Steep tilings and sequences of interlaced partitions

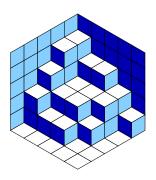
Jérémie Bouttier

Joint work with Guillaume Chapuy and Sylvie Corteel
arXiv:1407.0665

Institut de Physique Théorique, CEA Saclay Département de mathématiques et applications, ENS Paris

Integrability and Representation Theory seminar University of Illinois at Urbana-Champaign 24 September 2014

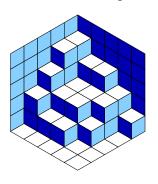
Rhombus tiling



Plane partition

4 3 3 2 4 2 2 2 3 2 2 1 2 1 1

Rhombus tiling



Plane partition

Sequence of interlaced partitions

An (integer) partition λ is a finite non-increasing sequence of integers

$$\lambda_1 \ge \lambda_2 \ge \lambda_3 \ge \cdots \ge \lambda_\ell > 0$$

(By convention we set $\lambda_i = 0$ for $i \geq \ell$.)

We say that λ and μ are (horizontally) interlaced, and denote $\lambda \succ \mu$, iff

$$\lambda_1 \ge \mu_1 \ge \lambda_2 \ge \mu_2 \ge \lambda_3 \ge \cdots$$

An (integer) partition λ is a finite non-increasing sequence of integers

$$\lambda_1 \geq \lambda_2 \geq \lambda_3 \geq \cdots \geq \lambda_\ell > 0$$

(By convention we set $\lambda_i = 0$ for $i \geq \ell$.)

We say that λ and μ are (horizontally) interlaced, and denote $\lambda \succ \mu$, iff

$$\lambda_1 \ge \mu_1 \ge \lambda_2 \ge \mu_2 \ge \lambda_3 \ge \cdots$$

Plane partitions correspond to sequences of interlaced partitions:

$$\cdots \lambda^{(-2)} \prec \lambda^{(-1)} \prec \lambda^{(0)} \succ \lambda^{(1)} \succ \lambda^{(2)} \succ \cdots$$

with $\lambda^{(i)} = \emptyset$ for |i| large enough.



Define the size of a partition/plane partition as the sum of its entries.

Define the size of a partition/plane partition as the sum of its entries.

$$\sum_{ ext{plane partitions}} q^{ ext{size}} = \prod_{k=1}^{\infty} rac{1}{(1-q^k)^k} \qquad ext{[McMahon]}$$

Define the size of a partition/plane partition as the sum of its entries.

$$\sum_{ extstyle{ t plane partitions}} q^{ extstyle{ t size}} = \prod_{k=1}^{\infty} rac{1}{(1-q^k)^k} \qquad extstyle{ t [McMahon]}$$

The sequence of interlaced partitions corresponding to a random plane partition drawn with probability proportional to $q^{\rm size}$ (0 $\leq q < 1$) forms a Schur process [Okounkov-Reshetikin 2003].

Define the size of a partition/plane partition as the sum of its entries.

$$\sum_{ ext{plane partitions}} q^{ ext{size}} = \prod_{k=1}^{\infty} rac{1}{(1-q^k)^k} \qquad ext{[McMahon]}$$

The sequence of interlaced partitions corresponding to a random plane partition drawn with probability proportional to q^{size} ($0 \le q < 1$) forms a Schur process [Okounkov-Reshetikin 2003].

For instance the "state" $\lambda^{(0)}$ of the main diagonal is drawn with probability proportional to

$$\left(s_{\lambda^{(0)}}(q^{1/2},q^{3/2},q^{5/2},\ldots)\right)^2.$$



Define the size of a partition/plane partition as the sum of its entries.

$$\sum_{ ext{plane partitions}} q^{ ext{size}} = \prod_{k=1}^{\infty} rac{1}{(1-q^k)^k} \qquad ext{[McMahon]}$$

The sequence of interlaced partitions corresponding to a random plane partition drawn with probability proportional to q^{size} ($0 \le q < 1$) forms a Schur process [Okounkov-Reshetikin 2003].

For instance the "state" $\lambda^{(0)}$ of the main diagonal is drawn with probability proportional to

$$\left(s_{\lambda^{(0)}}(q^{1/2},q^{3/2},q^{5/2},\ldots)\right)^2.$$

How about tilings made of dominos instead of rhombi?



Motivations

In general:

- statistical mechanics: rhombus/domino tilings = dimer model on honeycomb/square lattice
- enumerative combinatorics: beautiful enumeration formulas
- probability theory: determinantal correlations, limit shape phenomena, interesting limiting processes related to random matrices
- algebraic geometry: Donaldson-Thomas theory

For our specific work: understand precisely the connection between domino tilings and interlaced partitions, implicitly hinted at in works of Johansson, Borodin, etc.

Motivations

In general:

- statistical mechanics: rhombus/domino tilings = dimer model on honeycomb/square lattice
- enumerative combinatorics: beautiful enumeration formulas
- probability theory: determinantal correlations, limit shape phenomena, interesting limiting processes related to random matrices
- algebraic geometry: Donaldson-Thomas theory

For our specific work: understand precisely the connection between domino tilings and interlaced partitions, implicitly hinted at in works of Johansson, Borodin, etc. Have fun with "vertex operators" (a recreation after a reading group on the works from the Kyoto school: solitons, infinite dimensional Lie algebras and all that).

Outline

Steep tilings

2 Bijection with sequences of interlaced partitions

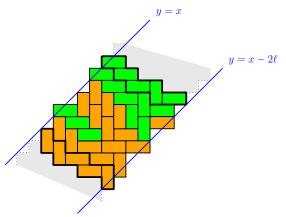
3 Enumeration via the vertex operator formalism

Outline

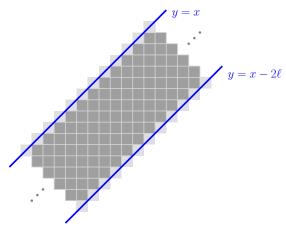
Steep tilings

2 Bijection with sequences of interlaced partitions

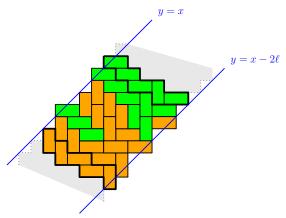
3 Enumeration via the vertex operator formalism



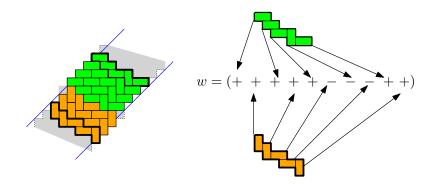
- A domino tiling of the oblique strip $x 2\ell \le y \le x$
- Steepness condition: we eventually find only north or east dominos in the NE direction, south or west in the SW direction.



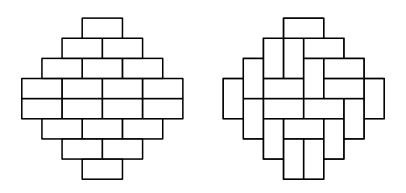
- A domino tiling of the oblique strip $x 2\ell \le y \le x$
- Steepness condition: we eventually find only north or east dominos in the NE direction, south or west in the SW direction.



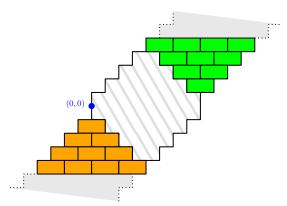
- A domino tiling of the oblique strip $x 2\ell \le y \le x$
- Steepness condition: we eventually find only north or east dominos in the NE direction, south or west in the SW direction.



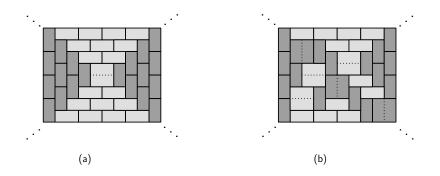
The steepness condition implies that the tiling is eventually periodic in both directions. The two repeated patterns define the asymptotic data $w \in \{+,-\}^{2\ell}$ of the tiling. For fixed w there is a unique (up to translation) minimal tiling which is periodic from the start.



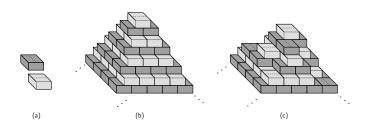
Domino tilings of the Aztec diamond [Elkies et al.]



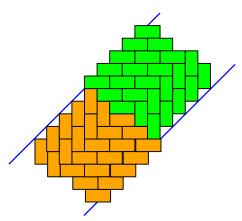
Domino tilings of the Aztec diamond [Elkies *et al.*] correspond to steep tilings with asymptotic data +-+-+-+-...



Pyramid partitions [Kenyon, Szendrői, Young]



Pyramid partitions [Kenyon, Szendrői, Young]



Pyramid partitions [Kenyon, Szendrői, Young] correspond to steep tilings with asymptotic data $\ldots + + + + + - - - - - \ldots$

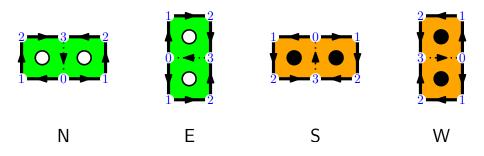
Outline

Steep tilings

2 Bijection with sequences of interlaced partitions

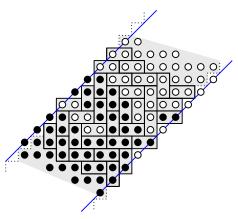
3 Enumeration via the vertex operator formalism

Particle configurations



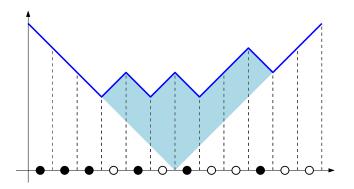
To each steep tiling we may associate a particle configuration by filling each square covered by a N or E domino with a white particle, and each square covered by a S or W domino with a black particle.

Particle configurations



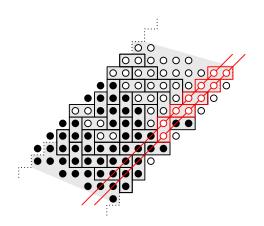
To each steep tiling we may associate a particle configuration by filling each square covered by a N or E domino with a white particle, and each square covered by a S or W domino with a black particle.

Integer partitions



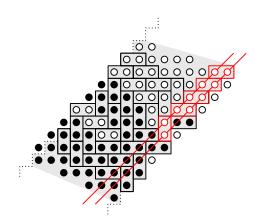
Particles along a diagonal form a "Maya diagram" which codes an integer partition (here 421).

Interlacing of particles

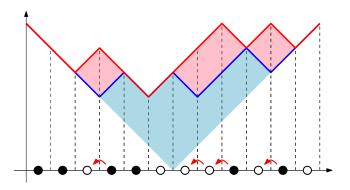


Between two successive even/odd diagonals, the white particles must be adjacent.

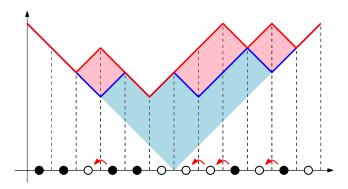
Interlacing of particles



Between two successive even/odd diagonals, the white particles must be adjacent. Conversely, between two successive odd/even diagonals, the black particles must be adjacent.



Between two successive even/odd diagonals, a finite number of white particles can be moved one site to the left (+) or to the right (-) in the Maya diagram (depending on asymptotic data). This corresponds to adding/removing a horizontal strip to the associated partition.



Between two successive even/odd diagonals, a finite number of white particles can be moved one site to the left (+) or to the right (-) in the Maya diagram (depending on asymptotic data). This corresponds to adding/removing a horizontal strip to the associated partition. Conversely, between two successive odd/even diagonals, a vertical strip is added/removed.

For λ, μ two integer partitions, the following are equivalent:

- λ/μ is a horizontal strip,
- $\lambda_1 \geq \mu_1 \geq \lambda_2 \geq \mu_2 \geq \lambda_3 \geq \cdots$,
- $\lambda'_i \mu'_i \in \{0,1\}$ for all i.

Notation: $\lambda \succ \mu$ or $\mu \prec \lambda$.

For λ, μ two integer partitions, the following are equivalent:

- λ/μ is a horizontal strip,
- $\lambda_1 \geq \mu_1 \geq \lambda_2 \geq \mu_2 \geq \lambda_3 \geq \cdots$,
- $\lambda'_i \mu'_i \in \{0,1\}$ for all i.

Notation: $\lambda \succ \mu$ or $\mu \prec \lambda$.

Similarly, the following are equivalent:

- λ/μ is a vertical strip,
- $\lambda_1' \geq \mu_1' \geq \lambda_2' \geq \mu_2' \geq \lambda_3' \geq \cdots$,
- $\lambda_i \mu_i \in \{0,1\}$ for all i.

Notation: $\lambda \succ' \mu$ or $\mu \prec' \lambda$.

The fundamental bijection

For a fixed word $w \in \{+, -\}^{2\ell}$, there is a one-to-one correspondence between steep tilings of asymptotic data w and sequences of partitions $(\lambda^{(0)}, \lambda^{(1)}, \dots, \lambda^{(2\ell)})$ satisfying for all $k = 1, \dots, \ell$:

- $\lambda^{(2k-2)} \prec \lambda^{(2k-1)}$ if $w_{2k-1} = +$, and $\lambda^{(2k-2)} \succ \lambda^{(2k-1)}$ if $w_{2k-1} = -$,
- $\lambda^{(2k-1)} \prec' \lambda^{(2k)}$ if $w_{2k} = +$, and $\lambda^{(2k-1)} \succ' \lambda^{(2k)}$ if $w_{2k} = -$.

The fundamental bijection

For a fixed word $w \in \{+, -\}^{2\ell}$, there is a one-to-one correspondence between steep tilings of asymptotic data w and sequences of partitions $(\lambda^{(0)}, \lambda^{(1)}, \dots, \lambda^{(2\ell)})$ satisfying for all $k = 1, \dots, \ell$:

- $\lambda^{(2k-2)} \prec \lambda^{(2k-1)}$ if $w_{2k-1} = +$, and $\lambda^{(2k-2)} \succ \lambda^{(2k-1)}$ if $w_{2k-1} = -$,
- $\lambda^{(2k-1)} \prec' \lambda^{(2k)}$ if $w_{2k} = +$, and $\lambda^{(2k-1)} \succ' \lambda^{(2k)}$ if $w_{2k} = -$.

Examples:

Aztec diamond:

$$\emptyset = \lambda^{(0)} \prec \lambda^{(1)} \succ' \lambda^{(2)} \prec \lambda^{(3)} \succ' \lambda^{(4)} \prec \cdots \succ' \lambda^{(2\ell)} = \emptyset,$$

Pyramid partitions:

$$\emptyset = \lambda^{(0)} \prec \lambda^{(1)} \prec' \lambda^{(2)} \prec \cdots \prec' \lambda^{(\ell)} \succ \cdots \succ' \lambda^{(2\ell)} = \emptyset.$$

The fundamental bijection

For a fixed word $w \in \{+, -\}^{2\ell}$, there is a one-to-one correspondence between steep tilings of asymptotic data w and sequences of partitions $(\lambda^{(0)}, \lambda^{(1)}, \dots, \lambda^{(2\ell)})$ satisfying for all $k = 1, \dots, \ell$:

- $\lambda^{(2k-2)} \prec \lambda^{(2k-1)}$ if $w_{2k-1} = +$, and $\lambda^{(2k-2)} \succ \lambda^{(2k-1)}$ if $w_{2k-1} = -$,
- $\lambda^{(2k-1)} \prec' \lambda^{(2k)}$ if $w_{2k} = +$, and $\lambda^{(2k-1)} \succ' \lambda^{(2k)}$ if $w_{2k} = -$.

Examples:

- Aztec diamond:
 - $\emptyset = \lambda^{(0)} \prec \lambda^{(1)} \succ' \lambda^{(2)} \prec \lambda^{(3)} \succ' \lambda^{(4)} \prec \cdots \succ' \lambda^{(2\ell)} = \emptyset,$
- Pyramid partitions:

$$\emptyset = \lambda^{(0)} \prec \lambda^{(1)} \prec' \lambda^{(2)} \prec \cdots \prec' \lambda^{(\ell)} \succ \cdots \succ' \lambda^{(2\ell)} = \emptyset.$$

The size of $\lambda^{(m)}$ is equal to the number of flips on diagonal m in any shortest sequence of flips between the tiling at hand and the minimal tiling.

The fundamental bijection

For a fixed word $w \in \{+, -\}^{2\ell}$, there is a one-to-one correspondence between steep tilings of asymptotic data w and sequences of partitions $(\lambda^{(0)}, \lambda^{(1)}, \dots, \lambda^{(2\ell)})$ satisfying for all $k = 1, \dots, \ell$:

- $\lambda^{(2k-2)} \prec \lambda^{(2k-1)}$ if $w_{2k-1} = +$, and $\lambda^{(2k-2)} \succ \lambda^{(2k-1)}$ if $w_{2k-1} = -$,
- $\lambda^{(2k-1)} \prec' \lambda^{(2k)}$ if $w_{2k} = +$, and $\lambda^{(2k-1)} \succ' \lambda^{(2k)}$ if $w_{2k} = -$.

Examples:

Aztec diamond:

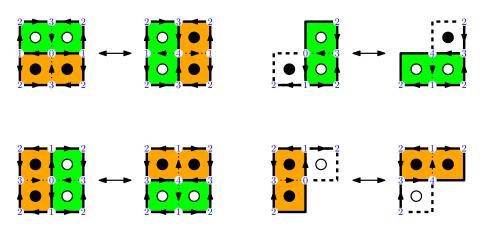
$$\emptyset = \lambda^{(0)} \prec \lambda^{(1)} \succ' \lambda^{(2)} \prec \lambda^{(3)} \succ' \lambda^{(4)} \prec \cdots \succ' \lambda^{(2\ell)} = \emptyset,$$

Pyramid partitions:

$$\emptyset = \lambda^{(0)} \prec \lambda^{(1)} \prec' \lambda^{(2)} \prec \cdots \prec' \lambda^{(\ell)} \succ \cdots \succ' \lambda^{(2\ell)} = \emptyset.$$

The size of $\lambda^{(m)}$ is equal to the number of flips on diagonal m in any shortest sequence of flips between the tiling at hand and the minimal tiling. Under natural statistics we obtain a Schur process [Okounkov-Reshetikhin].

Flips



Outline

Steep tilings

2 Bijection with sequences of interlaced partitions

3 Enumeration via the vertex operator formalism

Transfer matrices

Enumerating sequences of interlaced partitions is done via transfer matrices, which are here "vertex operators":

$$\begin{split} \langle \lambda | \Gamma_+(t) | \mu \rangle &= \langle \mu | \Gamma_-(t) | \lambda \rangle = \begin{cases} t^{|\mu| - |\lambda|} & \text{if } \lambda \prec \mu \\ 0 & \text{otherwise} \end{cases} \\ \langle \lambda | \Gamma'_+(t) | \mu \rangle &= \langle \mu | \Gamma'_-(t) | \lambda \rangle = \begin{cases} t^{|\mu| - |\lambda|} & \text{if } \lambda \prec' \mu \\ 0 & \text{otherwise} \end{cases} \end{split}$$

Example: Aztec diamond:

$$\langle \emptyset | \Gamma_+(z_1) \Gamma_-'(z_2) \Gamma_+(z_3) \Gamma_-'(z_4) \cdots | \emptyset \rangle$$

Bosonic representation

The transfer matrices can be rewritten as

$$\Gamma_{\pm}(t) = \exp\sum_{k\geq 1} \frac{t^k}{k} \alpha_{\pm k}, \qquad \Gamma'_{\pm}(t) = \exp\sum_{k\geq 1} \frac{(-1)^{k-1} t^k}{k} \alpha_{\pm k}$$

where $[\alpha_n, \alpha_m] = n\delta_{n+m}$.

Bosonic representation

The transfer matrices can be rewritten as

$$\Gamma_{\pm}(t) = \exp \sum_{k \geq 1} \frac{t^k}{k} \alpha_{\pm k}, \qquad \Gamma'_{\pm}(t) = \exp \sum_{k \geq 1} \frac{(-1)^{k-1} t^k}{k} \alpha_{\pm k}$$

where $[\alpha_n, \alpha_m] = n\delta_{n+m}$. This implies that Γ 's with the same sign commute, and that we have the following nontrivial commutation relations:

$$\Gamma_{+}(t)\Gamma_{-}(u) = \frac{1}{1-tu}\Gamma_{-}(u)\Gamma_{+}(t)$$

$$\Gamma_{+}(t)\Gamma'_{-}(u) = (1+tu)\Gamma'_{-}(u)\Gamma_{+}(t)$$





$$k = 0, 1, 2, \dots$$

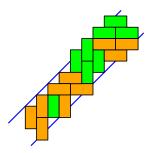


Super Schur functions

When w consists only of +'s, the partition function with fixed boundary conditions is a so-called super Schur function

$$\langle \mu | \Gamma_+(x_1) \Gamma'_+(y_1) \Gamma_+(x_2) \Gamma'_+(y_2) \cdots | \lambda \rangle = S_{\lambda/\mu}(x_1, x_2, \dots; y_1, y_2, \dots).$$

Super Schur functions may be combinatorially defined in terms of super semistandard tableaux or (reverse) plane overpartitions:



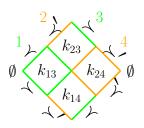
Pure steep tilings

For general asymptotic data and "pure" ($\langle \emptyset |$ and $|\emptyset \rangle$) boundary conditions the partition function is readily evaluated from the commutation relations.

$$\langle \emptyset | \Gamma_{+}(z_{1}) \Gamma'_{+}(z_{2}) \Gamma_{-}(z_{3}) \Gamma'_{-}(z_{4}) | \emptyset \rangle =$$

$$\langle \emptyset | \Gamma_{-}(z_{3}) \Gamma'_{-}(z_{4}) \Gamma_{+}(z_{1}) \Gamma'_{+}(z_{2}) | \emptyset \rangle \times$$

$$\frac{(1 + z_{1}z_{4})(1 + z_{2}z_{3})}{(1 - z_{1}z_{3})(1 - z_{2}z_{4})}$$



$$k_{13}, k_{24} = 0, 1, 2, \dots$$

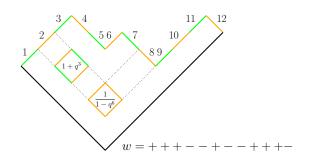
 $k_{23}, k_{14} = 0, 1$

Equivalently we have a RSK-type bijection between pure steep tilings and suitable fillings of the Young diagram associated with w.

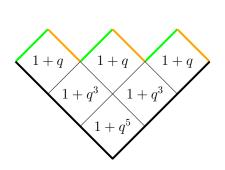
Pure steep tilings

For a general word w and the " q^{flip} " specialization, the partition function of pure steep tilings is given by a hook-length type formula:

$$T_w(q) = \prod_{\substack{1 \leq i < j \leq 2\ell \\ w_i = +, \ w_j = -}} \varphi_{i,j}(q^{j-i}), \qquad \varphi_{i,j}(x) = egin{cases} 1 + x & \text{if } j-i \text{ odd} \\ 1/(1-x) & \text{if } j-i \text{ even} \end{cases}$$

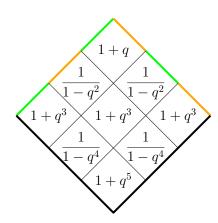


Aztec diamonds and pyramids



Aztec diamond w = + - + - + - [Elkies *et al.*, Stanley]

$$T_w(q) = (1+q)^3(1+q^3)^2(1+q^5)$$



Pyramid partitions w = + + + - - -. Case $\ell \to \infty$ [Young]:

$$T_w(q) = \prod_{k \ge 1} \frac{(1+q^{2k-1})^{2k-1}}{(1-q^{2k})^{2k}}$$

Free boundaries

We may also obtain a closed-form formula for the partition function in the case of free boundary conditions

$$|\underline{v}\rangle = \sum_{\lambda} v^{|\lambda|} |\lambda\rangle$$

thanks to the "reflection relations"

$$egin{aligned} \Gamma_{+}(t)|\underline{v}
angle &= rac{1}{1-tv}\Gamma_{-}(tv^2)|\underline{v}
angle \ \Gamma'_{+}(t)|\underline{v}
angle &= rac{1}{1-tv}\Gamma'_{-}(tv^2)|\underline{v}
angle \end{aligned}$$

$$|\Gamma'_{+}(t)|\underline{v}
angle = rac{1}{1-tv}\Gamma'_{-}(tv^2)|\underline{v}
angle$$



$$k = 0, 1, 2, \dots$$

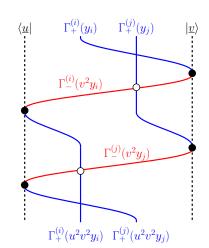
Free boundaries

Example:
$$w = + + + + \dots$$

$$\langle \underline{u} | \Gamma_{+}(y_{1}) \Gamma'_{+}(y_{2}) \Gamma_{+}(y_{3}) \Gamma'_{+}(y_{4}) \cdots | \underline{v} \rangle =$$

$$\prod_{k=1}^{\infty} \left(\frac{1}{1 - u^{k} v^{k}} \prod_{i=1}^{2\ell} \frac{1}{1 - u^{k-1} v^{k} y_{i}} \right)$$

$$\prod_{1 \leq i < j \leq 2\ell} \varphi_{i,j}(u^{2k-2} v^{2k} y_{i} y_{j})$$



Periodic boundary conditions

When identifying the left and right boundaries we obtain a cylindric steep tiling. The corresponding sequence of interlaced partitions form a periodic Schur process [Borodin].

The partition function may still be written as an infinite product.

$$\text{Tr} \left[\Gamma_{+}(z_{1}) \Gamma'_{+}(z_{2}) \Gamma_{-}(z_{3}) \Gamma'_{-}(z_{4}) q^{H} \right] =$$

$$\text{Tr} \left[\Gamma_{+}(qz_{1})\Gamma'_{+}(qz_{2}) \Gamma_{-}(z_{3}) \Gamma'_{-}(z_{4}) q^{H} \right] \times$$

$$\frac{(1+z_{1}z_{4})(1+z_{2}z_{3})}{(1-z_{1}z_{3})(1-z_{2}z_{4})}$$

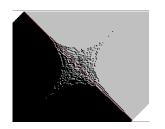
Example:
$$w = + + --$$

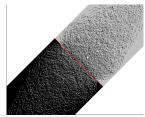
$$\begin{split} \operatorname{Tr}\left[\Gamma_{+}(z_{1})\Gamma'_{+}(z_{2})\Gamma_{-}(z_{3})\Gamma'_{-}(z_{4})q^{H}\right] = \\ \prod_{k=1}^{\infty} \frac{(1+q^{k-1}x_{1}x_{4})(1+q^{k-1}x_{2}x_{3})}{(1-q^{k})(1-q^{k-1}x_{1}x_{3})(1-q^{k-1}x_{2}x_{4})} \end{split}$$

- Correlation functions [joint with C. Boutillier and S. Ramassamy]:
 - straightforward to compute for particles in the pure case, thanks to their free fermionic nature
 - less trivially we deduce an explicit expression for the inverse Kasteleyn matrix, which yields domino correlations
 - more involved in the periodic case [Borodin], how about free boundary case?

- Correlation functions [joint with C. Boutillier and S. Ramassamy]:
 - straightforward to compute for particles in the pure case, thanks to their free fermionic nature
 - ▶ less trivially we deduce an explicit expression for the inverse Kasteleyn matrix, which yields domino correlations
 - more involved in the periodic case [Borodin], how about free boundary case?
- Random generation and limit shapes [joint with D. Betea and M. Vuletić]

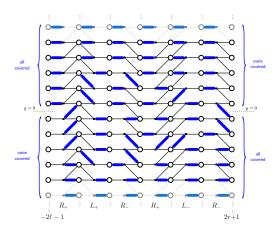






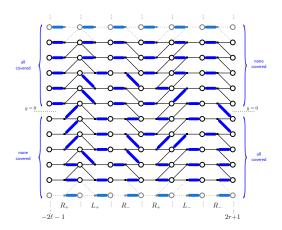
More general setting [BBCCR]: Rail Yard Graphs (interpolate between lozenge and domino tilings)

- connection with octahedron recurrence/cluster algebras?
- deformations? (e.g. Schur \rightarrow McDonald)



More general setting [BBCCR]: Rail Yard Graphs (interpolate between lozenge and domino tilings)

- connection with octahedron recurrence/cluster algebras?
- deformations? (e.g. Schur \rightarrow McDonald)



Thanks for your attention!