

# FINITELY PRESENTABLE, NON-HOPFIAN GROUPS WITH KAZHDAN'S PROPERTY (T) AND INFINITE OUTER AUTOMORPHISM GROUP

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ABSTRACT. We give simple examples of Kazhdan groups with infinite outer automorphism groups. This answers a question of Paulin, independently answered by Ollivier and Wise by completely different methods. As arithmetic lattices in (non-semisimple) Lie groups, our examples are in addition finitely presented.

We also use results of Abels about compact presentability of  $p$ -adic groups to exhibit a finitely presented non-Hopfian Kazhdan group. This answers a question of Ollivier and Wise.

## 1. INTRODUCTION

Recall that a locally compact group is said to have Property (T) if every weakly continuous unitary representation with almost invariant vectors<sup>1</sup> has nonzero invariant vectors.

It was asked by Paulin in [HV, p.134] (1989) whether there exists a group with Kazhdan's Property (T) and with infinite outer automorphism group. This question remained unanswered until 2004; in particular, it is Question 18 in [Wo].

This question was motivated by the two following special cases. The first is the case of lattices in *semisimple* groups over local fields, which have long been considered as prototypical examples of groups with Property (T). If  $\Gamma$  is such a lattice, Mostow's rigidity Theorem and the fact that semisimple groups have finite outer automorphism group imply that  $\text{Out}(\Gamma)$  is finite. Secondly, a new source of groups with Property (T) appeared when Zuk [Zu] proved that certain models of random groups have Property (T). But they are also hyperbolic, and Paulin proved [Pa] that a hyperbolic group with Property (T) has finite outer automorphism group.

However, it turns out that various arithmetic lattices in appropriate *non-semisimple* groups provide examples. For instance, consider the additive group  $\text{Mat}_{mn}(\mathbf{Z})$  of  $m \times n$  matrices over  $\mathbf{Z}$ , endowed with the action of  $\text{GL}_n(\mathbf{Z})$  by left multiplication.

**Proposition 1.1.** *For every  $n \geq 3$ ,  $m \geq 1$ ,  $\text{SL}_n(\mathbf{Z}) \ltimes \text{Mat}_{mn}(\mathbf{Z})$  is a finitely presented linear group, has Property (T), is non-coHopfian<sup>2</sup>, and its outer automorphism group contains a copy of  $\text{PGL}_m(\mathbf{Z})$ , hence is infinite if  $m \geq 2$ .*

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<sup>1</sup>A representation  $\pi : G \rightarrow \mathcal{U}(\mathcal{H})$  almost has invariant vectors if for every  $\varepsilon > 0$  and every finite subset  $F \subseteq G$ , there exists a unit vector  $\xi \in \mathcal{H}$  such that  $\|\pi(g)\xi - \xi\| < \varepsilon$  for every  $g \in F$ .

<sup>2</sup>A group is coHopfian (resp. Hopfian) if it is isomorphic to no proper subgroup (resp. quotient) of itself.

We later learned that Ollivier and Wise [OW] had independently found examples of a very different nature. They embed any countable group  $G$  in  $\text{Out}(\Gamma)$ , where  $\Gamma$  has Property (T), is a subgroup of a torsion-free hyperbolic group, satisfying a certain “graphical” small cancellation condition (see also [BS]). In contrast to our examples, theirs are not, a priori, finitely presented; on the other hand, our examples are certainly not subgroups of hyperbolic groups since they all contain a copy of  $\mathbf{Z}^2$ .

They also construct in [OW] a non-coHopfian group with Property (T) that embeds in a hyperbolic group. Proposition 1.1 actually answers two questions in their paper: namely, whether there exists a finitely presented group with Property (T) and without the coHopfian Property (resp. with infinite outer automorphism group).

*Remark 1.2.* Another example of non-coHopfian group with Property (T) is  $\text{PGL}_n(\mathbf{F}_p[X])$  when  $n \geq 3$ . This group is finitely presentable if  $n \geq 4$  [RS] (but not for  $n = 3$  [Be]). In contrast with the previous examples, the Frobenius morphism  $\text{Fr}$  induces an isomorphism onto a subgroup of *infinite* index, and the intersection  $\bigcap_{k \geq 0} \text{Im}(\text{Fr}^k)$  is reduced to  $\{1\}$ .

Ollivier and Wise also constructed in [OW] the first examples of non-Hopfian groups with Property (T). They asked whether a finitely presented example exists. Although linear finitely generated groups are residually finite, hence Hopfian, we use them to answer positively their question.

**Theorem 1.3.** *There exists a  $S$ -arithmetic lattice  $\Gamma$ , and a central subgroup  $Z \subset \Gamma$ , such that  $\Gamma$  and  $\Gamma/Z$  are finitely presented, have Property (T), and  $\Gamma/Z$  is non-Hopfian.*

The group  $\Gamma$  has a simple description as a matrix group from which Property (T) and the non-Hopfian property for  $\Gamma/Z$  are easily checked (Proposition 2.7). Section 3 is devoted to prove finite presentability of  $\Gamma$ . We use here a general criterion for finite presentability of  $S$ -arithmetic groups, due to Abels [A2]. It involves the computation of the first and second cohomology group of a suitable Lie algebra.

## 2. PROOFS OF ALL RESULTS EXCEPT FINITE PRESENTABILITY OF $\Gamma$

We need some facts about Property (T).

**Lemma 2.1** (see [HV, Chap. 3, Théorème 4]). *Let  $G$  be a locally compact group, and  $\Gamma$  a lattice in  $G$ . Then  $G$  has Property (T) if and only if  $\Gamma$  has Property (T).  $\square$*

The next lemma is an immediate consequence of the classification of semisimple algebraic groups over local fields with Property (T) (see [Ma, Chap. III, Theorem 5.6]) and S. P. Wang’s results on the non-semisimple case [Wa, Theorem 2.10].

**Lemma 2.2.** *Let  $\mathbf{K}$  be a local field,  $G$  a connected linear algebraic group defined over  $\mathbf{K}$ . Suppose that  $G$  is perfect, and, for every simple quotient  $S$  of  $G$ , either  $S$  has  $\mathbf{K}$ -rank  $\geq 2$ , or  $\mathbf{K} = \mathbf{R}$  and  $S$  is isogeneous to either  $\text{Sp}(n, 1)$  ( $n \geq 2$ ) or  $\text{F}_{4(-20)}$ . If  $\text{char}(\mathbf{K}) > 0$ , suppose in addition that  $G$  has a Levi decomposition defined over  $\mathbf{K}$ . Then  $G(\mathbf{K})$  has Property (T).  $\square$*

*Proof of Proposition 1.1.* The group  $\text{SL}_n(\mathbf{Z}) \ltimes \text{Mat}_{mn}(\mathbf{Z})$  is linear in dimension  $n+m$ . As a semidirect product of two finitely presented groups, it is finitely presented.

For every  $k \geq 2$ , it is isomorphic to its proper subgroup  $\mathrm{SL}_n(\mathbf{Z}) \ltimes k\mathrm{Mat}_{mn}(\mathbf{Z})$  of finite index  $k^{mn}$ .

The group  $\mathrm{GL}_m(\mathbf{Z})$  acts on  $\mathrm{Mat}_{mn}(\mathbf{Z})$  by right multiplication. Since this action commutes with the left multiplication of  $\mathrm{SL}_n(\mathbf{Z})$ ,  $\mathrm{GL}_m(\mathbf{Z})$  acts on the semidirect product  $\mathrm{SL}_n(\mathbf{Z}) \ltimes \mathrm{Mat}_{mn}(\mathbf{Z})$  by automorphisms, and, by an immediate verification, this gives an embedding of  $\mathrm{GL}_m(\mathbf{Z})$  if  $n$  is odd or  $\mathrm{PGL}_m(\mathbf{Z})$  if  $n$  is even into  $\mathrm{Out}(\mathrm{SL}_n(\mathbf{Z}) \ltimes \mathrm{Mat}_{mn}(\mathbf{Z}))$  (it can be shown that this is an isomorphism if  $n$  is odd; if  $n$  is even, the image has index two). In particular, if  $m \geq 2$ , then  $\mathrm{SL}_n(\mathbf{Z}) \ltimes \mathrm{Mat}_{mn}(\mathbf{Z})$  has infinite outer automorphism group.

On the other hand, in view of Lemma 2.1, it has Property (T) (actually for all  $m \geq 0$ ): indeed,  $\mathrm{SL}_n(\mathbf{Z}) \ltimes \mathrm{Mat}_{mn}(\mathbf{Z})$  is a lattice in  $\mathrm{SL}_n(\mathbf{R}) \ltimes \mathrm{Mat}_{mn}(\mathbf{R})$ , which has Property (T) by Lemma 2.2 as  $n \geq 3$ .  $\square$

We now turn to the proof of Theorem 1.3. The following lemma is immediate, and already used in [Ha] and [A1].

**Lemma 2.3.** *Let  $\Gamma$  be a group,  $Z$  a central subgroup. Let  $\alpha$  be an automorphism of  $\Gamma$  such that  $\alpha(Z)$  is a proper subgroup of  $Z$ . Then  $\alpha$  induces a surjective, non-injective endomorphism of  $\Gamma/Z$ , whose kernel is  $\alpha^{-1}(Z)/Z$ .*  $\square$

**Definition 2.4.** Fix  $n_1, n_2, n_3, n_4 \in \mathbf{N} - \{0\}$  with  $n_2, n_3 \geq 3$ . We set  $\Gamma = G(\mathbf{Z}[1/p])$ , where  $p$  is any prime, and  $G$  is algebraic the group defined as matrices by blocks of size  $n_1, n_2, n_3, n_4$ :

$$\begin{pmatrix} I_{n_1} & (*)_{12} & (*)_{13} & (*)_{14} \\ 0 & (**)_{22} & (*)_{23} & (*)_{24} \\ 0 & 0 & (**)_{33} & (*)_{34} \\ 0 & 0 & 0 & I_{n_4} \end{pmatrix},$$

where  $(*)$  denote any matrices and  $(**)_{ii}$  denote matrices in  $\mathrm{SL}_{n_i}$ ,  $i = 2, 3$ .

The centre of  $G$  consists of matrices of the form  $\begin{pmatrix} I_{n_1} & 0 & 0 & (*)_{14} \\ 0 & I_{n_2} & 0 & 0 \\ 0 & 0 & I_{n_3} & 0 \\ 0 & 0 & 0 & I_{n_4} \end{pmatrix}$ . Define

$Z$  as the centre of  $G(\mathbf{Z})$ .

*Remark 2.5.* This group is related to an example of Abels: in [A1] he considers the same group, but with blocks  $1 \times 1$ , and  $\mathrm{GL}_1$  instead of  $\mathrm{SL}_1$  in the diagonal. Taking the points over  $\mathbf{Z}[1/p]$ , and taking the quotient by a cyclic subgroup if the centre, this provided the first example of finitely presentable non-Hopfian solvable group.

*Remark 2.6.* If we do not care about finite presentability, we can take  $n_3 = 0$  (i.e. 3 blocks suffice).

We begin by easy observations. Identify  $\mathrm{GL}_{n_1}$  to the upper left diagonal block. It acts by conjugation on  $G$  as follows:

$$\begin{pmatrix} u & 0 & 0 & 0 \\ 0 & I & 0 & 0 \\ 0 & 0 & I & 0 \\ 0 & 0 & 0 & I \end{pmatrix} \cdot \begin{pmatrix} I & A_{12} & A_{13} & A_{14} \\ 0 & B_2 & A_{23} & A_{24} \\ 0 & 0 & B_3 & A_{34} \\ 0 & 0 & 0 & I \end{pmatrix} = \begin{pmatrix} I & uA_{12} & uA_{13} & uA_{14} \\ 0 & B_2 & A_{23} & A_{24} \\ 0 & 0 & B_3 & A_{34} \\ 0 & 0 & 0 & I \end{pmatrix}.$$

This gives an action of  $\mathrm{GL}_{n_1}$  on  $G$ , and also on its centre, and this latter action is faithful. In particular, for every commutative ring  $R$ ,  $\mathrm{GL}_{n_1}(R)$  embeds in  $\mathrm{Out}(G(R))$ .

From now on, we suppose that  $R = \mathbf{Z}[1/p]$ , and  $u = pI_{n_1}$ . The automorphism of  $\Gamma = G(\mathbf{Z}[1/p])$  induced by  $u$  maps  $Z$  to its proper subgroup  $Z^p$ . In view of Lemma 2.3, this implies that  $\Gamma/Z$  is non-Hopfian.

**Proposition 2.7.** *The groups  $\Gamma$  and  $\Gamma/Z$  are finitely generated, have Property (T), and  $\Gamma/Z$  is non-Hopfian.*

*Proof.* We have just proved that  $\Gamma/Z$  is non-Hopfian. By the Borel-Harish-Chandra Theorem [BHC],  $\Gamma$  is a lattice in  $G(\mathbf{R}) \times G(\mathbf{Q}_p)$ . This group has Property (T) as a consequence of Lemma 2.2. By Lemma 2.1,  $\Gamma$  also has Property (T). Finite generation is a consequence of Property (T) [HV, Lemme 10]. Since Property (T) is (trivially) inherited by quotients,  $\Gamma/Z$  also has Property (T).  $\square$

*Remark 2.8.* This group has a surjective endomorphism with nontrivial finite kernel. We have no analogous example with infinite kernel. Such examples might be constructed if we could prove that some groups over rings of dimension  $\geq 2$  such as  $\mathrm{SL}_n(\mathbf{Z}[X])$  or  $\mathrm{SL}_n(\mathbf{F}_p[X, Y])$  have Property (T), but this is an open problem [Sh]. The non-Hopfian Kazhdan group of Ollivier and Wise [OW] is torsion-free, so the kernel is infinite in their case.

*Remark 2.9.* It is easy to check that  $\mathrm{GL}_{n_1}(\mathbf{Z}) \times \mathrm{GL}_{n_4}(\mathbf{Z})$  embeds in  $\mathrm{Out}(\Gamma)$  and  $\mathrm{Out}(\Gamma/Z)$ . In particular, if  $\max(n_1, n_2) \geq 2$ , then these outer automorphism groups are infinite.

We finish this section by observing that  $Z$  is a finitely generated subgroup of the centre of  $\Gamma$ , so that finite presentability of  $\Gamma/Z$  immediately follows from that of  $\Gamma$ .

### 3. FINITE PRESENTABILITY OF $\Gamma$

We recall that a Hausdorff topological group  $H$  is *compactly presented* if there exists a compact generating subset  $C$  of  $H$  such that the abstract group  $H$  is the quotient of the group freely generated by  $C$  by relations of bounded length. See [A2, §1.1] for more about compact presentability.

Kneser [Kn] has proved that for every linear algebraic  $\mathbf{Q}_p$ -group, the  $S$ -arithmetic lattice  $G(\mathbf{Z}[1/p])$  is finitely presented if and only if  $G(\mathbf{Q}_p)$  is compactly presented. A characterization of the linear algebraic  $\mathbf{Q}_p$ -groups  $G$  such that  $G(\mathbf{Q}_p)$  compactly presented is given in [A2]. This criterion requires the study of a solvable cocompact subgroup of  $G(\mathbf{Q}_p)$ , which seems hard to carry out in our specific example.

Let us describe another sufficient criterion for compact presentability, also given in [A2], which is applicable to our example. Let  $U$  be the unipotent radical in  $G$ , and let  $S$  denote a Levi factor defined over  $\mathbf{Q}_p$ , so that  $G = S \ltimes U$ . Let  $\mathfrak{u}$  be the Lie algebra of  $U$ , and  $D$  be a maximal  $\mathbf{Q}_p$ -split torus in  $S$ . We recall that the first homology group of  $\mathfrak{u}$  is defined as the abelianization

$$H_1(\mathfrak{u}) = \mathfrak{u}/[\mathfrak{u}, \mathfrak{u}],$$

and the second homology group of  $\mathfrak{u}$  is defined as  $\mathrm{Ker}(d_2)/\mathrm{Im}(d_3)$ , where the maps

$$\mathfrak{u} \wedge \mathfrak{u} \wedge \mathfrak{u} \xrightarrow{d_3} \mathfrak{u} \wedge \mathfrak{u} \xrightarrow{d_2} \mathfrak{u}$$

are defined by:

$$d_2(x_1 \wedge x_2) = -[x_1, x_2] \quad \text{and} \quad d_3(x_1 \wedge x_2 \wedge x_3) = x_3 \wedge [x_1, x_2] + x_2 \wedge [x_3, x_1] + x_1 \wedge [x_2, x_3].$$

We can now state the result by Abels that we use (see [A2, Theorem 6.4.3 and Remark 6.4.5]).

**Theorem 3.1.** *Let  $G$  be a connected linear algebraic group over  $\mathbf{Q}_p$ . Suppose that the following assumptions are fulfilled:*

- (i)  $G$  is  $\mathbf{Q}_p$ -split.
- (ii)  $G$  has no simple quotient of  $\mathbf{Q}_p$ -rank one.
- (iii)  $0$  does not lie on the segment joining two dominant weights for the adjoint representation of  $S$  on  $H_1(\mathfrak{u})$ .
- (iv)  $0$  is not a dominant weight for an irreducible subrepresentation of the adjoint representation of  $S$  on  $H_2(\mathfrak{u})$ .

Then  $G(\mathbf{Q}_p)$  is compactly presented.  $\square$

We now return to our particular example of  $G$ , observe that it is clearly  $\mathbf{Q}_p$ -split, and that its simple quotients are  $\mathrm{SL}_{n_2}$  and  $\mathrm{SL}_{n_3}$ , which have rank greater than one. Keep the previous notations  $S$ ,  $D$ ,  $U$ ,  $\mathfrak{u}$ , so that  $S$  (resp.  $D$ ) denoting in our case the diagonal by blocks (resp. diagonal) matrices in  $G$ , and  $U$  denotes the matrices in  $G$  all of whose diagonal blocks are the identity. The set of indices of the matrix is partitioned as  $I = I_1 \sqcup I_2 \sqcup I_3 \sqcup I_4$ , with  $|I_j| = n_j$  as in Definition 2.4. It follows that, for every field  $K$ ,

$$\mathfrak{u}(K) = \left\{ T \in \mathrm{End}(K^I), \forall j, T(K^{I_j}) \subset \bigoplus_{i < j} K^{I_i} \right\}.$$

Throughout, we use the following notation: a letter such as  $i_k$  (or  $j_k$ , etc.) implicitly means  $i_k \in I_k$ . Define, in an obvious way, subgroups  $U_{ij}$ ,  $i < j$ , of  $U$ , and their Lie algebras  $\mathfrak{u}_{ij}$ .

We begin by checking Condition (iii) of Theorem 3.1.

**Lemma 3.2.** *For any two weights of the action of  $D$  on  $H_1(\mathfrak{u})$ ,  $0$  is not on the segment joining them.*

*Proof.* Recall that  $H_1(\mathfrak{u}) = \mathfrak{u}/[\mathfrak{u}, \mathfrak{u}]$ . So it suffices to look at the action on the supplement  $D$ -subspace  $\mathfrak{u}_{12} \oplus \mathfrak{u}_{23} \oplus \mathfrak{u}_{34}$  of  $[\mathfrak{u}, \mathfrak{u}]$ . Identifying  $S$  with  $\mathrm{SL}_{n_2} \times \mathrm{SL}_{n_3}$ , we denote  $(A, B)$  an element of  $D \subset S$ . We also denote by  $e_{pq}$  the matrix whose coefficient  $(p, q)$  equals one and all others are zero.

$$(A, B) \cdot e_{i_1 j_2} = a_{j_2}^{-1} e_{i_1 j_2}, \quad (A, B) \cdot e_{j_2 k_3} = a_{j_2} b_{k_3}^{-1} e_{j_2 k_3}, \quad (A, B) \cdot e_{k_3 \ell_4} = b_{k_3} e_{k_3 \ell_4}.$$

Since  $S = \mathrm{SL}_{n_2} \times \mathrm{SL}_{n_3}$ , the weights for the adjoint action on  $\mathfrak{u}_{12} \oplus \mathfrak{u}_{23} \oplus \mathfrak{u}_{34}$  live in  $M/P$ , where  $M$  is the free  $\mathbf{Z}$ -module of rank  $n_2 + n_3$  with basis  $(u_1, \dots, u_{n_2}, v_1, \dots, v_{n_3})$ , and  $P$  is the plane generated by  $\sum_{j_2} u_{j_2}$  and  $\sum_{k_3} v_{k_3}$ . Thus, the weights are (modulo  $P$ )  $-u_{j_2}$ ,  $u_{j_2} - v_{k_3}$ ,  $v_{k_3}$  ( $1 \leq j_2 \leq n_2$ ,  $1 \leq k_3 \leq n_3$ ).

Using that  $n_2, n_3 \geq 3$ , it is clear that no nontrivial positive combination of two weights (viewed as elements of  $\mathbf{Z}^{n_2+n_3}$ ) lies in  $P$ .  $\square$

We must now check Condition (iv) of Theorem 3.1, and therefore compute  $H_2(\mathfrak{u})$  as a  $D$ -module.

**Lemma 3.3.**  *$\mathrm{Ker}(d_2)$  is generated by*

- (1)  $\mathfrak{u}_{12} \wedge \mathfrak{u}_{12}$ ,  $\mathfrak{u}_{23} \wedge \mathfrak{u}_{23}$ ,  $\mathfrak{u}_{34} \wedge \mathfrak{u}_{34}$ ,  $\mathfrak{u}_{13} \wedge \mathfrak{u}_{23}$ ,  $\mathfrak{u}_{23} \wedge \mathfrak{u}_{24}$ ,  $\mathfrak{u}_{12} \wedge \mathfrak{u}_{13}$ ,  $\mathfrak{u}_{24} \wedge \mathfrak{u}_{34}$ ,  $\mathfrak{u}_{12} \wedge \mathfrak{u}_{34}$ .

- (2)  $\mathfrak{u}_{14} \wedge \mathfrak{u}, \mathfrak{u}_{13} \wedge \mathfrak{u}_{13}, \mathfrak{u}_{24} \wedge \mathfrak{u}_{24}, \mathfrak{u}_{13} \wedge \mathfrak{u}_{24}$ .
- (3)  $e_{i_1 j_2} \wedge e_{k_2 \ell_3}$  ( $j_2 \neq k_2$ ),  $e_{i_2 j_3} \wedge e_{k_3 \ell_4}$  ( $j_3 \neq \ell_3$ ).
- (4)  $e_{i_1 j_2} \wedge e_{k_2 \ell_4}$  ( $j_2 \neq k_2$ ),  $e_{i_1 j_3} \wedge e_{k_3 \ell_4}$  ( $j_3 \neq k_3$ ).
- (5) Elements of the form  $\sum_{j_2} \alpha_{j_2} (e_{i_1 j_2} \wedge e_{j_2 k_3})$  if  $\sum_{j_2} \alpha_{j_2} = 0$ , and  $\sum_{j_3} \alpha_{j_3} (e_{i_2 j_3} \wedge e_{j_3 k_4})$  if  $\sum_{j_3} \alpha_{j_3} = 0$ .
- (6) Elements of the form  $\sum_{j_2} \alpha_{j_2} (e_{i_1 j_2} \wedge e_{j_2 k_4}) + \sum_{j_3} \beta_{j_3} (e_{i_1 j_3} \wedge e_{j_3 k_4})$  if  $\sum_{j_2} \alpha_{j_2} + \sum_{j_3} \beta_{j_3} = 0$ .

*Proof.* First observe that  $\text{Ker}(d_2)$  contains  $\mathfrak{u}_{ij} \wedge \mathfrak{u}_{kl}$  when  $[\mathfrak{u}_{ij}, \mathfrak{u}_{kl}] = 0$ . This corresponds to (1) and (2). The remaining cases are  $\mathfrak{u}_{12} \wedge \mathfrak{u}_{23}, \mathfrak{u}_{23} \wedge \mathfrak{u}_{34}, \mathfrak{u}_{12} \wedge \mathfrak{u}_{24}, \mathfrak{u}_{13} \wedge \mathfrak{u}_{34}$ .

On the one hand,  $\text{Ker}(d_2)$  also contains  $e_{i_1 j_2} \wedge e_{k_2 \ell_3}$  if  $j_2 \neq k_2$ , etc.; this corresponds to elements in (3), (4). On the other hand,  $d_2(e_{i_1 j_2} \wedge e_{j_2 k_3}) = -e_{i_1 k_3}, d_2(e_{i_2 j_3} \wedge e_{j_3 k_4}) = -e_{i_2 k_4}, d_2(e_{i_1 j_2} \wedge e_{j_2 k_4}) = -e_{i_1 k_4}, d_2(e_{i_1 j_3} \wedge e_{j_3 k_4}) = -e_{i_1 k_4}$ . The lemma follows.  $\square$

**Definition 3.4.** Denote by  $\mathfrak{b}$  (resp.  $\mathfrak{h}$ ) the subspace generated by elements in (2), (4), and (6) (resp. in (1), (3), and (5)) of Lemma 3.3.

**Proposition 3.5.**  $\text{Im}(d_3) = \mathfrak{b}$ , and  $\text{Ker}(d_2) = \mathfrak{b} \oplus \mathfrak{h}$  as  $D$ -module. In particular,  $H_2(\mathfrak{u})$  is isomorphic to  $\mathfrak{h}$  as a  $D$ -module.

*Proof.* We first prove, in a series of facts, that  $\text{Im}(d_3) \supset \mathfrak{b}$ .

**Fact.**  $\mathfrak{u}_{14} \wedge \mathfrak{u}$  is contained in  $\text{Im}(d_3)$ .

*Proof.* If  $z \in \mathfrak{u}_{14}$ , then  $d_3(x \wedge y \wedge z) = z \wedge [x, y]$ . This already shows that  $\mathfrak{u}_{14} \wedge (\mathfrak{u}_{13} \oplus \mathfrak{u}_{24} \oplus \mathfrak{u}_{14})$  is contained in  $\text{Im}(d_3)$ , since  $[\mathfrak{u}, \mathfrak{u}] = \mathfrak{u}_{13} \oplus \mathfrak{u}_{24} \oplus \mathfrak{u}_{14}$ .

Now, if  $(x, y, z) \in \mathfrak{u}_{24} \times \mathfrak{u}_{12} \times \mathfrak{u}_{34}$ , then  $d_3(x \wedge y \wedge z) = z \wedge [x, y]$ . Since  $[\mathfrak{u}_{24}, \mathfrak{u}_{12}] = \mathfrak{u}_{14}$ , this implies that  $\mathfrak{u}_{14} \wedge \mathfrak{u}_{34} \subset \text{Im}(d_3)$ . Similarly,  $\mathfrak{u}_{14} \wedge \mathfrak{u}_{12} \subset \text{Im}(d_3)$ .

Finally we must prove that  $\mathfrak{u}_{14} \wedge \mathfrak{u}_{23} \subset \text{Im}(d_3)$ . This follows from the formula  $e_{i_1 j_4} \wedge e_{k_2 \ell_3} = d_3(e_{i_1 m_2} \wedge e_{k_2 \ell_3} \wedge e_{m_2 j_4})$ , where  $m_2 \neq k_2$  (so that we use that  $|I_2| \geq 2$ ).  $\square$

**Fact.**  $\mathfrak{u}_{13} \wedge \mathfrak{u}_{13}$  and, similarly,  $\mathfrak{u}_{24} \wedge \mathfrak{u}_{24}$ , are contained in  $\text{Im}(d_3)$ .

*Proof.* If  $(x, y, z) \in \mathfrak{u}_{12} \times \mathfrak{u}_{23} \times \mathfrak{u}_{13}$ , then  $d_3(x \wedge y \wedge z) = z \wedge [x, y]$ . Since  $[\mathfrak{u}_{12}, \mathfrak{u}_{23}] = \mathfrak{u}_{13}$ , this implies that  $\mathfrak{u}_{13} \wedge \mathfrak{u}_{13} \subset \text{Im}(d_3)$ .  $\square$

**Fact.**  $\mathfrak{u}_{13} \wedge \mathfrak{u}_{24}$  is contained in  $\text{Im}(d_3)$ .

*Proof.*  $d_3(e_{i_1 k_2} \wedge e_{k_2 \ell_3} \wedge e_{k_2 j_4}) = e_{k_2 j_4} \wedge e_{i_1 \ell_3} + e_{i_1 j_4} \wedge e_{k_2 \ell_3}$ . Since we already know that  $e_{i_1 j_4} \wedge e_{k_2 \ell_3} \in \text{Im}(d_3)$ , this implies  $e_{k_2 j_4} \wedge e_{i_1 \ell_3} \in \text{Im}(d_3)$ .  $\square$

**Fact.** The elements in (4) are in  $\text{Im}(d_3)$ .

*Proof.*  $d_3(e_{i_1 j_2} \wedge e_{j_2 k_3} \wedge e_{\ell_3 m_4}) = -e_{i_1 k_3} \wedge e_{\ell_3 m_4}$  if  $k_3 \neq \ell_3$ . The other case is similar.  $\square$

**Fact.** The elements in (6) are in  $\text{Im}(d_3)$ .

*Proof.*  $d_3(e_{i_1 j_2} \wedge e_{j_2 k_3} \wedge e_{k_3 \ell_4}) = -e_{i_1 k_3} \wedge e_{k_3 \ell_4} + e_{i_1 j_2} \wedge e_{j_2 \ell_4}$ . Such elements generate all elements as in (6).  $\square$

Conversely, we must check  $\text{Im}(d_3) \subset \mathfrak{b}$ . By straightforward verifications:

- $d_3(\mathfrak{u}_{14} \wedge \mathfrak{u} \wedge \mathfrak{u}) \subset \mathfrak{u}_{14} \wedge \mathfrak{u}$ .

- $d_3(\mathfrak{u}_{13} \wedge \mathfrak{u}_{23} \wedge \mathfrak{u}_{24}) = 0$
- $d_3(\mathfrak{u}_{12} \wedge \mathfrak{u}_{13} \wedge \mathfrak{u}_{24}), d_3(\mathfrak{u}_{13} \wedge \mathfrak{u}_{24} \wedge \mathfrak{u}_{34}), d_3(\mathfrak{u}_{12} \wedge \mathfrak{u}_{13} \wedge \mathfrak{u}_{34}), d_3(\mathfrak{u}_{12} \wedge \mathfrak{u}_{24} \wedge \mathfrak{u}_{34})$  are all contained in  $\mathfrak{u}_{14} \wedge \mathfrak{u}$ .
- $d_3(\mathfrak{u}_{12} \wedge \mathfrak{u}_{13} \wedge \mathfrak{u}_{23}) \subset \mathfrak{u}_{13} \wedge \mathfrak{u}_{13}$ , and similarly  $d_3(\mathfrak{u}_{23} \wedge \mathfrak{u}_{24} \wedge \mathfrak{u}_{34}) \subset \mathfrak{u}_{24} \wedge \mathfrak{u}_{24}$ .
- $d_3(\mathfrak{u}_{12} \wedge \mathfrak{u}_{23} \wedge \mathfrak{u}_{24})$  and similarly  $d_3(\mathfrak{u}_{13} \wedge \mathfrak{u}_{23} \wedge \mathfrak{u}_{34})$  are contained in  $\mathfrak{u}_{14} \wedge \mathfrak{u}_{23} + \mathfrak{u}_{13} \wedge \mathfrak{u}_{24}$ .
- The only remaining case is that of  $\mathfrak{u}_{12} \wedge \mathfrak{u}_{23} \wedge \mathfrak{u}_{34}$ :  $d_3(e_{i_1 j_2} \wedge e_{j'_2 k_3} \wedge e_{k'_3 \ell_4}) = \delta_{k_3 k'_3} e_{i_1 j_2} \wedge e_{j'_2 \ell_4} - \delta_{j_2 j'_2} e_{i_1 k_3} \wedge e_{k'_3 \ell_4}$ , which lies in (4) or in (6).

Finally  $\text{Im}(d_3) = \mathfrak{b}$ .

It follows from Lemma 3.3 that  $\text{Ker}(d_2) = \mathfrak{h} \oplus \mathfrak{b}$ . Since  $\mathfrak{b} = \text{Im}(d_3)$ , this is a  $D$ -submodule. Let us check that  $\mathfrak{h}$  is also a  $D$ -submodule; the computation will be used in the sequel.

The action of  $S$  on  $\mathfrak{u}$  by *conjugation* is given by:

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & A & 0 & 0 \\ 0 & 0 & B & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 0 & X_{12} & X_{13} & X_{14} \\ 0 & 0 & X_{23} & X_{24} \\ 0 & 0 & 0 & X_{34} \\ 0 & 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & X_{12}A^{-1} & X_{13}B^{-1} & X_{14} \\ 0 & 0 & AX_{23}B^{-1} & AX_{24} \\ 0 & 0 & 0 & BX_{34} \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

We must look at the action of  $D$  on the elements in (1), (3), and (5). We fix  $(A, B) \in D \subset S \simeq \text{SL}_{n_2} \times \text{SL}_{n_3}$ , and we write  $A = \sum_{j_2} a_{j_2} e_{j_2 j_2}$  and  $B = \sum_{k_3} b_{k_3} e_{k_3 k_3}$ .

- (1):

$$(3.1) \quad (A, B) \cdot e_{i_1 j_2} \wedge e_{k_1 \ell_2} = e_{i_1 j_2} A^{-1} \wedge e_{k_1 \ell_2} A^{-1} = a_{j_2}^{-1} a_{\ell_2}^{-1} e_{i_1 j_2} \wedge e_{k_1 \ell_2}.$$

The action on other elements in (1) has a similar form.

- (3) ( $j_2 \neq k_2$ ):

$$(3.2) \quad (A, B) \cdot e_{i_1 j_2} \wedge e_{k_2 \ell_3} = e_{i_1 j_2} A^{-1} \wedge A e_{k_2 \ell_3} B^{-1} = a_{j_2}^{-1} a_{k_2} b_{\ell_3}^{-1} e_{i_1 j_2} \wedge e_{k_2 \ell_3}.$$

The action on the other elements in (3) has a similar form.

- (5) ( $\sum_{j_2} \alpha_{j_2} = 0$ )

$$(3.3) \quad \begin{aligned} (A, B) \cdot \sum_{j_2} \alpha_{j_2} (e_{i_1 j_2} \wedge e_{j_2 k_3}) &= \sum_{j_2} \alpha_{j_2} (e_{i_1 j_2} A^{-1} \wedge A e_{j_2 k_3} B^{-1}) \\ &= \sum_{j_2} \alpha_{j_2} a_{j_2}^{-1} (e_{i_1 j_2} \wedge a_{j_2} b_{k_3}^{-1} e_{j_2 k_3}) = b_{k_3}^{-1} \left( \sum_{j_2} \alpha_{j_2} (e_{i_1 j_2} \wedge e_{j_2 k_3}) \right). \end{aligned}$$

The other case in (5) has a similar form.  $\square$

**Lemma 3.6.**  *$\theta$  is not a weight for the action of  $D$  on  $H_2(\mathfrak{u})$ .*

*Proof.* As described in the proof of Lemma 3.2, we think of weights as elements of  $M/P$ . Hence, we describe weights as elements of  $M = \mathbf{Z}^{n_2+n_3}$  rather than  $M/P$ , and must check that no weight lies in  $P$ .

- (1) In (3.1), the weight is  $-u_{j_2} - u_{\ell_2}$ , hence does not belong to  $P$  since  $n_2 \geq 3$ . The other verifications are similar.
- (3) In (3.2), the weight is  $-u_{j_2} + u_{k_2} - v_{\ell_3}$ , hence does not belong to  $P$ . The other verification for (3) is similar.

(5) In (3.3), the weight is  $-v_{k_3}$ , hence does not belong to  $P$ . The other verification is similar.  $\square$

Finally, Lemmas 3.2 and 3.6 imply that the conditions of Theorem 3.1 are satisfied, so that  $\Gamma$  is finitely presented.  $\square$

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