

Classical and quantum integrability : from spin chains to the AdS/CFT duality.

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Imperial College

[arXiv:1112.3310] A. Alexandrov, V. Kazakov, SL,
Z.Tsuboi, A. Zabrodin

[arXiv:1110.0562] N.Gromov, V. Kazakov, SL, D. Volin

[arXiv:1302.1135] SL, D. Volin

Laboratoire de Physique, *ENS Lyon*, February 14, 2013

Quantum Integrability

Quantum integrability is a property of very specific models (spin chains or quantum field theories), which usually have

- 1+1 dimensions
- local interactions
- many conserved charges
- specific boundary conditions (eg periodic)



Then, they have the following properties

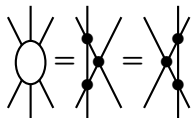
Properties of integrable models

- n-points interactions factorize into 2-points interactions
- the exact diagonalization of the Hamiltonian reduces to solving the Bethe Equation(s).

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1+1 D integrable field theories

Bethe Ansatz : wavefunction for a large volume

- planar waves when particles are far from each other
 - an S -matrix describes 2-points interactions
- ⇒ Bethe equations

This ansatz works if the space is periodic with a very large period

Aim in this talk

The last part of this talk will address the question of the spectrum of such a theory in finite size, when the above assumptions cannot be used.

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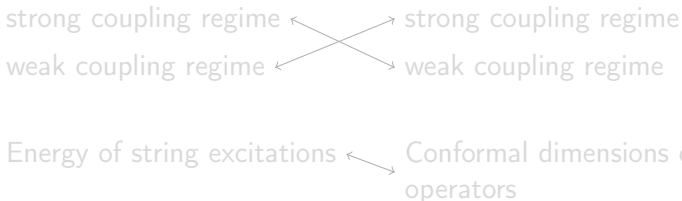
AdS/CFT duality (conjecture)

AdS

CFT

“Type IIB” string theory on $AdS_5 \times S^5$

3+1 dimensional conformal field theory
“ $\mathcal{N} = 4$ super Yang-Mills”



Integrability

Many integrable features were understood in the *planar limit* of this duality, in particular with respect to the spectrum.

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weak coupling regime \longleftrightarrow weak coupling regime

Energy of string excitations \longleftrightarrow Conformal dimensions of operators

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Outline

- 0 Motivation
- 1 Solving $GL(K|M)$ spin chains
 - Hirota equation for T-operators
 - Solution of Hirota equation through Q-operators
 - Classical integrability of this quantum system
- 2 Finite size effects in integrable field theories
 - Y-system \rightsquigarrow spectrum
 - Analyticity properties of Q-functions
- 3 Weak coupling expansion in AdS/CFT

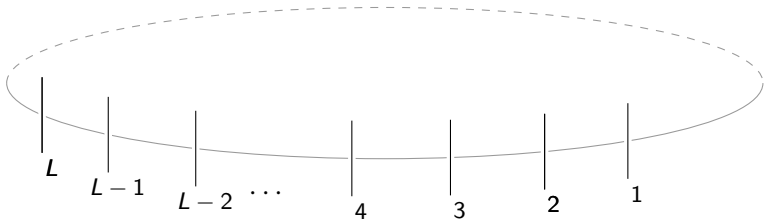
Heisenberg "XXX" spin chain

Construction of T-operators

$$H = - \sum_i \vec{\sigma}_i \cdot \sigma_{i+1} = L - 2 \frac{d}{du} \log T(u) \Big|_{u=0}$$

$$T(u) = \text{tr}_a \left((u \mathbb{I} + \mathcal{P}_{L,a}) \cdot (u \mathbb{I} + \mathcal{P}_{L-1,a}) \cdots (u \mathbb{I} + \mathcal{P}_{1,a}) \right)$$

operator on the Hilbert space $(\mathbb{C}^2)^{\otimes L}$



permutation operator: $\mathcal{P}_{1,2} | \downarrow\downarrow \uparrow\downarrow \uparrow\downarrow \downarrow\downarrow \cdots \rangle = | \downarrow\downarrow \uparrow\downarrow \uparrow\downarrow \downarrow\downarrow \cdots \rangle$
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- $[T(u), T(v)] = 0$

(proved from relations like $\mathcal{P}_{i,j} \mathcal{P}_{j,k} = \mathcal{P}_{j,k} \mathcal{P}_{i,k}$)

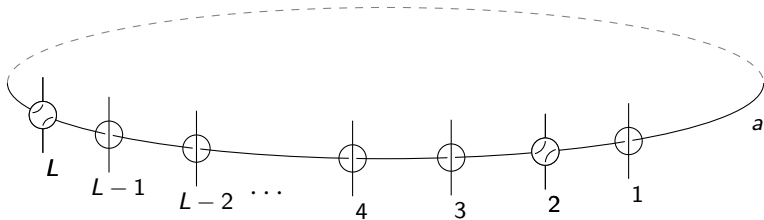
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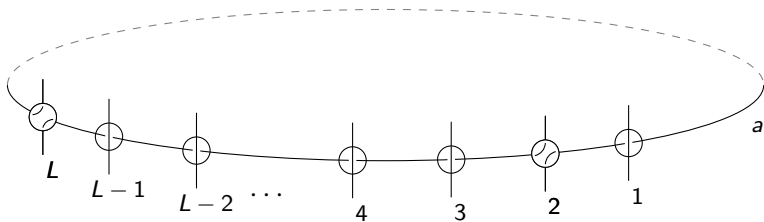
$GL(K)$ spin chains

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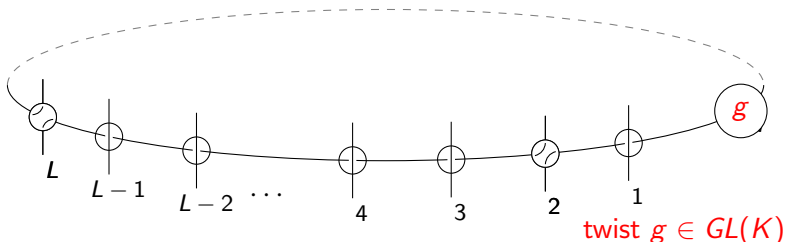
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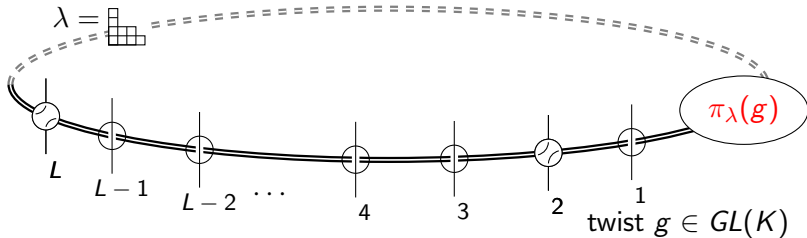
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$$\mathcal{P}_{i,j} = \sum_{\alpha,\beta} e_{\alpha,\beta}^{(i)} \otimes \pi_\lambda(e_{\beta,\alpha}^{(j)})$$

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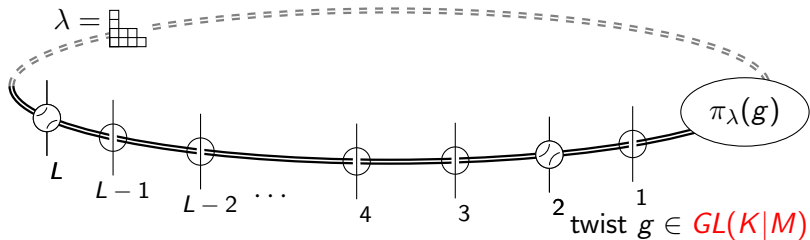
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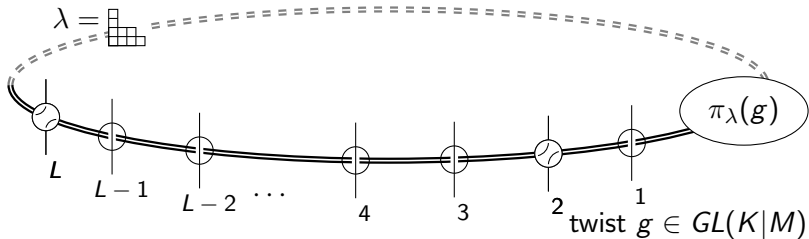
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T-operators \leftrightarrow characters

+ Cherednik-Bazhanov-Reshetikhin formula

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$$T^\lambda(u) = \left(u_1 + \hat{D} \right) \otimes \left(u_2 + \hat{D} \right) \otimes \cdots \otimes \left(u_L + \hat{D} \right) \chi^\lambda(g)$$

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Rectangular representation : $a, s \leftrightarrow \lambda = \underbrace{\left. \begin{array}{|c|c|c|c|} \hline \square & \square & \square & \square \\ \hline \square & \square & \square & \square \\ \hline \square & \square & \square & \square \\ \hline \end{array} \right\}}_s a$

CBR Determinant identity

[Cherednik 86] [Bazhanov-Reshetikhin 90] [Kazakov Vieira 08]

$$\chi^\lambda(g) = \det \left(\chi^{1, \lambda_i + j - i}(g) \right)_{1 \leq i, j \leq |\lambda|}$$

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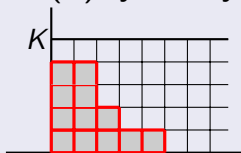
- “equivalent” to the Hirota equation :

$$T^{a,s}(u+1) \cdot T^{a,s}(u) = T^{a+1,s}(u+1) \cdot T^{a-1,s}(u) + T^{a,s-1}(u+1) \cdot T^{a,s+1}(u)$$

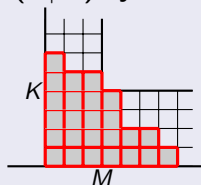
“Fat hooks” and “Bäcklund Flow”

Authorised Young diagrams for a given symmetry group

$GL(K)$ symmetry



$GL(K|M)$ symmetry



Hirota equation solved by gradually reducing the size of the “fat hook”

[Krichever, Lipan, Wiegmann & Zabrodin 97]

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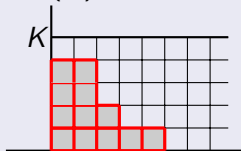


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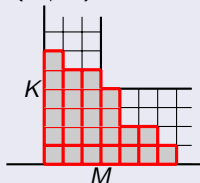
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GL(K|M) symmetry



Motivation

GL(K|M)

spin chains

The Hirota equation

Q-operators

Classical integrability

Integrable field theories

Y-system

Analyticity of Q-functions

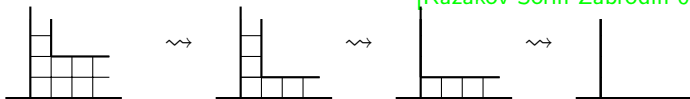
Weak coupling

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Bäcklund Transformations

linear system

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Bäcklund Transformations

if $T^{a,s}(u)$ is a solution of Hirota equation and

$$\left\{ \begin{array}{l} T^{a+1,s}(u)F^{a,s}(u) - T^{a,s}(u)F^{a+1,s}(u) \\ \qquad \qquad \qquad = x_j \underbrace{T^{a+1,s-1}(u+1)}_{\text{eigenvalue of } g} F^{a,s+1}(u-1), \\ T^{a,s+1}(u)F^{a,s}(u) - T^{a,s}(u)F^{a,s+1}(u) \\ \qquad \qquad \qquad = x_j T^{a+1,s}(u+1)F^{a-1,s+1}(u-1). \end{array} \right.$$

Then $F^{a,s}(u)$ is a solution of Hirota equation.

Moreover, if $T^{a,s}(u) = 0$, outside the $(K|M)$ “fat hook”, one can choose $F^{a,s}(u) = 0$ outside the $(K-1|M)$ “fat hook”.

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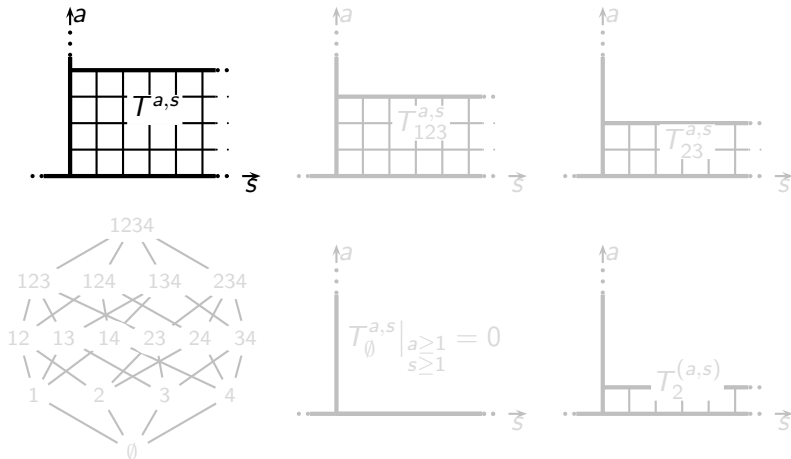
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Moreover, if $T^{a,s}(u) = 0$, outside the $(K|M)$ “fat hook”, one can choose $F^{a,s}(u) = 0$ outside the $(K-1|M)$ “fat hook”.

Hasse Diagram

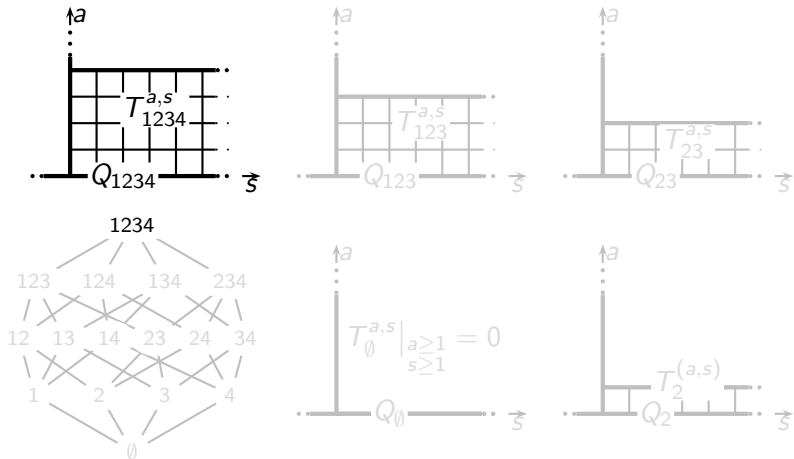
example of $GL(4)$ Bäcklund flow



\rightsquigarrow Defines 2^4 Q-operators, lying on the nodes of this *Hasse Diagram*

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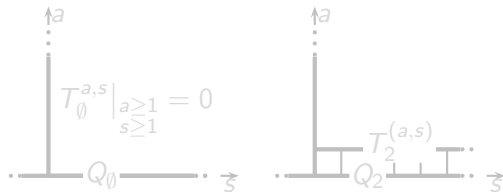
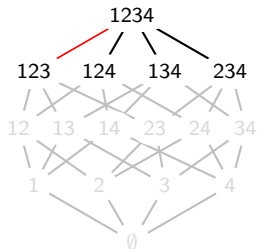
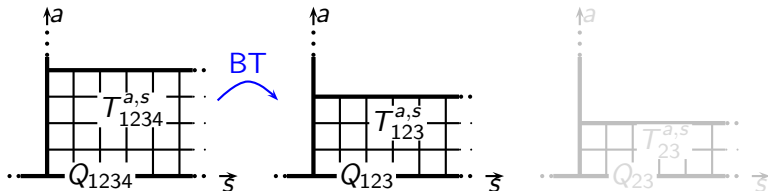
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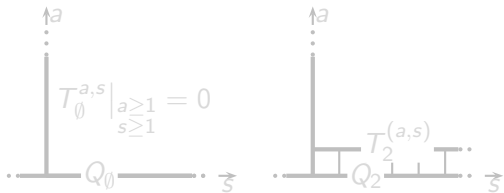
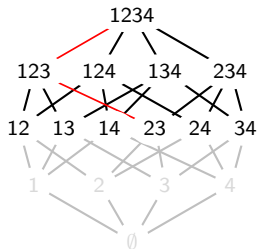
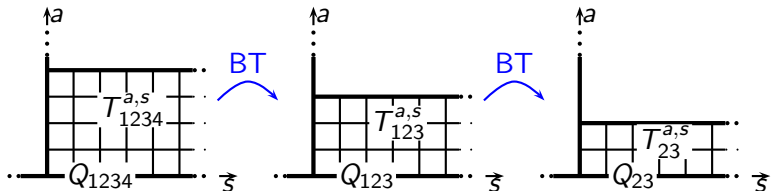
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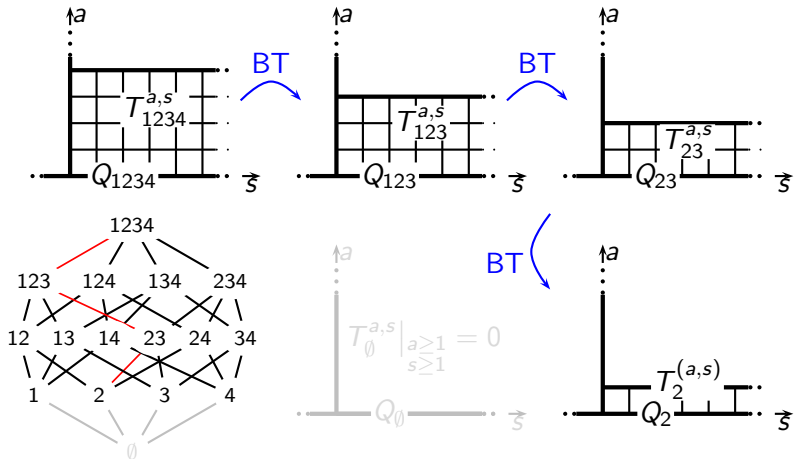
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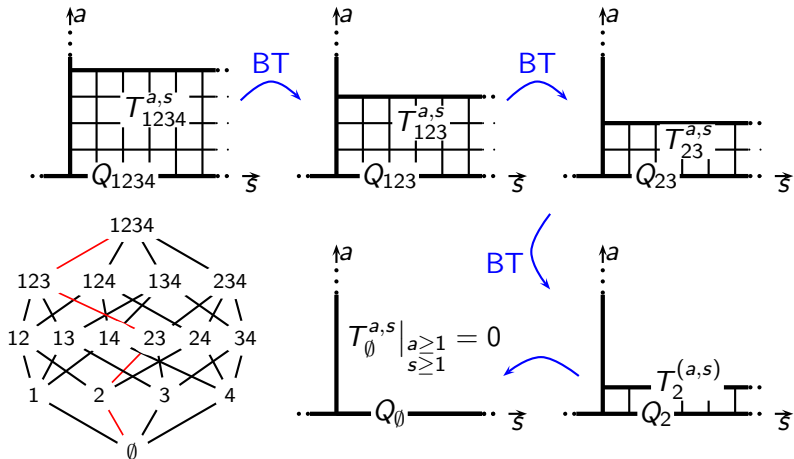
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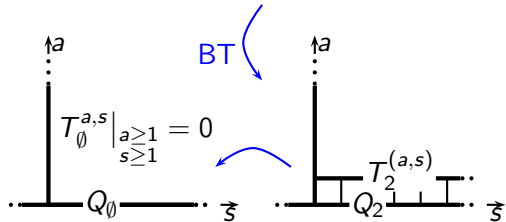
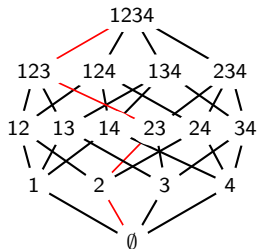
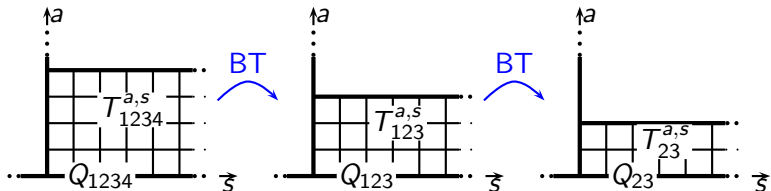
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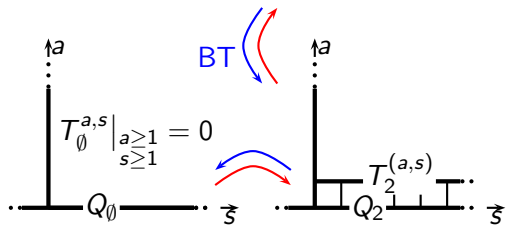
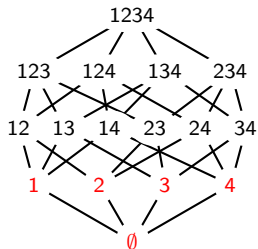
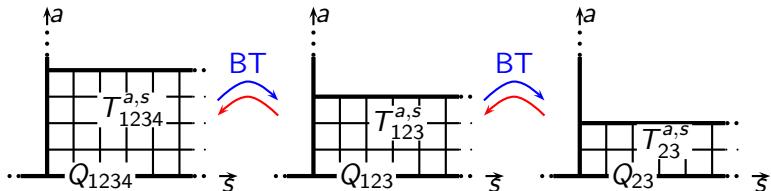
example of $GL(4)$ Bäcklund flow



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$$\rightsquigarrow T^\lambda(u) = Q_\emptyset(u - K) \cdot \frac{\det(x_j^{1-k+\lambda_k} Q_j(u-k+1+\lambda_k))_{1 \leq j, k \leq K}}{\Delta(x_1, \dots, x_K) \prod_{k=1}^K Q_\emptyset(u-k+\lambda_k)}$$

$$\text{where } \Delta(x_1, \dots, x_K) = \det(x_j^{1-k})_{1 \leq j, k \leq K}$$

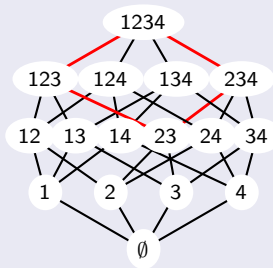
QQ-relations and Bethe Equations

The consistency of the construction imposes the QQ-relations

$$(x_i - x_j) Q_I(u - 1) Q_{I,i,j}(u) = x_i Q_{I,j}(u - 1) Q_{I,i}(u) - x_j Q_{I,j}(u) Q_{I,i}(u - 1)$$

example : $I = \{23\}, i = 1, j = 4$

$$(x_1 - x_4) Q_{23}(u - 2) Q_{1234}(u) = x_1 Q_{234}(u - 1) Q_{123}(u) - x_4 Q_{234}(u) Q_{123}(u - 1)$$



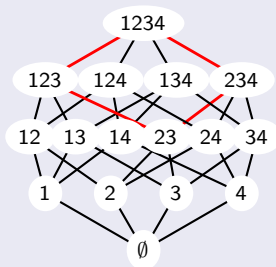
The relation involves
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Consequences: diagonalization of the Q-operators



- Q-operators are polynomial
⇒ parameterized by their roots
- Zeroes of the left hand side have to be zeroes of the right hand side
↪ Bethe equations

Bäcklund flow for this spin chain [Krichever, Lipan, Wiegmann, Zabrodin 97] [Kazakov Sorin Zabrodin 08]

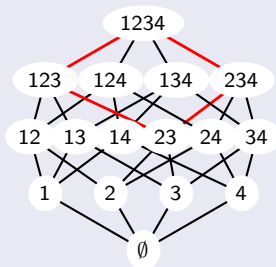
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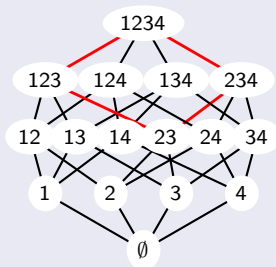
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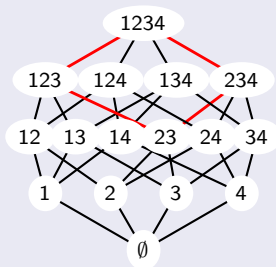
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τ -functions of the MKP hierarchy

- A τ -function of the *MKP hierarchy* is a function of a variable n and an infinite set $\mathbf{t} = (t_1, t_2, \dots)$ of “times”, such that $\forall n \geq n', \forall \mathbf{t}, \mathbf{t}'$

Definition of τ -functions.

$$\oint_{\mathcal{C}} e^{\xi(\mathbf{t}-\mathbf{t}',z)} z^{n-n'} \tau_n(\mathbf{t} - [z^{-1}]) \tau_{n'}(\mathbf{t}' + [z^{-1}]) dz = 0$$

where $\mathbf{t} \pm [z^{-1}] = (t_1 \pm z^{-1}, t_2 \pm \frac{z^{-2}}{2}, t_3 \pm \frac{z^{-3}}{3}, \dots)$, $\xi(\mathbf{t}, z) = \sum_{k \geq 1} t_k z^k$, and \mathcal{C} encircles the singularities of $\tau_n(\mathbf{t} - [z^{-1}]) \tau_{n'}(\mathbf{t}' + [z^{-1}])$ (typically finite), but not the singularities of $e^{\xi(\mathbf{t}-\mathbf{t}',z)} z^{n-n'}$ (typically at infinity).

- An example of such τ -function is the expectation value

$$\tau_n(\mathbf{t}) = \langle n | e^{J_+(\mathbf{t})} G | n \rangle$$

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over an infinite set of fermionic oscillators ($\{\psi_i, \psi_j^\dagger\} = \delta_{ij}$), where $G = \exp\left(\sum_{i,k \in \mathbb{Z}} A_{ik} \psi_i^\dagger \psi_k\right)$ and $J_+ = \sum_{k \geq 1} t_k J_k$, where $J_k = \sum_{j \in \mathbb{Z}} \psi_j \psi_{j+k}^\dagger$. (and $\psi_n |n\rangle = |n+1\rangle$)

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Characteristic property

τ -functions are characterised by

$$\begin{aligned} z_2 \tau_{n+1}(\mathbf{t} - [z_2^{-1}]) \tau_n(\mathbf{t} - [z_1^{-1}]) \\ - z_1 \tau_{n+1}(\mathbf{t} - [z_1^{-1}]) \tau_n(\mathbf{t} - [z_2^{-1}]) \\ + (z_1 - z_2) \tau_{n+1}(\mathbf{t}) \tau_n(\mathbf{t} - [z_1^{-1}] - [z_2^{-1}]) = 0. \end{aligned}$$

(obtained from $n' = n - 1$ and $\mathbf{t}' = \mathbf{t} - [z_1^{-1}] - [z_2^{-1}]$)

Spin-chains \leftrightarrow MKP hierarchy

T -operators are τ -functions

- Set of times $\mathbf{t} \leftrightarrow$ representations λ :

$$\tau(u, \mathbf{t}) = \sum_{\lambda} \underbrace{s_{\lambda}(\mathbf{t})}_{\text{Schur polynomial}} \tau(u, \lambda) \quad s_{\lambda}(\mathbf{t}) = \det (h_{\lambda_i - i + j}(\mathbf{t}))_{1 \leq i, j \leq |\lambda|}$$

where $e^{\xi(\mathbf{t}, z)} = \sum_{k \geq 0} h_k(\mathbf{t}) z^k$

If $\tau(u, \lambda) = T^{\lambda}(u) = \bigotimes_{i=1}^L (u_i + \hat{D}) \chi^{\lambda}(g)$, we get

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- The Bäcklund transformation is the transformation $\tau(u, \mathbf{t}) \rightsquigarrow \text{Res}_{z=x_i} \tau(u+1, \mathbf{t} + [z^{-1}])$.
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$$\tau(n, \mathbf{t}) = \langle n | e^{J_+(\mathbf{t})} \Psi_1 \dots \Psi_K | n - K \rangle ,$$

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[Alexandrov, Kazakov, S.L., Tsuboi, Zabrodin 11]

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$GL(K|M)$

spin chains

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Q-operators

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integrability**

Integrable

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Y-system

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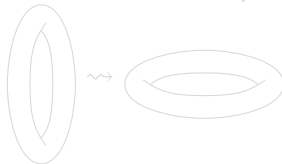
1+1 D integrable field theories

Bethe Ansatz: wavefunction for a large volume

- planar waves when particles are far from each other
 - an S -matrix describes 2-points interactions
- ⇒ Bethe equations

- “Thermodynamic Bethe Ansatz” for finite size effects :
“double Wick Rotation”

finite size \leftrightarrow finite temperature



- At finite temperature, the Bethe equations give rise to several different types of bound states
 \rightsquigarrow introduce one density of excitations (as a function of the rapidity) for each type of bound state.

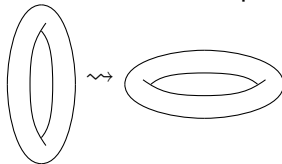
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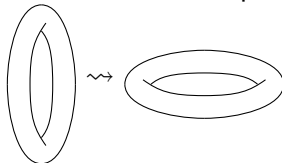
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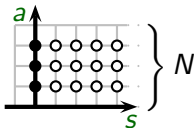
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Y- and T-systems

case of the $SU(N)$ "Gross Neveu" 1+1 dimensional field theory



bound states labelled by
 $a \in \{1, \dots, N-1\}$ and $s \in \mathbb{N}$



their densities obey

$$\left\{ \begin{array}{l} \frac{Y_{a,s}^+ Y_{a,s}^-}{Y_{a+1,s} Y_{a-1,s}} = \frac{1+Y_{a,s+1}}{1+Y_{a+1,s}} \frac{1+Y_{a,s-1}}{1+Y_{a-1,s}} \\ \text{analyticity constraints} \end{array} \right.$$

where $Y_{a,s}^\pm \equiv Y_{a,s}(u \pm i/2)$

Lattice regularization

Representation labelled by a and s

$$\Leftrightarrow \begin{array}{l} T_{a,s}^+ T_{a,s}^- = \\ T_{a+1,s} T_{a-1,s} + T_{a,s+1} T_{a,s-1} \\ \text{if } Y_{a,s} = \frac{T_{a,s+1} T_{a,s-1}}{T_{a+1,s} T_{a-1,s}} \end{array}$$

[Gromov Kazakov Vieira 09] [Bombardelli Fioravanti Tateo 09]

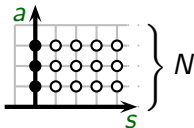
[Autyunov Frolov 09]

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Lattice regularization

Representation labelled by a
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[Gromov Kazakov Vieira 09] [Bombardelli Fioravanti Tateo 09]

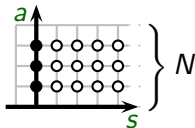
[Autunov Frolov 09]

Y- and T-systems

case of the $SU(N)$ "Gross Neveu" 1+1 dimensional field theory



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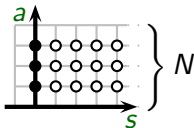
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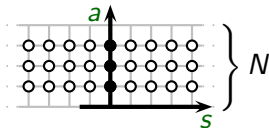
[Autyunov Frolov 09]

Y- and T-systems

case of the $SU(N) \times SU(N)$ Principal chiral model

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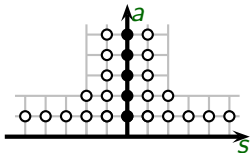
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Y- and T-systems

case of the planar limit of the AdS/CFT duality

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[Gromov Kazakov Vieira 09] [Bombardelli Fioravanti Tateo 09]

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Analyticity properties of Q-functions

↪ simple equations

- Typical solution of Hirota equation :

$T_{a,s} = \det \left(Q_{k,l}(u + f(a, s, l)) \right)$, where T and Q are the eigenvalues of T and Q operators.

- Some Q functions are holomorphic functions of u in the upper half plane $\text{Im}(u) > 0$ (others are holomorphic in the lower half plane).
- ⇒ Each Q -function reduces to a real function on the real axis.
- ↪ Additional analyticity conditions (typically at $u \rightarrow \infty$) give rise to a finite set of non-linear integral equations (FiNLIE) [S.L. Kazakov 10] [Gromov Kazakov S.L. Volin 11]

Statement

The outcome of these works is that the (previously conjectured) Thermodynamic Bethe Ansatz is proven to be equivalent to analyticity conditions on the Q -functions.

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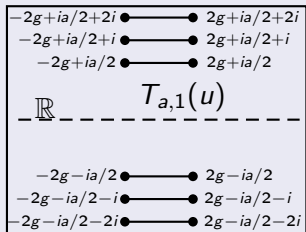
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Analyticity properties for AdS/CFT

Branch points

The Y-, T- and Q-functions have square-root-types branch points at positions $\pm 2g + ni$ or $\pm 2g + (n + \frac{1}{2})i$, where $n \in \mathbb{Z}$.



- New symmetries identified, expressed very simply in terms of Q-functions :

For instance, there exists a Q-function Q_1 such that $Q_1 = -\bar{Q}_1$. Then

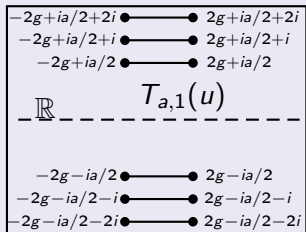


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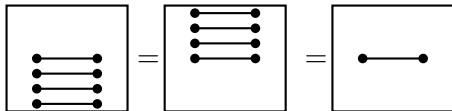
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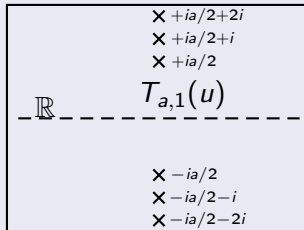


Weak coupling expansion in AdS/CFT

[S.L. Volin Serban 12]

Weak coupling

When $g \ll 1$, the branch points collide to give rise to ladders of poles.



Q-functions can then be expressed analytically in terms of sums of the type

$$\sum_{0 \leq n_1 < n_2 < \dots < n_k < \infty} \frac{1}{(u + i n_1)^{m_1} (u + i n_2)^{m_2} \dots (u + i n_k)^{m_k}}.$$

Conformal dimension of the Konishi operator

$$\Delta_{\text{Konishi}} = 4 + 12g^2 - 48g^4 + 336g^6 + 96g^8(-26 + 6\zeta_3 - 15\zeta_5) \\ - 96g^{10}(-158 - 72\zeta_3 + 54\zeta_3^2 + 90\zeta_5 - 315\zeta_7)$$

[Bajnok Egedüs Janik Łukowski 09]

[Eden Heslop Korchemsky Smirnov Sokatchev 12]

Conformal dimension of the Konishi operator

$$\begin{aligned}\Delta_{\text{Konishi}} = & 4 + 12 g^2 - 48 g^4 + 336 g^6 + 96 g^8 (-26 + 6 \zeta_3 - 15 \zeta_5) \\ & - 96 g^{10} (-158 - 72 \zeta_3 + 54 \zeta_3^2 + 90 \zeta_5 - 315 \zeta_7) \\ & - 48 g^{12} (160 + 5472 \zeta_3 - 3240 \zeta_3 \zeta_5 + 432 \zeta_3^2 \\ & - 2340 \zeta_5 - 1575 \zeta_7 + 10206 \zeta_9)\end{aligned}$$

[SL Volin Serban 12]

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 & \quad - 90720\zeta_3\zeta_5 - 129780\zeta_3\zeta_7 + 78408\zeta_3\zeta_8 \\
 & \quad + 483840\zeta_3\zeta_9 + 165312\zeta_3^2 - 82080\zeta_3^2\zeta_5 \\
 & \quad + 41472\zeta_3^3 + 178200\zeta_4\zeta_7 - 409968\zeta_5 \\
 & \quad + 121176\zeta_5\zeta_6 + 463680\zeta_5\zeta_7 + 49680\zeta_5^2 \\
 & \quad + 455598\zeta_7 + 194328\zeta_9 - 555291\zeta_{11} \\
 & \quad - 2208492\zeta_{13} - 14256\zeta_{1,2,8}) + \mathcal{O}(g^{18})
 \end{aligned}$$

Conclusion

- Rational spin chains (very well understood)
 - Bäcklund Flow to gradually simplify the system
 - Bethe Equations
 - Expression of the Hamiltonian from T and Q -functions
- For these rational spin chains, the classical integrability of τ -functions sheds light on the whole construction, and helps for generalizations.
- For finite-size effects in integrable field theories, gives a guideline to write FiNLIE
 - Simple parameterization
 - Clearer analyticity properties
 - New symmetries
 - ↪ Perturbative expansion
- Open question for these finite-size effects is :
Can we prove these analyticity properties ?

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Thank you !



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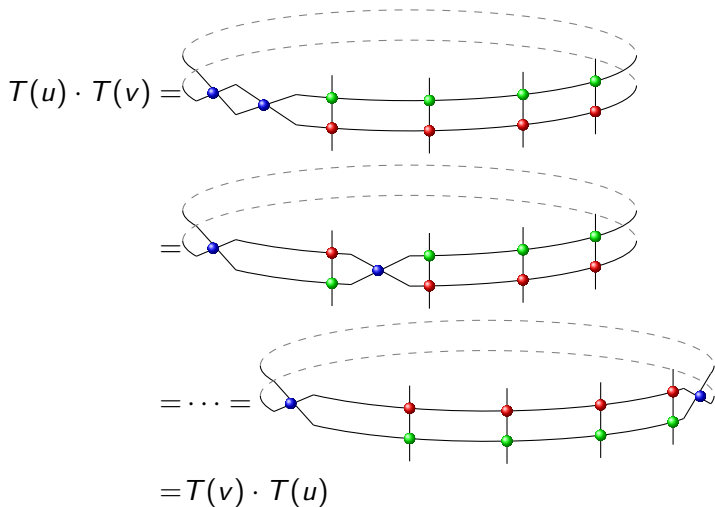
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Appendices

Disclaimer : The following slides are additional material, not necessarily part of the presentation

- 5 Commutation of T -operators
- 6 Co-derivatives
- 7 Thermodynamic Bethe Ansatz
- 8 Riemann-Hilbert

Commutation of T -operators



Expression of T through co-derivative

- $\hat{D} \otimes f(g) = \frac{\partial}{\partial \phi} \otimes f(e^\phi g) \Big|_{\phi=0} \quad \phi \in GL(K)$

- If $f(g)$ acts on \mathcal{H} , then $\hat{D} \otimes f$ acts on $\tilde{\mathcal{H}} = \mathbb{C}^K \otimes \mathcal{H}$

- $\hat{D} \otimes g = \mathcal{P}(1 \otimes g)$ and Leibnitz rule :

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\rightsquigarrow compute any $\hat{D} \otimes f(g)$

- $\hat{D} \otimes \pi_\lambda(g) = \left[\sum_{\alpha, \beta} \underbrace{e_{\beta\alpha}}_{\text{generator}} \otimes \underbrace{\pi_\lambda(e_{\alpha\beta})}_{\text{generator}} \right] \cdot \mathbb{I} \otimes \pi_\lambda(e_{\alpha\beta})$

hence

$$\begin{aligned} & ((u - \xi_L)\mathbb{I} + \mathcal{P}_{L,a}) \cdots ((u - \xi_1)\mathbb{I} + \mathcal{P}_{1,a}) \cdot \pi_\lambda(g) \\ & \qquad \qquad \qquad = \bigotimes_{i=1}^N (u - \xi_i + \hat{D}) \pi_\lambda(g) \end{aligned}$$

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Thermodynamic Bethe Ansatz

↪ Equations of the form

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+ (Source Terms)

- Vacuum energy

$$E_0 = - \sum_{a,s} \int E_{a,s}(u) \log(1 + Y_{a,s}(u)) du$$

▶ [Back to the presentation](#)

- Extra assumption : Excited states obey the same equations.

Each state corresponds to a different solution of Y-system, characterized by its zeroes and poles

- AdS/CFT case : both $E_{a,s}$ and $K_{a,s}^{(a',s')}$ have several square-root

⇒ TBA-equations contain analyticity information under a form which is hard to decode (infinite sums)

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Thermodynamic Bethe Ansatz

↪ Equations of the form

$$Y_{a,s}(u) = -L E_{a,s}(u) + \sum_{a',s'} K_{a,s}^{(a',s')} \star \log(1 + Y_{a',s'}(u)^{\pm 1}) \\ + \langle \text{Source Terms} \rangle$$

- Vacuum energy

$$E = - \sum_{a,s} \int E_{a,s}(u) \log(1 + Y_{a,s}(u)) du$$

- Extra assumption : Excited states obey the same equations.

Each state corresponds to a different solution of Y-system, characterized by its zeroes and poles

- AdS/CFT case : both $E_{a,s}$ and $K_{a,s}^{(a',s')}$ have several square-root

⇒ TBA-equations contain analyticity information under a form which is hard to decode (infinite sums)

Parameterization of Q-functions

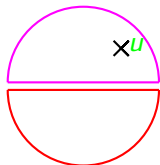
A simple Riemann-Hilbert Problem

Form the Cauchy theorem, we get

If $Q(u)$ is an analytic function on the upper half plane (when $\text{Im}(u) > 0$), and $Q(u) \ll 1/u$ in the vicinity of ∞ , then

$$\frac{1}{2i\pi} \int_{-\infty}^{\infty} \frac{Q(v) - \bar{Q}(v)}{v-u} dv = \begin{cases} Q(u) & \text{if } \text{Im}(u) > 0 \\ \bar{Q}(u) & \text{if } \text{Im}(u) < 0 \end{cases}$$

where $\bar{Q}(u)$ is the complex-conjugate of $Q(\bar{u})$.



Indeed, if $\text{Im}(u) > 0$, then

$$\frac{1}{2i\pi} \int_{\text{upwards}} \frac{Q(v)}{v-u} dv = Q(u) \text{ and}$$

$$\frac{1}{2i\pi} \int_{\text{downwards}} \frac{\bar{Q}(v)}{v-u} dv = 0$$