}_{g}$ :

$$
\left(\begin{array}{ll}
a & b \\
c & d
\end{array}\right) \cdot \Omega=(a \Omega+b)(c \Omega+d)^{-1}
$$

- The moduli space of principally polarised abelian varieties is isomorphic to $\mathfrak{H}_{g} / \mathrm{Sp}_{2 g}(\mathbb{Z})$ and has dimension $g(g+1) / 2$.


## Examples

- In dimension 1 all abelian varieties are principally polarised and are exactly the elliptic curves;
- In dimension 2 the absolutely simple principally polarised abelian surfaces are a Jacobian of an hyperelliptic curve of genus 2;
- In dimension 3 the absolutely simple principally polarised abelian threefold are a Jacobian of a curve of genus 3 .


## Isogenies

Let $A=V / \Lambda$ and $B=V^{\prime} / \Lambda^{\prime}$.

## Definition

An isogeny $f: A \rightarrow B$ is a bijective linear map $f: V \rightarrow V^{\prime}$ such that $f(\Lambda) \subset \Lambda^{\prime}$. The kernel of the isogeny is $f^{-1}\left(\Lambda^{\prime}\right) / \Lambda \subset A$ and its degree is the cardinal of the kernel.

- Two abelian varieties over a finite field are isogenous iff they have the same zeta function (Tate);
- A morphism of abelian varieties $f: A \rightarrow B$ (seen as varieties) is a group morphism iff $f\left(0_{A}\right)=0_{B}$.


## The dual abelian variety

## Definition

If $A=V / \Lambda$ is an abelian variety, its dual is $\widehat{A}=\operatorname{Hom}_{\overline{\mathbb{C}}}(V, \mathbb{C}) / \Lambda^{*}$. Here $\operatorname{Hom}_{\overline{\mathbb{C}}}(V, \mathbb{C})$ is the space of anti-linear forms and $\Lambda^{*}=\{f \mid f(\Lambda) \subset \mathbb{Z}\}$ is the orthogonal of $\Lambda$.

- If $H$ is a polarisation on $A$, its dual $H^{*}$ is a polarisation on $\widehat{A}$. Moreover, there is an isogeny $\Phi_{H}: A \rightarrow \widehat{A}$ :

$$
x \mapsto H(x, \cdot)
$$

of degree $\operatorname{deg} H$. We note $K(H)$ its kernel.

- If $f: A \rightarrow B$ is an isogeny, then its dual is an isogeny $\widehat{f}: \widehat{B} \rightarrow \widehat{A}$ of the same degree.


## Remark

The canonical pairing $A \times \widehat{A} \rightarrow \mathbb{C},(x, f) \mapsto f(x)$ induces a canonical principal polarisation on $A \times \widehat{A}$, the Poincaré bundle:

$$
E_{P}\left(\left(x_{1}, f_{1}\right),\left(x_{2}, f_{2}\right)\right)=f_{1}\left(x_{2}\right)-f_{2}\left(x_{1}\right)
$$

The pullback $\left(\mathrm{Id}, \varphi_{H}\right)^{*} E_{P}=2 E$.

## Isogenies and polarisations

## Definition

- An isogeny $f:\left(A, H_{1}\right) \rightarrow\left(B, H_{2}\right)$ between polarised abelian varieties is an isogeny such that

$$
f^{*} H_{2}:=H_{2}(f(\cdot), f(\cdot))=H_{1} .
$$

- $f$ is an $\ell$-isogeny between principally polarised abelian varieties if $H_{1}$ and $H_{2}$ are principal and $f^{*} H_{2}=\ell H_{1}$.

An isogeny $f:\left(A, H_{1}\right) \rightarrow\left(B, H_{2}\right)$ respect the polarisations iff the following diagram commutes


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## Proposition

If $K \subset A(\bar{k}), H_{1}$ descends to a polarisation $H_{2}$ on $A / K$ (ie $f^{*} H_{2}=H_{1}$ ) if and only if $\operatorname{Im} H_{1}\left(K+\Lambda_{1}, K+\Lambda_{1}\right) \subset \mathbb{Z}$ iff $K$ is isotropic for the $E_{1}$-pairing. The degree of $H_{2}$ is then $\operatorname{deg} H_{1} / \operatorname{deg} f^{2}$.

## Example

Let $\Lambda_{1}=\Omega_{1} \mathbb{Z}^{g}+\mathbb{Z}^{g}, H_{1}=\ell\left(\operatorname{Im} \Omega_{1}\right)^{-1}$, then $A / K$ is principally polarised $\left(A / K=\mathbb{C}^{g} /\left(\Omega_{2} \mathbb{Z}^{g}+\mathbb{Z}^{g}\right)\right)$ if $K=\frac{1}{\ell} \mathbb{Z}^{g}$ or $K=\frac{1}{\ell} \Omega \mathbb{Z}^{g}$.

- Let $\left(A, H_{0}\right)$ be a principally polarised abelian variety over $\mathbb{C}$;
- $A=\mathbb{C}^{g} /\left(\Omega \mathbb{Z}^{g}+\mathbb{Z}^{g}\right)$ with $\Omega \in \mathfrak{H}_{g}$ and $H_{0}=(\mathfrak{j} \Omega)^{-1}$.
- All automorphic forms corresponding to a multiple of $H_{0}$ come from the theta functions with characteristics:

$$
\vartheta\left[\begin{array}{l}
a \\
b
\end{array}\right](z, \Omega)=\sum_{n \in \mathbb{Z}} e^{\pi i i^{t}(n+a) \Omega(n+a)+2 \pi i^{t}(n+a)(z+b)} \quad a, b \in \mathbb{Q}^{g}
$$

- Automorphic property:

$$
\vartheta\left[\begin{array}{l}
a \\
b
\end{array}\right]\left(\mathbf{z}+m_{1} \Omega+m_{2}, \Omega\right)=e^{2 \pi i\left(t a \cdot m_{2}-{ }^{t} b \cdot m_{1}\right)-\pi i^{t} m_{1} \Omega m_{1}-2 \pi i i^{t} m_{1} \cdot \mathbf{z}} \vartheta\left[\begin{array}{l}
a \\
b
\end{array}\right](z, \Omega) .
$$

- Define $\vartheta_{i}=\vartheta\left[\begin{array}{l}0 \\ \frac{1}{n}\end{array}\right]$ (., $\left.\frac{\Omega}{n}\right)$ for $i \in Z(\bar{n})=\mathbb{Z}^{g} / n \mathbb{Z}^{g}$
- $\left(\vartheta_{i}\right)_{i \in Z(\bar{n})}= \begin{cases}\text { coordinates system } & n \geqslant 3 \\ \text { coordinates on the Kummer variety } A / \pm 1 & n=2\end{cases}$


## Computing isogenies in dimension 2

- Richelot formuluae [Ric36; Ric37] allows to compute 2-isogenies between Jacobians of hyperelliptic curves of genus 2 (ie maximal isotropic kernels in A[2]);
- The duplication formulae for theta functions

$$
\begin{gathered}
\vartheta\left[\begin{array}{l}
\chi \\
0
\end{array}\right]\left(0,2 \frac{\Omega}{n}\right)^{2}=\frac{1}{2^{g}} \sum_{t \in \frac{1}{2} \mathbb{Z}^{g} / \mathbb{Z}^{g}} e^{-2 i \pi 2^{t} \chi \cdot t} \vartheta\left[\begin{array}{l}
0 \\
t
\end{array}\right]\left(0, \frac{\Omega}{n}\right)^{2} \\
\vartheta\left[\begin{array}{l}
0 \\
i_{2}
\end{array}\right](0,2 \Omega)^{2}=\frac{1}{2^{g}} \sum_{i_{1}+i_{2}=0} \sum_{(\bmod 2)} \vartheta\left[i_{1}^{0}\right](0, \Omega) \vartheta\left[i_{2 / 2}^{0}\right](0, \Omega) \quad\left(\text { for all } \chi \in \frac{1}{2} \mathbb{Z}^{g} / \mathbb{Z}^{g}\right) ;
\end{gathered}
$$

allows to generalize Richelot formulae to any dimension;

- Dupont compute modular polynomials of level 2 in [Dup06] and started the computation of modular polynomials of level 3.
- Low degree formulae [DL08] effective for $\ell=3$ and made explicit in [Smi12];
- Via constructing functions on the Jacobian from functions on the curve [CE14].


## The isogeny formula

$$
\begin{aligned}
& \ell \wedge n=1, \quad A=\mathbb{C}^{g} /\left(\mathbb{Z}^{g}+\Omega \mathbb{Z}^{g}\right), \quad B=\mathbb{C}^{g} /\left(\mathbb{Z}^{g}+\ell \Omega \mathbb{Z}^{g}\right) \\
& \vartheta_{b}^{A}:=\vartheta\left[\begin{array}{c}
0 \\
\frac{b}{n}
\end{array}\right]\left(\cdot, \frac{\Omega}{n}\right), \quad \vartheta_{b}^{B}:=\vartheta\left[\begin{array}{c}
0 \\
\frac{b}{n}
\end{array}\right]\left(\cdot, \frac{\ell \Omega}{n}\right)
\end{aligned}
$$

## Theorem ([CR14; LR15])

Let $F$ be a matrix of rank $r$ such that ${ }^{t} F F=\ell \operatorname{Id}_{r}, X=(\ell x, 0, \ldots, 0)$ in $\left(\mathbb{C}^{g}\right)^{r}$ and $Y=Y F^{-1}=(x, 0, \ldots, 0) F^{T} \in\left(\mathbb{C}^{g}\right)^{r}, i \in(Z(\bar{n}))^{r}$ and $j=i F^{-1}$.

$$
\vartheta_{i_{1}}^{A}(\ell z) \ldots \vartheta_{i_{r}}^{A}(0)=\sum_{\substack{t_{1}, \ldots, t_{r} \in \frac{1}{\mathbb{Z}^{g}} / \mathbb{Z}^{g} \\ F\left(t_{1}, \ldots, t_{r}\right)=(0, \ldots, 0)}} \vartheta_{j_{1}}^{B}\left(Y_{1}+t_{1}\right) \ldots \vartheta_{j_{r}}^{B}\left(Y_{r}+t_{r}\right),
$$

This can be computed given only the equations (in a suitable form) of the kernel $K$. When $K$ is rational, the complexity is $\widetilde{O}\left(\ell^{g}\right)$ or $\widetilde{O}\left(\ell^{2 g}\right)$ operations in $\mathbb{F}_{q}$ according to whether $\ell \equiv 1$ or 3 modulo 4.

- "Record" isogeny computation: $\ell=1321$.


## Birational invariants for $\mathfrak{H}_{g} / \mathrm{Sp}_{4}(\mathbb{Z})$

## Definition

- The Igusa invariants are Siegel modular functions $j_{1}, j_{2}, j_{3}$ for $\Gamma=\operatorname{Sp}_{4}(\mathbb{Z})$ defined by

$$
j_{1}:=\frac{h_{12}^{5}}{h_{10}^{6}}, \quad j_{2}:=\frac{h_{4} h_{12}^{3}}{h_{10}^{4}}, \quad j_{3}:=\frac{h_{16} h_{12}^{2}}{h_{10}^{4}}
$$

where the $h_{i}$ are modular forms of weight $i$ given by explicit polynomials in terms of theta constants.

- Invariants derived by Streng are better suited for computations:

$$
i_{1}:=\frac{h_{4} h_{6}}{h_{10}}, \quad i_{2}:=\frac{h_{4}^{2} h_{12}}{h_{10}^{2}}, \quad i_{3}:=\frac{h_{4}^{5}}{h_{10}^{2}} .
$$

- The three invariants $j_{i, \ell}(\Omega)=j_{i}(\ell \Omega)$ encode a principally polarised abelian surface $\ell$-isogeneous to $A=\mathbb{C}^{g} /\left(\Omega \mathbb{Z}^{g}+\mathbb{Z}^{g}\right)$;
- All others ppav $\ell$-isogenous to $A$ comes from the action of $\Gamma / \Gamma_{0}(\ell)$ on $\Omega$. The index is $\ell^{3}+\ell^{2}+\ell+1$.


## Modular polynomials in dimension 2

Definition ( $\ell$-modular polynomials)

$$
\begin{gathered}
\Phi_{1, \ell}\left(X, j_{1}, j_{2}, j_{3}\right)=\prod_{r \in \Gamma / \Gamma_{0}(\ell)}\left(X-j_{1, \ell}^{r}\right) \\
\Psi_{i, \ell}\left(X, j_{1}, j_{2}, j_{3}\right)=\sum_{r \in \Gamma / \Gamma_{0}(\ell)} j_{i, \ell}^{\gamma} \prod_{r^{\prime} \in \Gamma / \Gamma_{0}(\ell) \backslash\{\gamma\}}\left(X-j_{1, \ell}^{r^{\prime}}\right) \quad(i=2,3) \\
\Phi_{1, \ell}, \Psi_{2, \ell}, \Psi_{3, \ell} \in \mathbb{Q}\left(j_{1}, j_{2}, j_{3}\right)[X] .
\end{gathered}
$$

- Computed via an evaluation-interpolation approach;
- Evaluation requires evaluating the modular invariants on $\Omega$ at high precision;
$\Rightarrow$ Uses a generalized version of the AGM to compute theta functions in quasi-linear time in the precision [Dup06];
$\Rightarrow$ Need to interpolate rational functions;
- Denominator describes the Humbert surface of discriminant $\ell^{2}$ [BL09; Gru10];
- Quasi-linear algorithm [Dup06; Mil14];
- Can be generalized to smaller modular invariants [Mil14].


## Example of modular polynomials in dimension 2 [Mil14]

| Invariant | $\ell$ | Size |
| :---: | :---: | :---: |
| Igusa | 2 | 57 MB |
| Streng | 2 | 2.1 MB |
| Streng | 3 | 890 MB |
| Theta | 3 | 175 KB |
| Theta | 5 | 200 MB |
| Theta | 7 | 29 GB |

## Example

The denominator of $\Phi_{1,3}$ for modular functions $b_{1}, b_{2}, b_{3}$ derived from theta constant of level 2 is:
$1024 b_{3}^{6} b_{2}^{6} b_{1}^{10}-\left(\left(768 b_{3}^{8}+1536 b_{3}^{4}-256\right) b_{3}^{8}+1536 b_{3}^{8} b_{3}^{4}-256 b_{3}^{8}\right) b_{1}^{8}+\left(1024 b_{3}^{6} b_{2}^{10}+\right.$ $\left.\left(1024 b_{3}^{10}+2560 b_{3}^{6}-512 b_{3}^{2}\right) b_{2}^{6}-\left(512 b_{3}^{6}-64 b_{3}^{2}\right) b_{2}^{2}\right) b_{1}^{6}-\left(1536 b_{3}^{8} b_{2}^{8}+\left(-416 b_{3}^{4}+\right.\right.$ $\left.32) b_{2}^{4}+32 b_{3}^{4}\right) b_{1}^{4}-\left(\left(512 b_{3}^{6}-64 b_{3}^{2}\right) b_{2}^{6}-64 b_{3}^{6} b_{2}^{2}\right) b_{1}^{2}+256 b_{3}^{8} b_{2}^{8}-32 b_{3}^{4} b_{2}^{4}+1$.

## Isogeny graphs in dimension $2\left(\ell=q_{1} q_{2}=Q_{1} Q_{1} Q_{2} Q_{2}\right)$



## Isogeny graphs in dimension $2(\ell=q=Q Q)$



## Isogeny graphs in dimension $2(\ell=q=Q Q)$




## Non principal polarisations

- Let $f:\left(A, H_{1}\right) \rightarrow\left(B, H_{2}\right)$ be an isogeny between principally polarised abelian varieties;
- When Kerf is not maximal isotropic in $A[\ell]$ then $f^{*} H_{2}$ is not of the form $\ell H_{1}$;
- How can we go from the principal polarisation $H_{1}$ to $f^{*} H_{1}$ ?


## Non principal polarisations

## Theorem (Birkenhake-Lange, Th. 5.2.4)

Let $A$ be an abelian variety with a principal polarisation $H_{1}$;

- Let $O_{0}=\operatorname{End}(A)^{s}$ be the real algebra of endomorphisms symmetric under the Rosati involution;
- Let $\mathrm{NS}(A)$ be the Néron-Severi group of line bundles modulo algebraic equivalence.
Then
- $\mathrm{NS}(A)$ is isomorphic to $\mathrm{O}_{0}$ via

$$
\beta \in O_{0} \mapsto H_{\beta}=\beta H_{1}=H_{1}(\beta \cdot, \cdot) ;
$$

- This induces a bijection between polarisations of degree $d$ in $\operatorname{NS}(A)$ and totally positive symmetric endomorphisms of norm d in $\mathrm{O}_{0}^{++}$;
- The isomorphic class of a polarisation $H_{\beta} \in \mathrm{NS}(A)$ for $f \in O_{0}^{++}$correspond to the action $\varphi \mapsto \varphi^{*} \beta \varphi$ of the automorphisms of $A$.
- Let $f:\left(A, H_{1}\right) \rightarrow\left(B, H_{2}\right)$ be an isogeny between principally polarised abelian varieties with cyclic kernel of degree $\ell$;
- There exists $\beta$ such that the following diagram commutes:

- $\beta$ is an $(\ell, 0, \ldots, \ell, 0, \ldots)$-isogeny whose kernel is not isotropic for the $H_{1}$-Weil pairing on $A[\ell]$ !
- $\beta$ commutes with the Rosatti involution so is a real endomorphism ( $\beta$ is $H_{1}$-symmetric). Since $H_{1}$ is Hermitian, $\beta$ is totally positive.
- Kerf is maximal isotropic for $\beta H_{1}$; conversely if $K$ is a maximal isotropic kernel in $A[\beta]$ then $f: A \rightarrow A / K$ fits in the diagram above.

Theorem ([Dudeanu, Jetchev, R.])

- Let $(A, \mathscr{L})$ be a ppav and $\beta \in \operatorname{End}(A)^{++}$be a totally positive real element of degree $\ell$. Let $K \subset \operatorname{Ker} \beta$ be cyclic of degree $\ell$ (note that it is automatically isotropic). Then $A / K$ is principally polarised.
- Conversely if there is a cyclic isogeny $f: A \rightarrow B$ of degree $\ell$ between ppav then there exists $\beta \in \operatorname{End}(A)^{++}$such that $\operatorname{Ker} f \subset \operatorname{Ker} \beta$.
- Given the kernel kerf we have a polynomial time algorithm in degffor computing the isogeny $f$.


## Corollary

- If $N S(A)=\mathbb{Z}$ there are no cyclic isogenies to a ppav;
- For an ordinary abelian surface, if there is a cyclic isogeny of degree $\ell$ then $\ell$ splits into totally positive principal ideals in the real quadratic order which is locally maximal at $\ell$. A cyclic isogeny does not change the real multiplication.
- Given $\beta \in O_{K_{0}}$ one can define the $\beta$-modular polynomial in terms of symmetric invariants of the Hilbert space $\mathfrak{H}_{1}^{g} /\left(\mathrm{Sl}_{2}\left(O_{K_{0}}\right) \oplus \mathrm{SI}_{2}\left(O_{K_{0}}\right)^{\sigma}\right)$;
- If $D=2$ or $D=5$ the symmetric Hilbert moduli space is rational and parametrized by two invariants: the Gundlach invariants;
- Use an evaluation-interpolation approach via the action of $\mathrm{SI}_{2}\left(O_{K_{0}}\right) / \Gamma_{0}\left(\beta_{i}\right)$ which give all the $\ell+1 \beta_{i}$-isogenies;
- For general $D$ the Hilbert space is not unirational $\Rightarrow$ we need to interpolate three invariants (the pull back of three Siegel invariants);
- There is an algebraic relation between the invariants we interpolate $\Rightarrow$ need to normalise the modular polynomials by fixing a Gröbner basis.


## Example of cyclic modular polynomials in dimension 2 [Milio-R.]

| $\ell(D=2)$ | Size (Gundlach) | Theta | $\ell(D=5)$ | Size (Gundlach) | Theta |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | 8.5 KB |  | 5 | 22 KB | 45 KB |
| 7 | 172 KB |  | 11 | 3.5 MB | 308 KB |
| 17 | 5.8 MB | 221 KB | 19 | 33 MB | 3.6 MB |
| 23 | 21 MB |  | 29 | 188 MB |  |
| 31 | 70 MB |  | 31 | 248 MB |  |
| 41 | 225 MB | 7.2 MB |  |  |  |

## Example

For $D=2, \beta=5+2 \sqrt{2} \mid 17$, using $b_{1}, b_{2}, b_{3}$ pullback of level 2 theta functions on the Hilbert space, the denominator of $\Phi_{1, \beta}$ is $b_{3}^{6} b_{2}^{18}+\left(6 b_{3}^{8} 6 b_{3}^{4}+1\right) b_{2}^{16}+$ $\left(15 b_{3}^{10} 24 b_{3}^{6}+7 b_{3}^{2}\right) b_{2}^{14}+\left(20 b_{3}^{12} 42 b_{3}^{8}+9 b_{3}^{4}+2\right) b_{2}^{12}+\left(15 b_{3}^{14} 48 b_{3}^{10}+37 b_{3}^{6}+4 b_{3}^{2}\right) b_{2}^{10}+$ $\left(6 b_{3}^{16} 42 b_{3}^{12}+68 b_{3}^{8} 26 b_{3}^{4}+3\right) b_{2}^{8}+\left(b_{3}^{18} 24 b_{3}^{14}+37 b_{3}^{10}+8 b_{3}^{6} b_{3}^{2}\right) b_{2}^{6}+\left(6 b_{3}^{16}+\right.$ $\left.9 b_{3}^{12} 26 b_{3}^{8} 24 b_{3}^{4}+2\right) b_{2}^{4}+\left(7 b_{3}^{14}+4 b_{3}^{10} b_{3}^{6}\right) b_{2}^{2}+\left(b_{3}^{16}+2 b_{3}^{12}+3 b_{3}^{8}+2 b_{3}^{4}+1\right)$.

## Abelian varieties with real and complex multiplication

- Let $K$ be a CM field (a totally imaginary quadratic extension of a totally real field $K_{0}$ of dimension g );
- An abelian variety with RM by $K_{0}$ is of the form $\mathbb{C}^{g} /\left(\Lambda_{1} \oplus \Lambda_{2} \tau\right)$ where $\Lambda_{i}$ is a lattice in $K_{0}, K_{0}$ is embedded into $\mathbb{C}^{g}$ via $K_{0} \otimes_{\mathbb{Q}} \mathbb{R}=\mathbb{R}^{g} \subset \mathbb{C}^{g}$, and $\tau \in \mathfrak{H}_{1}^{g}$;
- The polarisations are of the form

$$
H\left(z_{1}, z_{2}\right)=\sum_{\varphi_{i} K \rightarrow C} \varphi_{i}\left(\lambda z_{1} \overline{z_{2}}\right) / \mathfrak{\Im} \tau_{i}
$$

for a totally positive element $\lambda \in K_{0}^{++}$. In other words if $x_{i}, y_{i} \in K_{0}$, then $E\left(x_{1}+y_{1} \tau, x_{2}+y_{2} \tau\right)=\operatorname{Tr}_{K_{0} / Q}\left(\lambda\left(x_{2} y_{1}-x_{1} y_{2}\right)\right)$.

- An abelian variety with CM by $K$ is of the form $\mathbb{C}^{g} / \Phi(\Lambda)$ where $\Lambda$ is a lattice in $K$ and $\Phi$ is a CM-type.
- The polarisations are of the form

$$
E\left(z_{1}, z_{2}\right)=\operatorname{Tr}_{\mathrm{K} / \mathrm{Q}}\left(\xi z_{1} \overline{z_{2}}\right)
$$

for a totally imaginary element $\xi \in K$. The polarisation is principal iff $\xi \bar{\Lambda}=\Lambda^{\star}$ where $\Lambda^{\star}$ is the dual of $\Lambda$ for the trace.

- Let $A$ be a principally polarised abelian surface over $\mathbb{F}_{q}$ with CM by $O \subset O_{K}$ and RM by $O_{0} \subset O_{K_{0}}$;
- If $O_{0}$ is maximal (locally at $\ell$ ) and that we are in the split case: $(\ell)=\left(\beta_{1}\right)\left(\beta_{2}\right)$ in $O_{0}$, then $A[\ell]=A\left[\beta_{1}\right] \oplus A\left[\beta_{2}\right]$. Assume that $\beta_{i}$ is totally positive.
- There are two kind of cyclic isogenies: $\beta_{1}$-isogenies ( $K \subset A\left[\beta_{1}\right]$ ) and $\beta_{2}$-isogenies.
- Looking at $\beta_{1}$ isogenies, we recover the volcano structure: $O=O_{0}+\mathrm{fO}_{\mathrm{K}}$ for a certain $O_{0}$-ideal $f$ such that the conductor of $O$ is $f \mathrm{O}_{K}$.
- If $f$ is prime to $\beta_{1}$, there are 2,1 , or 0 horizontal isogenies according to whether $\beta_{1}$ splits, is ramified or is inert in $O$. The others are descending to $O_{0}+\mathfrak{f} \beta_{1} O_{K}$;
- If $\mathfrak{f}$ is not prime to $\beta_{1}$ there is one ascending isogeny (to $O_{0}+\mathfrak{f} / \beta_{1} O_{K}$ ) and $\ell$ descending ones;
- We are at the bottom when the $\beta_{1}$-valuation of $\mathfrak{f}$ is equal to the valuation of the conductor of $\mathbb{Z}[\pi, \bar{\pi}]$.
- $\ell$-isogenies preserving $O_{0}$ are a composition of a $\beta_{1}$-isogeny with a $\beta_{2}$-isogeny.
- When $\ell$ is inert, $\ell$-isogenies preserving the $\mathrm{RM} O_{0}$ form a volcano.


## Cyclic isogeny graph in dimension 2 [IT14]


$\beta_{1}$ is inert and $\beta_{2}$ is split in $K$.


## Changing the real multiplication in dimension 2: moving between pancakes

Cyclic isogenies (that preserve principal polarisations) conserve real multiplication; so we need to look at $\ell$-isogenies.

## Proposition

- Let $O_{\ell}$ be the order of conductor $\ell$ inside $O_{K_{0}}$. $\ell$-isogenies going from $O_{\ell}$ to $O_{K_{0}}$ are of the form

$$
\mathbb{C}^{g} /\left(O_{\ell} \oplus O_{\ell}^{\vee} \tau\right) \rightarrow \mathbb{C}^{g} /\left(O_{K_{0}} \oplus O_{K_{0}}^{\vee} \tau\right)
$$

- $\mathrm{SI}_{2}\left(O_{K_{0}} \oplus O_{K_{0}}^{\vee}\right) / \mathrm{SI}_{2}\left(O_{\ell} \oplus O_{\ell}^{\vee}\right)$ acts on such isogenies;
- When $\ell$ splits in $O_{K_{0}}, \mathrm{SI}_{2}\left(O_{K_{0}} \oplus O_{K_{0}}^{\vee}\right) / \mathrm{SI}_{2}\left(O_{\ell} \oplus O_{\ell}^{\vee}\right) \simeq$ $\mathrm{Sl}_{2}\left(O_{K_{0}} / \ell O_{K_{0}}\right) / \mathrm{SI}_{2}\left(O_{\ell} / \ell O_{\ell}\right) \simeq \mathrm{SL}_{2}\left(\mathbb{F}_{l}^{2}\right) / \mathrm{SI}_{2}\left(\mathbb{F}_{l}\right) \simeq \mathrm{SI}_{2}\left(\mathbb{F}_{l}\right)$, so we find $\ell^{3}-\ell$ $\ell$-isogenies changing the real multiplication.
- On the other hand there is $(\ell+1)^{2} \ell$-isogenies preserving the real multiplication
- In total we find all $\ell^{3}+\ell^{2}+\ell+1 \ell$-isogenies.


## Changing the real multiplication in dimension 2: moving between pancakes

Corollary ([Ionica, Martindale, R., Streng])
If $O$ is maximal at $\ell$,

- If $\ell$ is split there are $\ell^{2}+2 \ell+1 R M$-horizontal $\ell$-isogenies and $\ell^{3}-\ell$ $R M$-descending $\ell$-isogenies;
- If $\ell$ is inert there are $\ell^{2}+1$ RM-horizontal $\ell$-isogenies and $\ell^{3}+\ell$ $R M$-descending $\ell$-isogenies;
- If $\ell$ is ramified there are $\ell^{2}+\ell+1 R M$-horizontal $\ell$-isogenies and $\ell^{3}$ $R M$-descending $\ell$-isogenies;
If $O$ is not maximal at $\ell$, there are $1 R M$-ascending $\ell$-isogeny, $\ell^{2}+\ell$
$R M$-horizontal $\ell$-isogenies and $\ell^{3} R M$-descending $\ell$-isogenies.
- AVIsogenies: Magma code written by Bisson, Cosset and R. http://avisogenies.gforge.inria.fr
- Released under LGPL $2+$.
- Implement isogeny computation (and applications thereof) for abelian varieties using theta functions.


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