

# NOTES ON GROUPS WITH EXPONENTIAL DEHN FUNCTION (THIS IS NOT A PREPRINT)

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## 1. LIE GROUPS HAVE AT MOST EXPONENTIAL DEHN FUNCTION

**Theorem 1** (Gromov). *Every connected Lie group has an at most exponential Dehn function.*

*Sketch of proof.* First, we use the classical fact that every connected Lie group is quasi-isometric to a simply connected solvable Lie group (when  $G$  is algebraic, start from a Levi decomposition  $G = SU$  with  $S$  reductive and  $U$  the unipotent radical, take a minimal parabolic  $T$  in  $S$  to get an amenable real algebraic group. Decompose the latter as  $DKV$  with  $V$  the unipotent radical (possibly bigger than  $U$ ),  $D$  a maximal split torus and  $K$  a maximal anisotropic torus: then the unit component of  $DV$ , in the real topology, is cocompact in  $G$  and simply connected solvable).

Second, we use that every simply connected solvable Lie group can be described as  $(\mathbf{R}^k \times \mathbf{R}^\ell, *)$  with the law of the form

$$(u_1, v_1) * (u_2, v_2) = (u_1 + u_2, P(u_1, u_2, v_1, v_2)),$$

where  $P$  is a function each component of which, if we denote by  $(U_i)$  the  $2k$  coordinates of  $(u_1, u_2)$  and by  $(V_j)$  the  $2\ell$  coordinates of  $(v_1, v_2)$ , can be described as a real-valued polynomial in the variables  $U_i, V_j$ , and  $e^{\lambda_k U_i}$ , for some finite family of complex numbers  $\lambda_k$ . For instance, the law of  $\text{SOL}(\mathbf{R})$  can be described as

$$(u_1, x_1, y_1) * (u_2, x_2, y_2) = (u_1 + u_2, e^{u_2} x_1 + x_2, e^{-u_2} y_1 + y_2)$$

(here  $(k, \ell) = (1, 2)$ ). It follows that the  $n$ -ball in  $G$  (with respect to a compact generating set, e.g. a compact neighbourhood of the identity) is contained in a product  $B_1 \times B_2$ , where  $B_1$  is a Euclidean ball of linear radius in  $\mathbf{R}^k$ , and  $B_2$  is a Euclidean ball of exponential radius in  $\mathbf{R}^\ell$ .

Let  $\gamma$  be a loop of length  $\leq n$  in  $G$ . Then (translating if necessary),  $\gamma$  is contained in  $B_1 \times B_2$ . Consider a disc  $D$  of area  $\leq n^2$ , contained in  $B_1 \times B_2$ , whose boundary is  $\gamma$ . We have to estimate<sup>1</sup> the area of  $D$  in  $G$ , i.e. when  $\mathbf{R}^{k+\ell}$  is endowed with a  $*$ -left-invariant Riemannian metric. If  $x_0 \in B_1 \times B_2$ , then the differential at 0 of the left multiplication  $L_{x_0} : \mathbf{R}^{k+\ell} \rightarrow \mathbf{R}^{k+\ell}$  by  $x_0$  has at

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<sup>1</sup>I thank S. Gou zel for noticing a flaw in my initial argument.

most exponential norm. Therefore the area of  $D$ , in the  $*$ -left-invariant metric is bounded by  $e^{Cn}n^2 \leq e^{C'n}$ .  $\square$

*Remark 2.* Since  $\mathbf{R}^n$  satisfies polynomial isoperimetry inequalities in all dimensions, the same proof shows that every connected Lie group satisfies exponential isoperimetry inequalities in all dimensions.

*Remark 3.* The same proof shows that every *nilpotent* connected Lie group satisfies polynomial isoperimetric inequalities. Indeed, the law  $*$  does not involve any exponential, in this case.

*Remark 4* (linear isodiametric function). It is possible to refine the proof to prove that the disc filling a loop of linear length (or the  $k$ -ball filling a  $(k - 1)$ -sphere of linear area and linear diameter) is contained in a ball of linear size.

To do this, we reduce to the case when  $G$  is a connected group of triangular real matrices, and using the descending central series we identify  $G$  with  $\mathbf{R}_{k_1} \oplus \mathbf{R}_{k_2} \oplus \cdots \oplus \mathbf{R}_{k_d} \oplus \mathbf{R}_{k_\infty}$  ( $\mathbf{R}_{k_\infty}$  is then the stable term of the descending central series, and is also the exponential radical), so that the  $n$ -ball  $B_G(n)$  of  $G$  is comparable with  $Q(n) = B(n) \times B(n^2) \times \cdots \times B(n^d) \times B(e^n)$  (i.e.

$$Q(C^{-1}n) \subset B_G(n) \subset Q(Cn)$$

for some suitable constant  $C$ ).

*Remark 5.* Gromov's argument in [3] is by embedding a simply connected solvable Lie group into  $\mathrm{SL}_n(\mathbf{R})$ , and proving that there is an "exponentially Lipschitz retraction" of  $\mathrm{SL}_n(\mathbf{R})$  onto  $G$ , starting from the fact we have polynomial isoperimetric inequalities in  $\mathrm{SL}_n(\mathbf{R})$  (because it is quasi-isometric to a CAT(0)-space). He omits details (as usually) and I checked his arguments only in special cases.

## 2. SOME LIE GROUPS WITH EXPONENTIAL DEHN FUNCTION

**Proposition 6.** *Consider a central extension of connected Lie groups*

$$1 \rightarrow Z \rightarrow \tilde{G} \rightarrow G \rightarrow 1;$$

*consider a path  $\tilde{\gamma}$  of size  $\leq n$  in  $\tilde{G}$ , joining 1 to an element  $z_0$  of  $Z$ . Suppose that  $z_0$  has size  $\geq a_0$  in  $Z$ . Let  $\gamma$  be the projection of  $\tilde{\gamma}$  on  $G$ ; this is a loop. Then the area of  $\gamma$  is  $\geq a_0$ .*

*Proof.* Consider a compact generating subset  $S$  of  $\tilde{G}$ . We can write  $G$  as the quotient of the free group  $F_S$  generated by  $S$ , by a set of relators  $\mathcal{R}$ , where for some  $k$ ,  $\mathcal{R}$  is the set of elements in the  $k$ -ball  $F_S$  which map to the identity element in  $G$ . View  $\gamma$  as a word (think of the loop as a sequence of points, each one at distance  $\leq 1$  from the preceding one in the Cayley graph). If  $a$  is its area, we can write, in  $F_S$

$$\gamma = \prod_{i=1}^a g_i r_i g_i^{-1},$$

where  $r_i \in \mathcal{R}$ . Push this equality forward to  $\tilde{G}$  (if we push it forward to  $G$ , we get an elegant proof of  $1=1$ ); since  $r_i$  maps to the identity element in  $G$ , it is central in  $\tilde{G}$ , so we obtain

$$\gamma = \prod_{i=1}^a r_i,$$

but  $\gamma$  represents by definition the element  $z_0$  in  $\tilde{G}$ . Since  $r_i$  are bounded and the above relation holds in  $Z$ , we obtain (always forgetting the constants)

$$a_0 = |z_0| \leq a. \quad \square$$

As an application, we have the following corollary. Let  $L$  be any closed subgroup of  $\mathrm{SL}_2(\mathbf{R})$  containing the matrix  $u = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}$ .

**Corollary 7.** *In the group  $\mathbf{R}^2 \rtimes L$  (or  $\mathbf{Z}^2 \rtimes L$  if  $L \subset \mathrm{SL}_2(\mathbf{Z})$ ), and letting  $(x, y)$  denote the standard basis of  $\mathbf{R}^2$ , the loop*

$$\gamma = u^n x u^{-n} y u^n x^{-1} u^{-n} y^{-1} = [u^n x u^{-n}, y],$$

*of linear length, has exponential area.*

*Proof.* We can lift the action of  $\mathrm{GL}_2(\mathbf{R})$  on  $\mathbf{R}^2$  to an action on the 3-dimensional Heisenberg group  $H_3$ , so that an element  $v \in \mathrm{GL}_2(\mathbf{R})$  acts on the center of  $H_3$  by multiplication by  $\det(v)^2$  ( $\mathrm{GL}_2$  thus appears as a Levi factor in  $\mathrm{Aut}(H_3)$ , of the unipotent radical which consists of inner automorphisms). In particular, the action of  $\mathrm{SL}_2(\mathbf{R})$  on  $H_3$  centralizes the center of  $H_3$ . So we have a central extension

$$1 \rightarrow \mathbf{R} \rightarrow H_3 \rtimes L \rightarrow \mathbf{R}^2 \rtimes L \rightarrow 1.$$

We lift  $u$  to  $H_3 \rtimes L$  as the same element in  $L$ , and lift  $x, y$  to the elements

$$\tilde{x} = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \tilde{y} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}.$$

Then for all  $n$ ,

$$[u^n \tilde{x} u^{-n}, \tilde{y}] = \begin{pmatrix} 1 & 0 & c\alpha^n + O(1) \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

with  $c$  some explicit nonzero constant and  $\alpha = (3 + \sqrt{5})/2$ . This element has exponential size inside  $Z = \mathbf{R}$ , so by Proposition 6, the area of  $\gamma$  is exponential.  $\square$

3.  $\mathrm{SL}_3(\mathbf{Z})$  HAS AT LEAST EXPONENTIAL DEHN FUNCTION

**Theorem 8.** *The Dehn function of  $\mathrm{SL}_3(\mathbf{Z})$  has an exponential lower bound.*

*Proof.* For convenience, we consider, only in this proof, right-invariant distances. Set  $G = \mathrm{SL}_d(\mathbf{R})$ ,  $\Gamma = \mathrm{SL}_d(\mathbf{Z})$ , and  $K = \mathrm{SO}_d(\mathbf{R})$ . Consider the function  $h : G \rightarrow \mathbf{R}$  given by  $h(A) = \|Ae_1\|$ , with  $\|\cdot\|$  the Euclidean norm on  $\mathbf{R}^d$ . Define  $W = \{h = 1\}$  and  $H \simeq \mathbf{R}^{d-1} \rtimes \mathrm{SL}_{d-1}(\mathbf{R})$  the stabilizer of  $e_1$ . (Note that  $W$  is not a subgroup.) Clearly, the inclusion of  $H$  into  $W$  is a quasi-isometry, because  $W = KH$  (recall that we work with *right*-invariant distances).

The function  $h$  factors through a function on  $K \backslash G \rightarrow \mathbf{R}$ ; we admit that  $\log \circ h$  is a Busemann function on the symmetric space  $K \backslash G$ . In particular,  $C = \{h \leq 1\}$  is convex. Let  $p$  be the projection  $K \backslash G \rightarrow C$ . Then  $p$  is well-defined and 1-Lipschitz, because  $K \backslash G$  is  $\mathrm{CAT}(0)$ . Moreover, the image of  $\Gamma$  in  $G/K$  is contained in the exterior  $\{h \geq 1\}$  of  $C$ , so its image by  $p$  is contained in the boundary  $K \backslash W = \{h = 1\}$  of  $C$ .

Now let  $\gamma = \gamma_n$  be the loop in  $H$  given in the statement of Corollary 7. Suppose that it has a filling of area  $a = a_n$  in  $\mathrm{SL}_3(\mathbf{Z})$ . Taking the image in  $K \backslash G$  and applying the projection  $p$ , we map  $\gamma$  to a loop  $\bar{\gamma}$ , with a filling of area  $a$  (because the projection is one-Lipschitz), lying inside the boundary of  $K \backslash W$ . Now observe that  $G \rightarrow K \backslash G$  is a quasi-isometry, and restricts to a quasi-isometry  $H \rightarrow K \backslash W$ . Taking the “inverse image”, we obtain a loop  $\gamma'$ , at bounded distance from  $\gamma$ , and a filling of area  $\leq a$ . So  $\gamma$  itself has area  $a$ . By Corollary 7, there is an exponential lower bound on  $a = a_n$ .  $\square$

*Remark 9.* There is an alternate (and more common) proof that the loop given in SOL has exponential area, using Stokes’ theorem on some reasonable differential form (see Epstein et al [2]). This is slightly more complicated, but has a double advantage:

- it generalizes to deformations of SOL, defined as semidirect products  $\mathbf{R}^2 \rtimes \mathbf{R}$  with an action  $t \cdot (x, y) = (e^t x, e^{-\lambda t} y)$ ; these groups have no non-trivial central extension as Lie groups;
- it generalizes to higher Dehn functions, to groups of the form  $\mathbf{R}^k \rtimes L$ ,  $L$  containing  $k - 1$  multiplicatively independent  $\mathbf{R}$ -diagonalizable matrices with integer coefficients, showing that this has (explicit)  $(k - 1)$ -spheres with not better than exponential filling by  $k$ -balls. Then arguing as in the proof of Theorem 8, a consequence is that  $\mathrm{SL}_d(\mathbf{Z})$  has (at least) exponential filling of  $(d - 2)$ -spheres. This is done in (Epstein et al [2]) but their redaction is very obscure to me (unlike in the case of SOL mentioned above).

*Remark 10.* Following the same lines as for Theorem 8, replacing  $\mathbf{R}$  by  $\mathbf{F}_q((t^{-1}))$ , we obtain that the group  $\mathrm{SL}_3(\mathbf{F}_q[t])$  is not finitely presented, a result due to Behr [1] (I don’t know if his proof is similar). Note that  $\mathrm{SL}_3(\mathbf{F}_q[t])$  is finitely generated as a group, because  $\mathbf{F}_q[t]$  is a finitely generated P.I.D.: more precisely

it is generated by the elementary matrices whose non-zero non-diagonal coefficient is 1 or  $t$ ).

#### REFERENCES

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