

A PTAS for Capacitated Vehicle Routing on Trees

Claire Mathieu

CNRS Paris, France



Hang Zhou

École Polytechnique, France



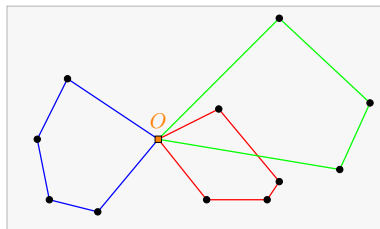
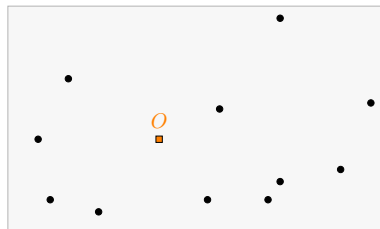
ICALP 2022

Capacitated vehicle routing

Input:

- depot O
- n terminals
- tour capacity k

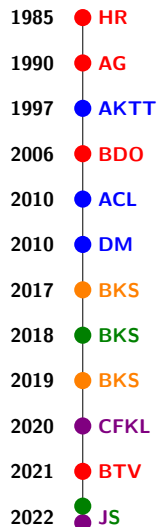
Minimize total length of tours



Fundamental problem in operations research

e.g., more than 4000 articles on vehicle routing on DBLP

Capacitated vehicle routing



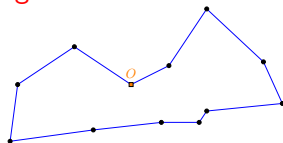
well-studied problem

- general metric
- Euclidean space
- planar graph
- graph of bounded highway dimension
- graph of bounded treewidth

Polynomial time approximation scheme (PTAS) only for small capacity k .

Iterated tour partitioning [Haimovich and Rinnooy Kan]

- 1 Compute a traveling salesman tour



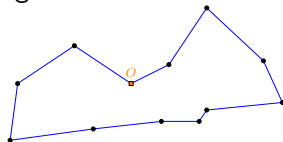
- 2 Partition the tour into segments of at most k terminals each

- 3 Connect the endpoints of each segment to the depot

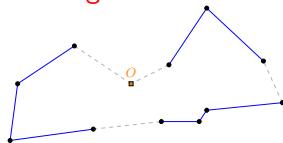
Approximation ratio $\approx 1 + \alpha_{\text{TSP}} \geq 2$

Iterated tour partitioning [Haimovich and Rinnooy Kan]

- 1 Compute a traveling salesman tour



- 2 Partition the tour into segments of at most k terminals each

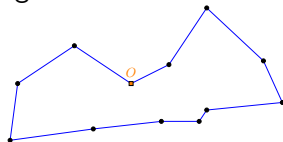


- 3 Connect the endpoints of each segment to the depot

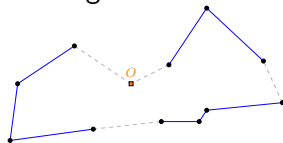
Approximation ratio $\approx 1 + \alpha_{\text{TSP}} \geq 2$

Iterated tour partitioning [Haimovich and Rinnooy Kan]

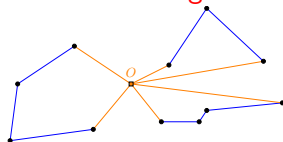
- 1 Compute a traveling salesman tour



- 2 Partition the tour into segments of at most k terminals each



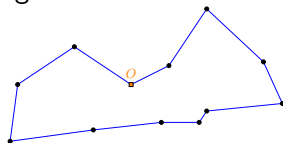
- 3 Connect the endpoints of each segment to the depot



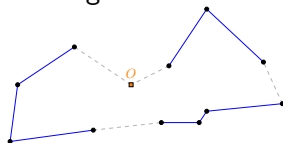
Approximation ratio $\approx 1 + \alpha_{\text{TSP}} \geq 2$

Iterated tour partitioning [Haimovich and Rinnooy Kan]

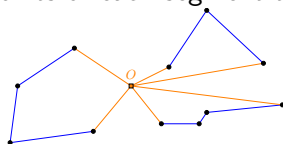
- 1 Compute a traveling salesman tour



- 2 Partition the tour into segments of at most k terminals each



- 3 Connect the endpoints of each segment to the depot



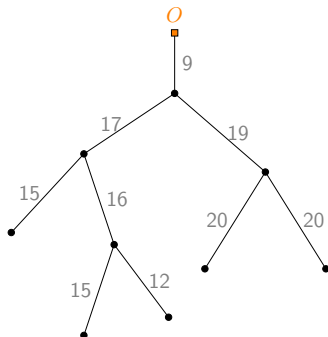
Approximation ratio $\approx 1 + \alpha_{\text{TSP}} \geq 2$

Capacitated vehicle routing on trees

NP-hard [LLM 1991]

Approximation algorithms:

- 1.5-approximation [HK 1998]
- 1.351-approximation [AKK 2001]
- 1.333-approximation [Bec 2018]
- bicriteria PTAS [BP 2019]
- QPTAS [JS 2022]



Our Result

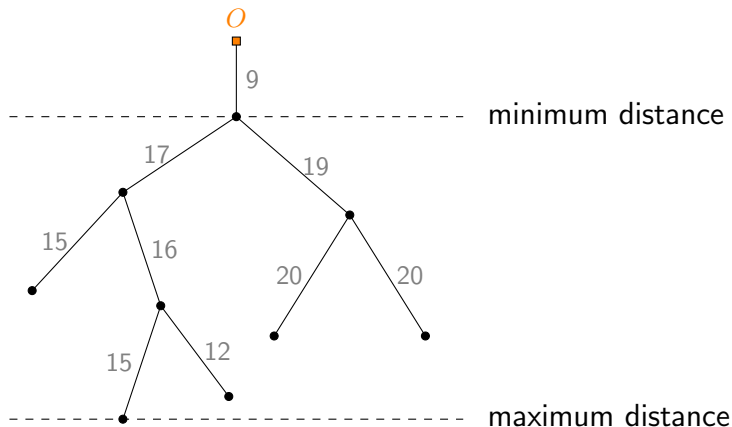
PTAS for Capacitated Vehicle Routing on Trees

Note: First PTAS for general capacity k .

Jayaprakash and Salavatipour [SODA 2022]:

*“it is not clear if it (the QPTAS)
can be turned into a PTAS
without significant new ideas.”*

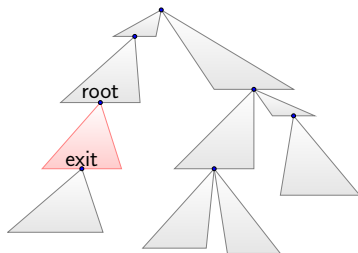
Preprocessing: bounded distance property



Can assume: $\frac{\text{minimum distance}}{\text{maximum distance}} > \epsilon.$

Decomposing the tree into components

Inspiration: clusters decomposition [Becker and Paul]



each **component** has:

- $\approx k/\epsilon$ terminals
- 1 root vertex
- ≤ 1 exit vertex

Structure Theorem

There is a near-optimal solution such that each subtour in a component visits either 0 or at least $\epsilon \cdot k$ terminals.

Proof of the Structure Theorem (1/4)

Definition: a subtour in a component is **small** if it visits more than 0 but less than $\epsilon \cdot k$ terminals.

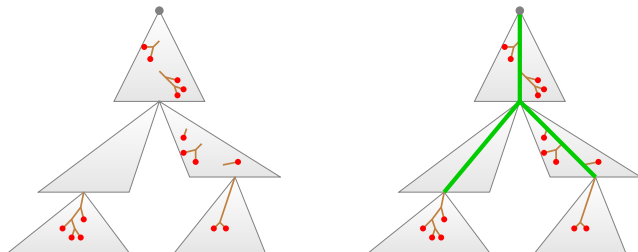
Elimination of small subtours

- 1 Detach small subtours
- 2 Combine small subtours within components
- 3 Reassign combined subtours [Becker and Paul]



Difficulty: **after reassignment some tours may exceed capacity**

Proof of the Structure Theorem (2/4)

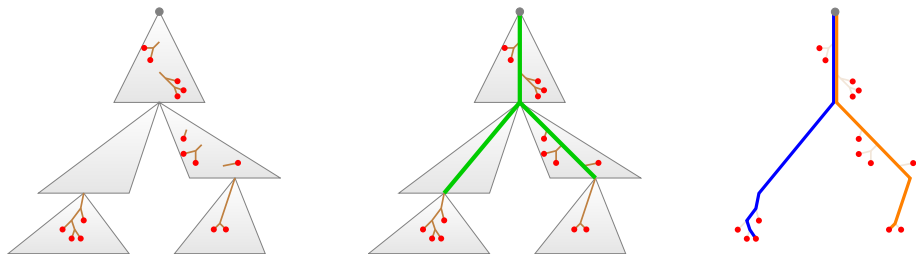


④ Remove some subtours

⑤ Include spine subtours

brown & green: traveling salesman tour covering the red terminals

Proof of the Structure Theorem (3/4)

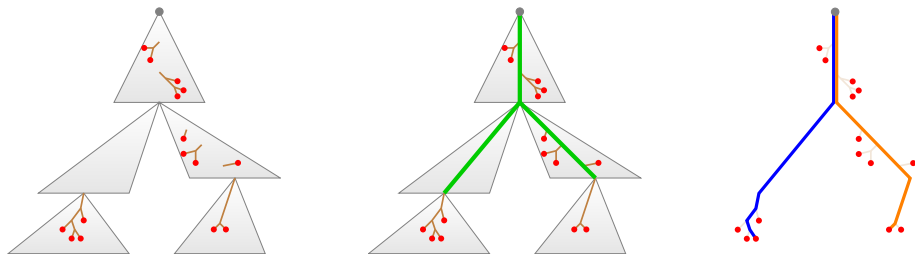


However, the traveling salesman tour may exceed capacity.

Our approach: **iterated tour partitioning**

- 6 Add connections to the depot (**blue & orange**)

Proof of the Structure Theorem (4/4)



Extra cost is negligible:

- **green**: properties of components
- **blue & orange**: bounded distance property

Structure Theorem

There is a near-optimal solution such that each subtour in a component visits either 0 or at least $\epsilon \cdot k$ terminals.

First attempt for the dynamic program

- ① Computing solutions inside each component: polynomial time
- ② Combining solutions from different components bottom-up:
exponential time

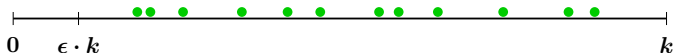
Q: How to improve the running time?

A: Adaptive rounding to reduce the number of subtour demands.

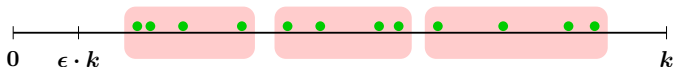
Adaptive rounding [Jayaprakash and Salavatipour]

At each vertex:

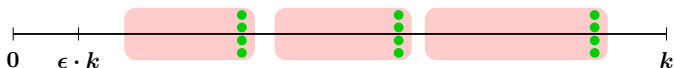
- 1 **Sort** subtour demands



- 2 **Make groups** of equal cardinality



- 3 **Round up** to maximum demand in group



Theorem [Jayaprakash and Salavatipour]

There is a near-optimal solution in which the subtour demands can be rounded up to **polylogarithmic** many values.

⇒ **QPTAS**

Our Theorem

There is a near-optimal solution in which the subtour demands can be rounded up to **constant** many values.

⇒ **PTAS**

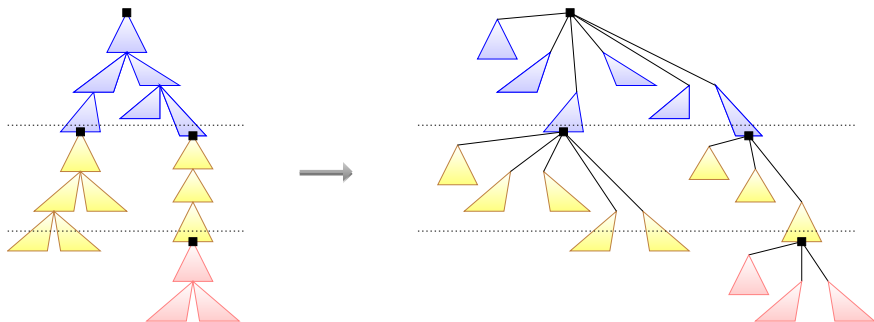
How do we bound the extra cost?

- Structure Theorem
- bounded distance property
- **bounded height of components**

Bounded height of components

Transform the tree of components so that:

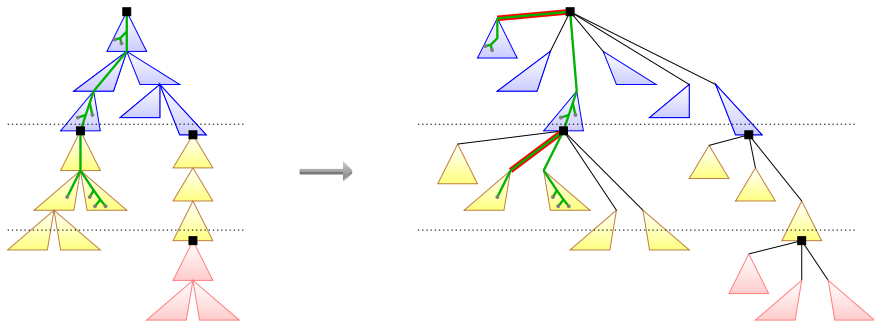
each leaf-root path traverses a bounded number of components



Bounded height of components

Transform the tree of components so that:

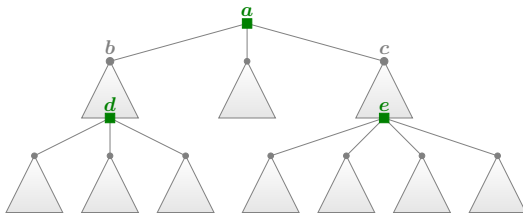
each leaf-root path traverses a bounded number of components



Extra cost of the tour is negligible:

- Structure Theorem
- bounded distance property

Dynamic programming and adaptive rounding



Dynamic program order of computation:

- ① each component
- ② subtrees rooted at *d* and *e* by **adaptive rounding**
- ③ subtrees rooted at *b* and *c*
- ④ subtree rooted at *a* by **adaptive rounding**

Polynomial time to obtain a $(1 + \epsilon)$ -approximate solution

Summary

- ① Preprocessing \implies **Bounded distance**
- ② Decomposing the tree into components \implies **Structure Theorem**
- ③ Transforming the tree \implies **Bounded height of components**
- ④ Adaptive Rounding \implies **Constant many subtour demands**
- ⑤ Dynamic programming \implies **$(1 + \epsilon)$ -approximate solution**

Our Result

PTAS for Capacitated Vehicle Routing on Trees

Thank you!