

Rational spin
chains, MKP-
hierarchy &
Q-operators

S. Leurent

Motivation

$GL(K|M)$ spin
chains

T-operators

Bäcklund flow

Explicit
Q-operators

MKP-
hierarchy

τ -functions

General rational
solution

Undressing
procedure

Q-operators

$GL(k)$ spin chain
Integrable field
theories

Integrability of rational spin chains, MKP hierarchy, and Q-operators.

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[arXiv:1010.4022] V. Kazakov, SL, Z. Tsuboi

[arXiv:1112.3310] A. Alexandrov, V. Kazakov, SL,
Z.Tsuboi, A. Zabrodin

Saclay, November 22, 2012

Quantum Integrability

Very specific models (spin chains or quantum field theories), which have :

- 1+1 dimensions
- local interactions
- and many conserved charges

are *integrable*.



Then, they have the following properties

Properties of integrable models

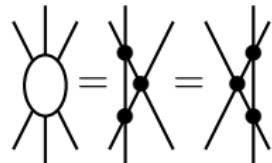
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- the exact diagonalization of the Hamiltonian reduces to solving the Bethe Equation(s).

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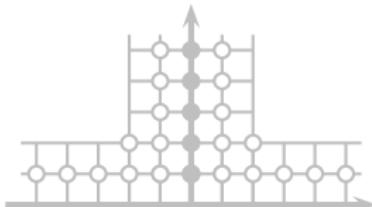
through a Bäcklund flow and Q-operators

Solving integrable models through Q-operators

- Reduce the model to a simpler and simpler system
(Bäcklund flow \rightsquigarrow Q-operators)
- Express the original Hamiltonian through Q-operators
- The Bethe equations arise naturally as a consistency constraint, required by Q-operators' analyticity properties

→ A simple example is $GL(K|M)$ spin chains.

- This procedure proved fruitful for many models, including the 3+1-dimensional $\mathcal{N} = 4$ Super-Yang-Mills (of AdS/CFT duality)



[Gromov, Kazakov, SL, Volin 11]
[Balog, Hegedüs 12]

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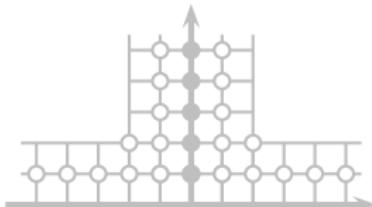
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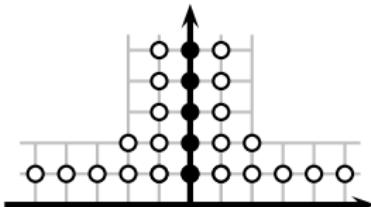
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Outline

1 Motivation

2 Q-operators for the $GL(K|M)$ spin chains

- T-operators
- Bäcklund flow
- Explicit expression of Q-operators

3 Classical integrability of the MKP-hierarchy

- τ -functions
- General rational solution
- Undressing procedure

4 Construction of Q-operators

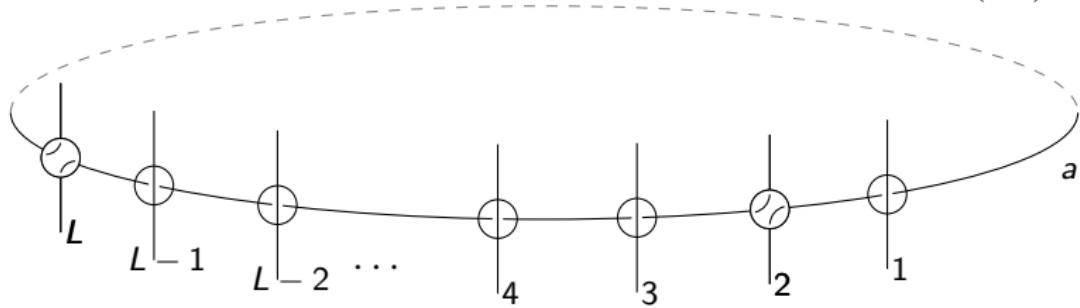
- $GL(k)$ spin chain
- Finite size effects in integrable field theories

Heisenberg “XXX” spin chain

Construction of T-operators

$$T(u) = \text{tr}_a ((u \mathbb{I} + \mathcal{P}_{L,a}) \cdot (u \mathbb{I} + \mathcal{P}_{L-1,a}) \cdots (u \mathbb{I} + \mathcal{P}_{1,a}))$$

operator on the Hilbert space $(\mathbb{C}^2)^{\otimes L}$



permutation operator :

$$\mathcal{P}_{1,2} | \downarrow \downarrow \uparrow \downarrow \uparrow \downarrow \cdots \rangle = | \downarrow \downarrow \uparrow \downarrow \uparrow \downarrow \cdots \rangle$$

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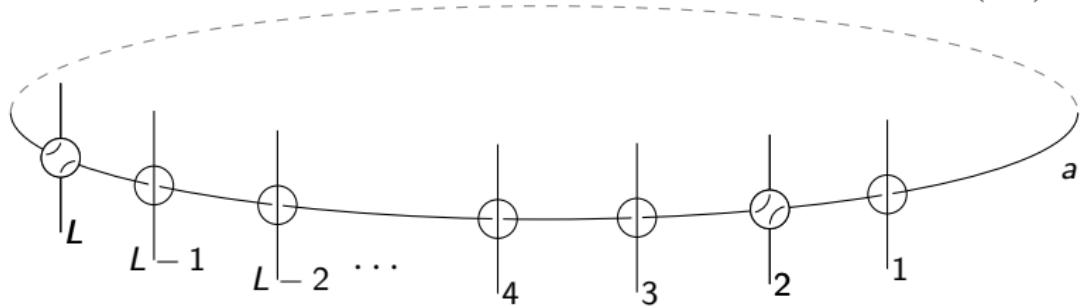
- $[T(u), T(v)] = 0$
(proved from relations like $\mathcal{P}_{i,j}\mathcal{P}_{j,k} = \mathcal{P}_{j,k}\mathcal{P}_{i,k}$)
- $H = -\sum_i \vec{\sigma}_i \cdot \vec{\sigma}_{i+1} = -L + 2 \frac{d}{du} \log T(u) \Big|_{u=0}$

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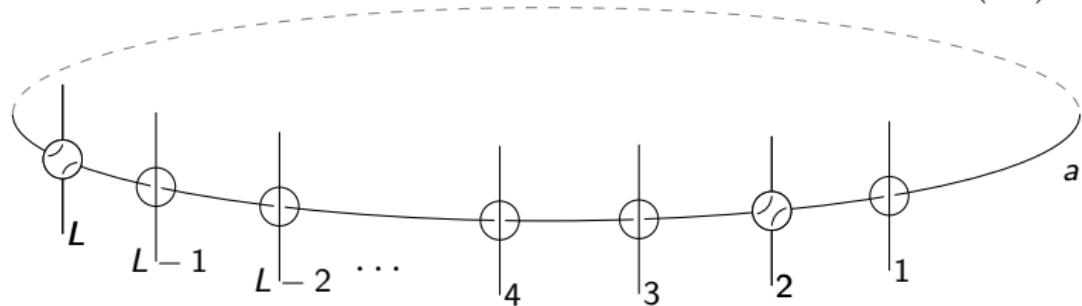
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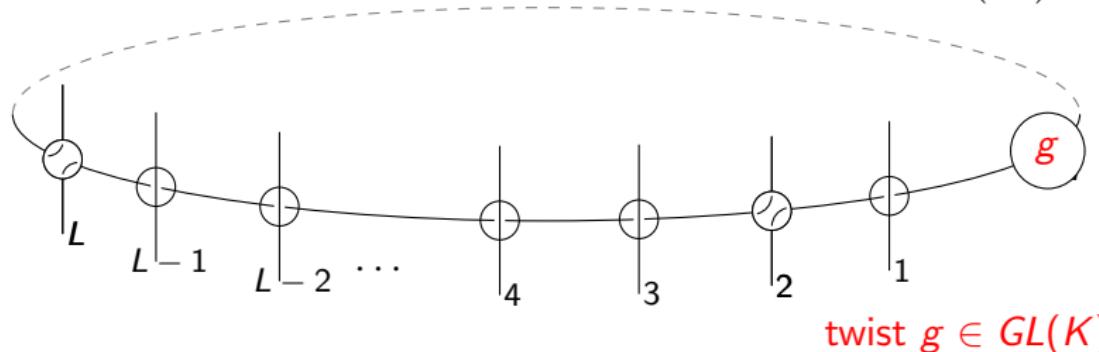
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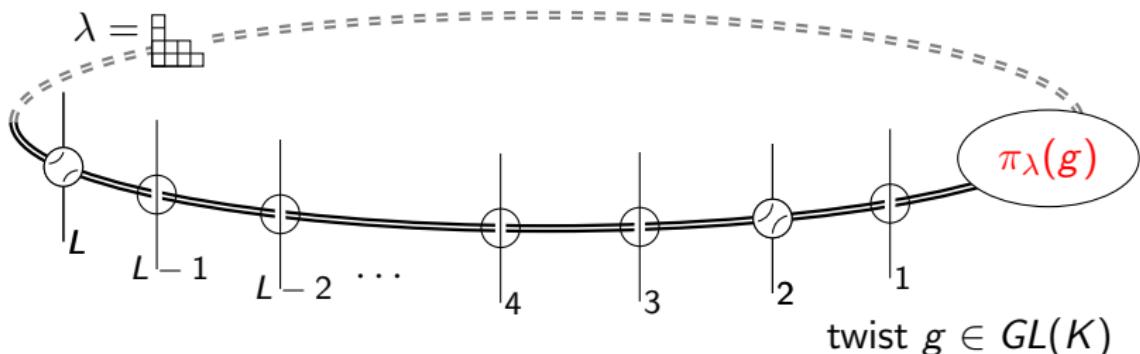
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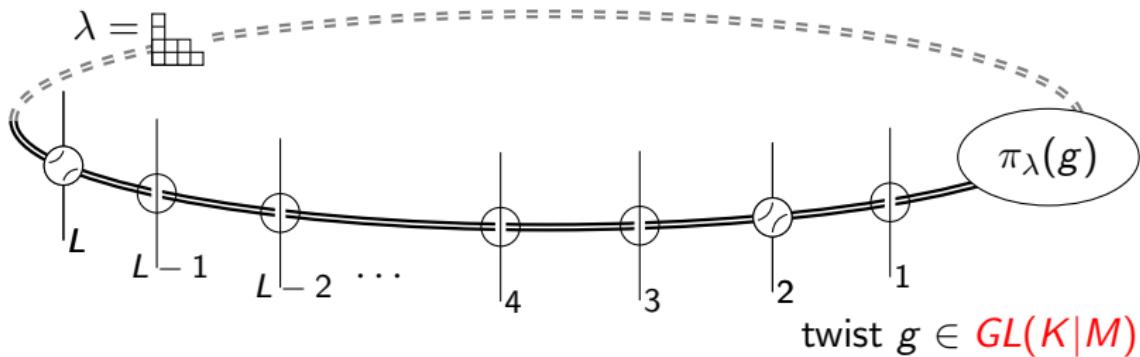
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T-operators \leftrightarrow characters

+ Cherednik-Bazhanov-Reshetikhin formula

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• At $L = 0$, $T^\lambda(u) = \chi^\lambda(g) \equiv \text{tr } \pi_\lambda(g)$

• In general

$$T^\lambda(u) = (u_1 + \hat{D}) \otimes (u_2 + \hat{D}) \otimes \cdots \otimes (u_L + \hat{D}) \chi^\lambda(g)$$

$u_i \equiv u - \xi_i$

Rectangular representation : $a, s \leftrightarrow \lambda = \underbrace{\begin{array}{|c|c|c|c|} \hline & & & \\ \hline \end{array}}_{s} \Big\} a$

Determinant identity

[Cherednik 86] [Bazhanov-Reshetikhin 90] [Kazakov Vieira 08]

$$\chi^\lambda(g) = \det (\chi^{1,\lambda_i+j-i}(g))_{1 \leq i,j \leq |\lambda|}$$

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Hirota equation

from Jacobi-Trudi identity

Jacobi-Trudi identity : for an arbitrary determinant

$$\begin{vmatrix} \text{blue} \\ \text{blue} \end{vmatrix} \times \begin{vmatrix} \text{blue} \\ \text{grey} \end{vmatrix} = \begin{vmatrix} \text{blue} \\ \text{blue} \end{vmatrix} \times \begin{vmatrix} \text{blue} \\ \text{blue} \end{vmatrix} - \begin{vmatrix} \text{blue} \\ \text{grey} \end{vmatrix} \times \begin{vmatrix} \text{blue} \\ \text{blue} \end{vmatrix}$$

- From the CBR determinant expression

$$T^{a,s}(u) = \frac{\det(T^{1,s+j-i}(u+1-j))_{1 \leq i,j \leq a}}{\prod_{k=1}^{a-1} T^{0,0}(u-k)},$$

this identity gives the “Hirota equation” :

$$\begin{aligned} T^{a,s}(u+1) \cdot T^{a,s}(u) &= T^{a+1,s}(u+1) \cdot T^{a-1,s}(u) \\ &\quad + T^{a,s-1}(u+1) \cdot T^{a,s+1}(u) \end{aligned}$$

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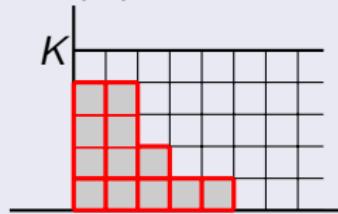
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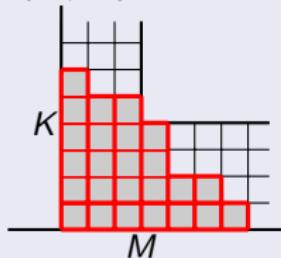
“Fat hooks” and “Bäcklund Flow”

Authorised Young diagrams for a given symmetry group

$GL(K)$ symmetry



$GL(K|M)$ symmetry



Hirota equation solved by gradually reducing the size of the
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[Krichever, Lipan, Wiegmann & Zabrodin 97]

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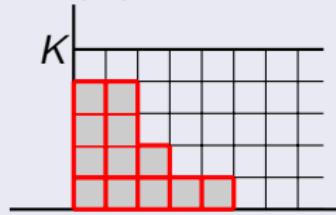
using inclusions like $GL(2|1) \supset GL(1|1) \supset GL(1|0) \supset \{1\}$



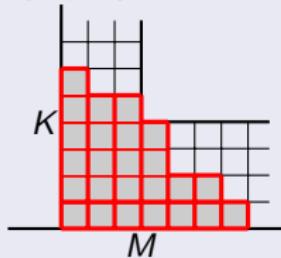
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if $T^{a,s}(u)$ is a solution of Hirota equation and

$$\left\{ \begin{array}{l} T^{a+1,s}(u)F^{a,s}(u) - T^{a,s}(u)F^{a+1,s}(u) \\ \qquad = \underbrace{x_j}_{\text{eigenvalue of } g} T^{a+1,s-1}(u+1)F^{a,s+1}(u-1), \\ \\ T^{a,s+1}(u)F^{a,s}(u) - T^{a,s}(u)F^{a,s+1}(u) \\ \qquad = x_j T^{a+1,s}(u+1)F^{a-1,s+1}(u-1). \end{array} \right.$$

Then $F^{a,s}(u)$ is a solution of Hirota equation.

Moreover, if $T^{a,s}(u) = 0$, outside the $(K|M)$ “fat hook”, one can choose $F^{a,s}(u) = 0$ outside the $(K-1|M)$ “fat hook”.

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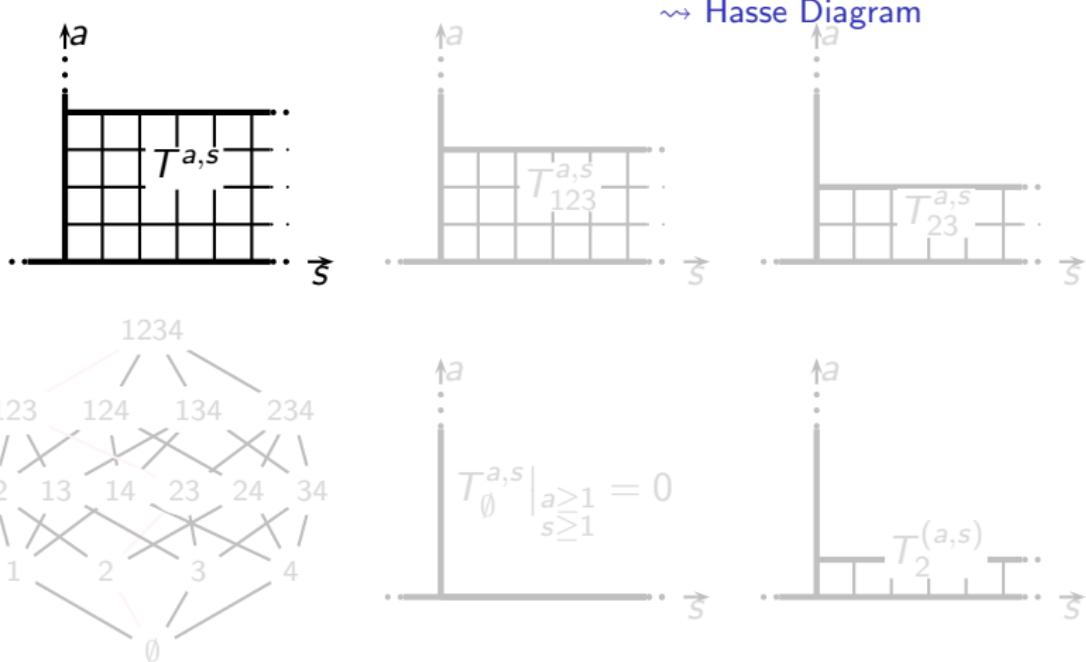
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Then $F^{a,s}(u)$ is a solution of Hirota equation.

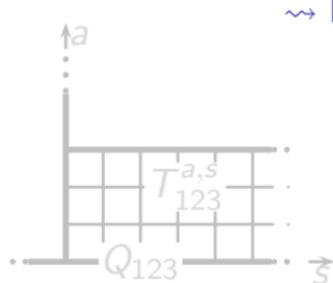
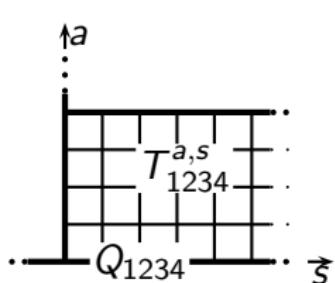
Moreover, if $T^{a,s}(u) = 0$, outside the $(K|M)$ “fat hook”, one can choose $F^{a,s}(u) = 0$ outside the $(K-1|M)$ “fat hook”.

example of $GL(4)$ Bäcklund flow

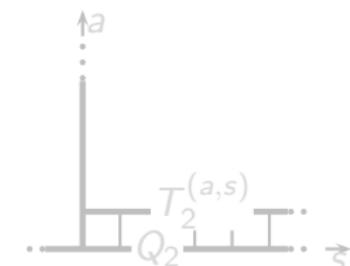
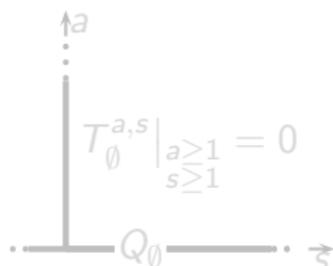
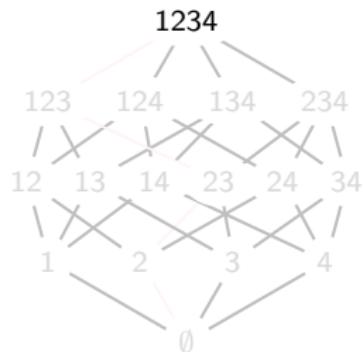
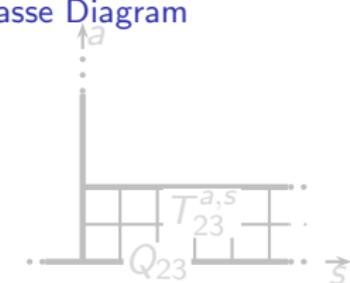


~ Defines 2^4 Q-operators, lying on the nodes of this *Hasse Diagram*

example of $GL(4)$ Bäcklund flow

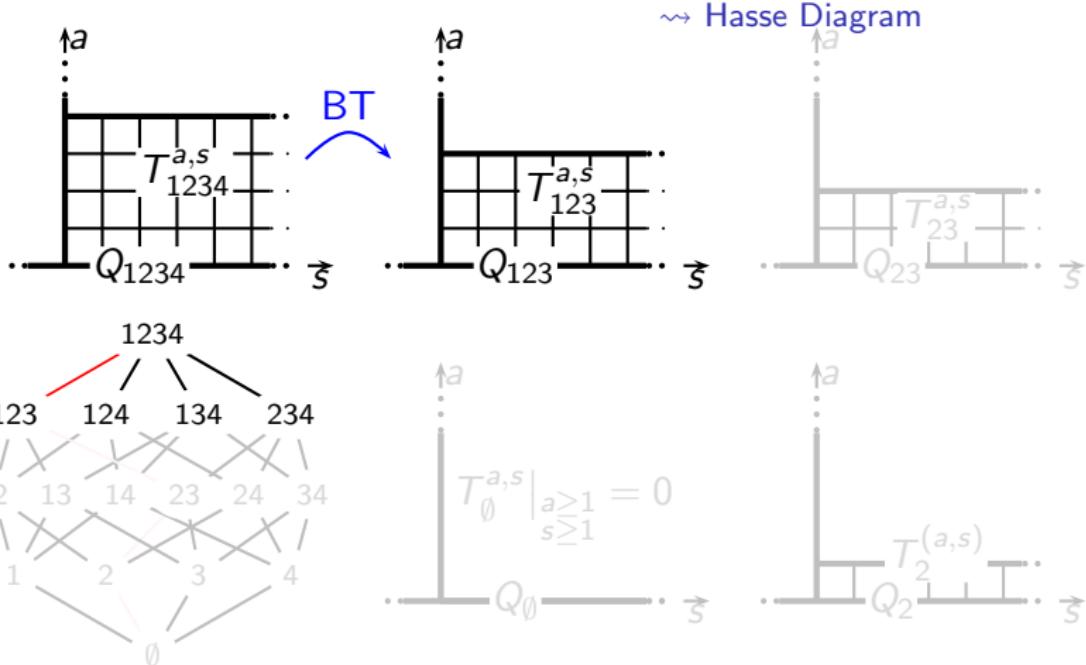


~> Hasse Diagram



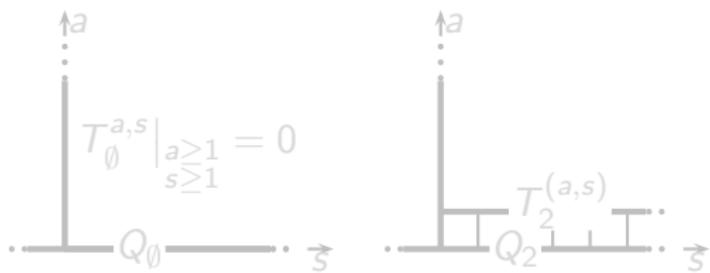
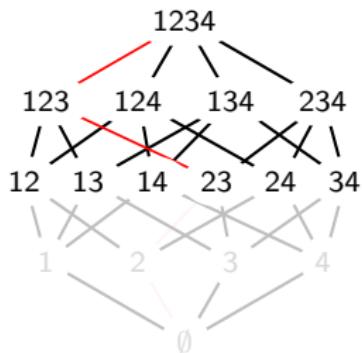
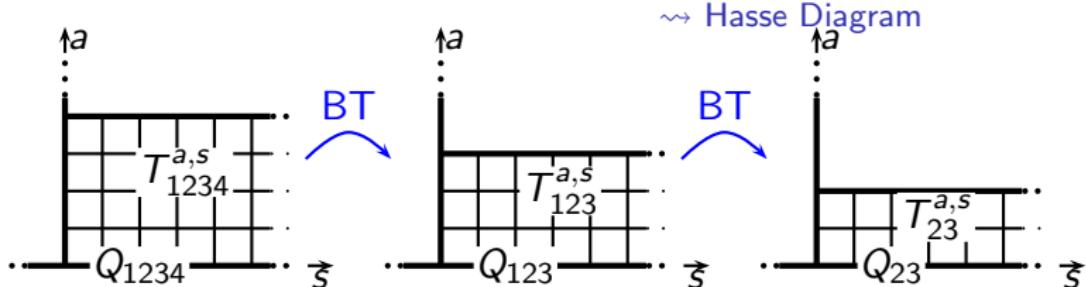
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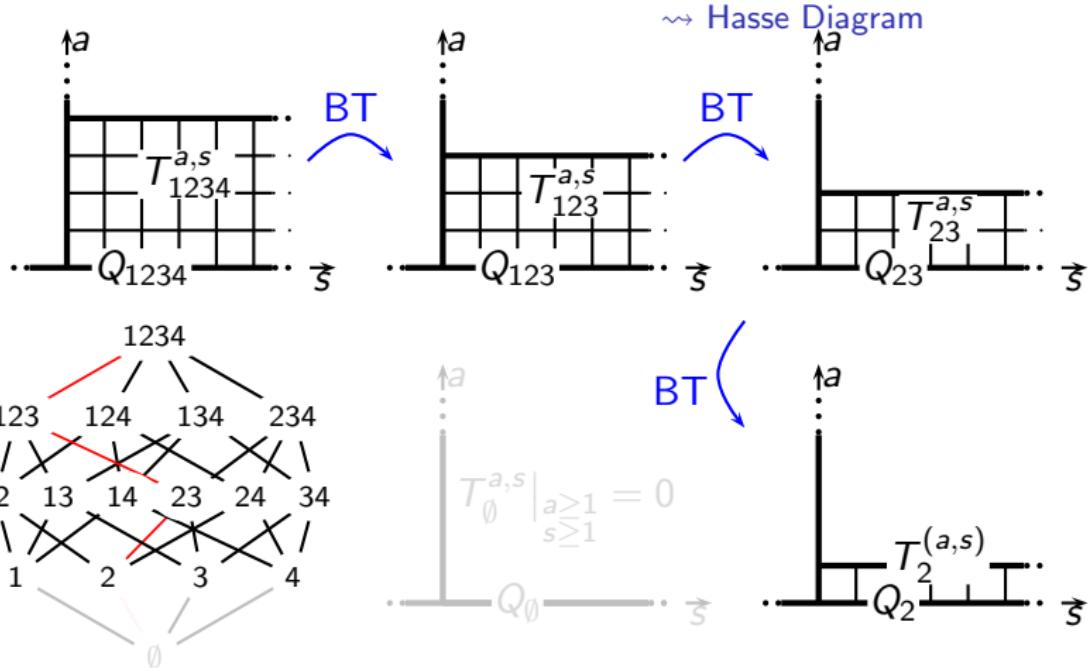
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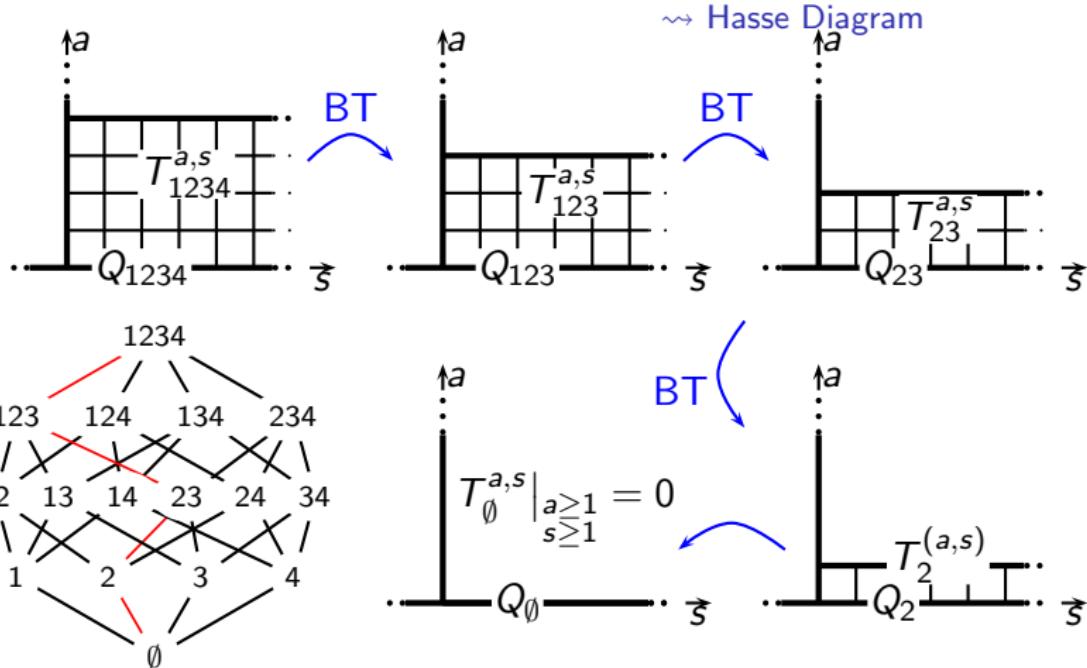
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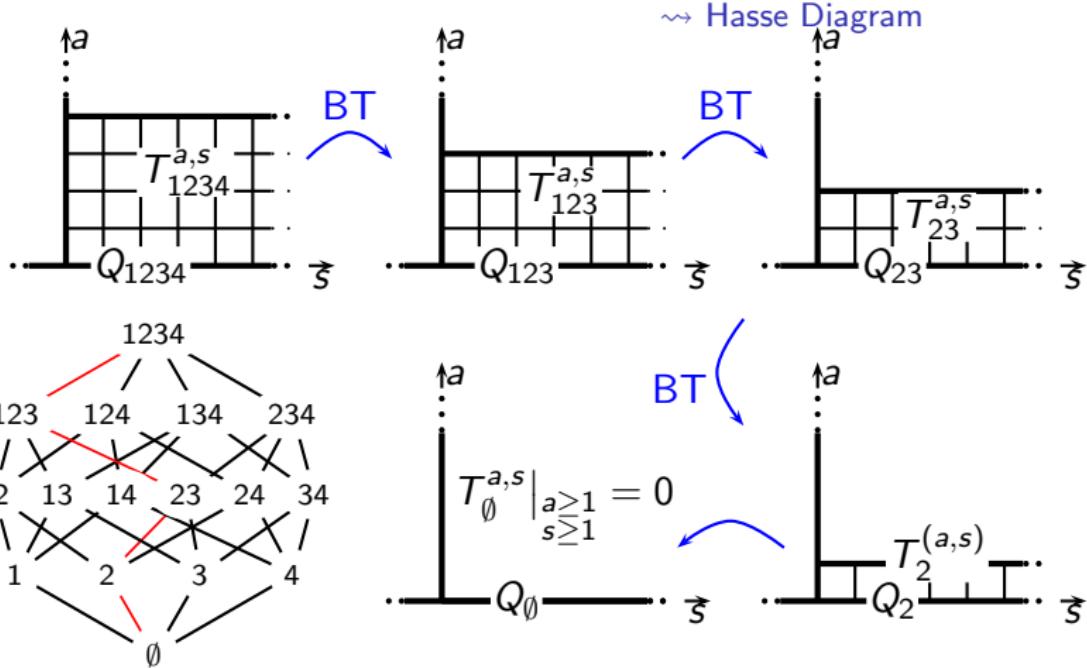
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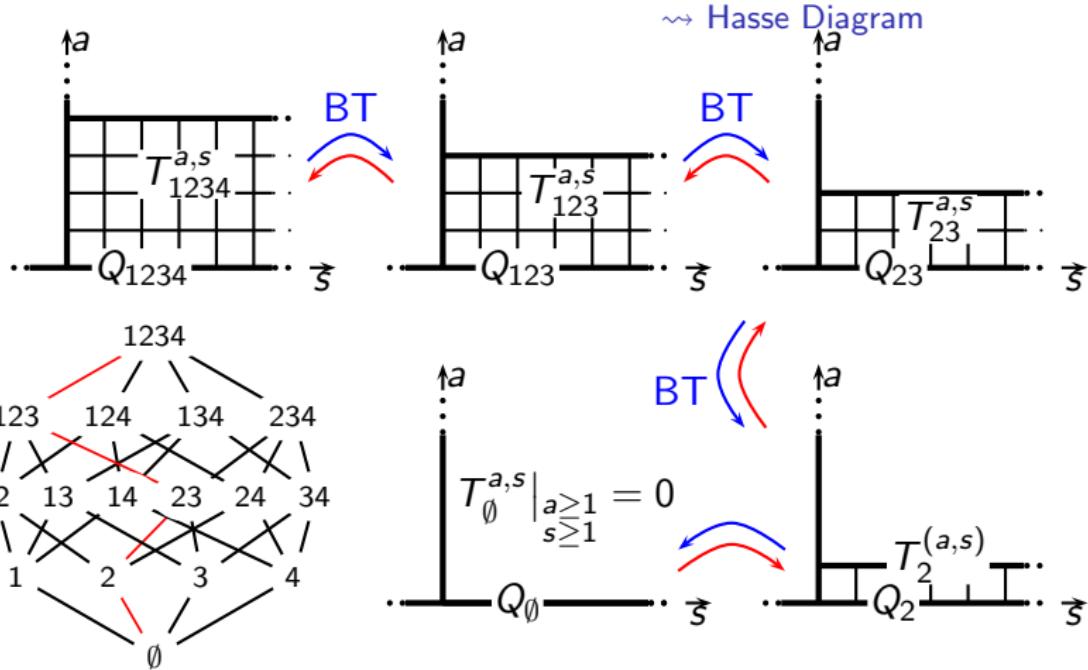
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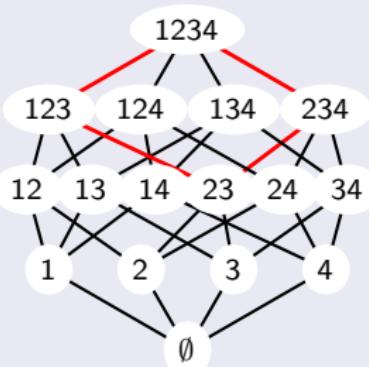
QQ-relations and Bethe Equations

At the level of operators, the QQ-relations

$$(x_i - x_j) Q_I(u - 1) Q_{I,i,j}(u) = \\ x_i Q_{I,j}(u - 1) Q_{I,i}(u) - x_j Q_{I,j}(u) Q_{I,i}(u - 1)$$

example : $I = \{23\}$, $i = 1, j = 4$

$$(x_1 - x_4) Q_{23}(u - 2) Q_{1234}(u) = \\ x_1 Q_{234}(u - 1) Q_{123}(u) - x_4 Q_{234}(u) Q_{123}(u - 1)$$



The relation involves
Q-operators lying on the same
facet of the Hasse diagram

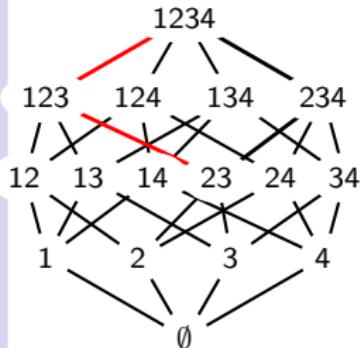
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imply

$$Q_{I,i}(u) \mid x_i Q_I(u - 1) Q_{I,i,j}(u) Q_{I,i}(u + 1) \\ + x_j Q_I(u) Q_{I,i,j}(u + 1) Q_{I,i}(u - 1).$$



for instance

$$Q_{123}(u) \mid x_1 Q_{23}(u - 1) Q_{1234}(u) Q_{123}(u + 1) \\ + x_4 Q_{23}(u) Q_{1234}(u + 1) Q_{123}(u - 1).$$

The relation involves three consecutive
Q-operators lying on the same nesting path.

QQ-relations and Bethe Equations

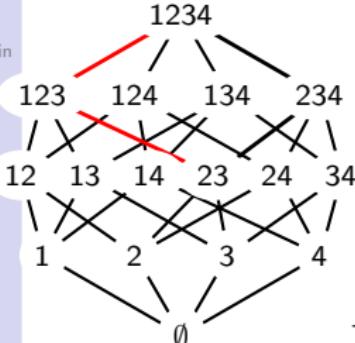
At the level of operators, the QQ-relations

$$(x_i - x_j) Q_I(u - 1) Q_{I,i,j}(u) = \\ x_i Q_{I,j}(u - 1) Q_{I,i}(u) - x_j Q_{I,j}(u) Q_{I,i}(u - 1)$$

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On a given eigenstate,



$$Q_I(u) = c_I \prod_{k=1}^{K_I} (u - u_k^{(I)}),$$

we get the Bethe equation

$$-1 = \frac{x_i}{x_j} \frac{Q_I(u_k^{(I,i)} - 1) Q_{I,i}(u_k^{(I,i)} + 1) Q_{I,i,j}(u_k^{(I,i)})}{Q_I(u_k^{(I,i)}) Q_{I,i}(u_k^{(I,i)} - 1) Q_{I,i,j}(u_k^{(I,i)} + 1)}$$

Expression of $T^{a,s}$

- Relations similar to the Jacobi-Trudi Identity give
[Krichever, Lipan, Wiegmann & Zabrodin 97]

$$T^\lambda(u) = Q_\emptyset(u - K) \cdot \frac{\det \left(x_j^{1-k+\lambda_k} Q_j(u - k + 1 + \lambda_k) \right)_{1 \leq j, k \leq K}}{\Delta(x_1, \dots, x_K) \prod_{k=1}^K Q_\emptyset(u - k + \lambda_k)}$$

where $\Delta(x_1, \dots, x_K) = \det \left(x_j^{1-k} \right)_{1 \leq j, k \leq K}$

Expression of $T_{\mathbb{I}}^{a,s}$

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$$T_{\mathbb{I}}^{\lambda}(u) = Q_{\emptyset}(u - |\mathbb{I}|) \cdot \frac{\det \left(x_j^{1-k+\lambda_k} Q_j(u - k + 1 + \lambda_k) \right)_{\substack{j \in \mathbb{I} \\ 1 \leq k \leq |\mathbb{I}|}}}{\Delta(\{x_j\}_{j \in \mathbb{I}}) \prod_{k=1}^{|\mathbb{I}|} Q_{\emptyset}(u - k + \lambda_k)}$$

$$\text{where } \Delta(\{x_j\}_{j \in \mathbb{I}}) = \det \left(x_j^{1-k} \right)_{\substack{j \in \mathbb{I}, k \leq |\mathbb{I}|}}$$

Summary

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outcome

- T-operators expressed through Q-operators
 - Hamiltonian : $H = 2\frac{L}{K} - 2\frac{d}{du} \log T^{1,1}(u) \Big|_{u=0}$
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Explicit expression of nested T and Q-operators

- Generating series of T-operators :

$$\text{let } w(z) \equiv \sum_{s \geq 0} \chi^{1,s} z^s = \det \frac{1}{1 - g z},$$

$$\text{then } \sum_{s \geq 0} T^{1,s} z^s = \bigotimes_{i=1}^L (u_i + \hat{D}) w(z)$$

Explicit solution of this linear system

$$T_I^{\{\lambda\}}(u) = \lim_{\substack{t_j \rightarrow \frac{1}{x_j} \\ j \in \bar{I}}} B_I \cdot \left[\bigotimes_{i=1}^L (u_i + \hat{D} + |\bar{I}|) \chi_\lambda(g_I) \Pi_I \right],$$

$$\Pi_I = \prod_{j \in \bar{I}} w(t_j)$$

$$B_I = \prod_{j \in \bar{I}} (1 - x_j t_j) \cdot (1 - g t_j)^{\otimes N}$$

$$Q_I = T_I^{(0,s)}$$

$$E_{\{j_1, j_2, \dots, j_k\}} = \text{diag}(x_{j_1}, x_{j_2}, \dots, x_{j_k})$$

x_j =eigenvalue of g

[Kazakov, S.L, Tsuboi 10]

Explicit expression of nested T and Q-operators

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[Kazakov, S.L, Tsuboi 10]

(Hints of) combinatorial proof

- Determinant identities (similar to Jacobi-Trudi) reduce the proofs to a few bilinear identities proven from the following

“Master Identity”

[Kazakov, S.L, Tsuboi 10]

when $\Pi = \prod_j w(t_j)$,

$$\begin{aligned} & (t-z) \left[\bigotimes (u_i + 1 + \hat{D}) w(z) w(t) \Pi \right] \cdot \left[\bigotimes (u_i + \hat{D}) \Pi \right] \\ &= t \left[\bigotimes (u_i + \hat{D}) w(z) \Pi \right] \cdot \left[\bigotimes (u_i + 1 + \hat{D}) w(t) \Pi \right] \\ &\quad - z \left[\bigotimes (u_i + 1 + \hat{D}) w(z) \Pi \right] \cdot \left[\bigotimes (u_i + \hat{D}) w(t) \Pi \right] \end{aligned}$$

where $w(z) = \det \frac{1}{1-zg} = \sum_{s=0}^{\infty} z^s \chi_s(g)$

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Combinatorics of coderivatives

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- Master identity proven by combinatorial arguments
 - For instance, [Kazakov \dots]

Outline

1 Motivation

2 Q-operators for the $GL(K|M)$ spin chains

- T-operators
- Bäcklund flow
- Explicit expression of Q-operators

3 Classical integrability of the MKP-hierarchy

- τ -functions
- General rational solution
- Undressing procedure

4 Construction of Q-operators

- $GL(k)$ spin chain
- Finite size effects in integrable field theories

τ -functions of the MKP hierarchy

- A τ -function of the *MKP hierarchy* is a function of a variable n and an infinite set $\mathbf{t} = (t_1, t_2, \dots)$ of “times”, such that $\forall n \geq n', \forall \mathbf{t}, \mathbf{t}'$

Definition of τ -functions.

$$\oint_{\mathcal{C}} e^{\xi(\mathbf{t}-\mathbf{t}', z)} z^{n-n'} \tau_n(\mathbf{t} - [z^{-1}]) \tau_{n'}(\mathbf{t}' + [z^{-1}]) dz = 0$$

where $\mathbf{t} \pm [z^{-1}] = \left(t_1 \pm z^{-1}, t_2 \pm \frac{z^{-2}}{2}, t_3 \pm \frac{z^{-3}}{3}, \dots \right)$, $\xi(\mathbf{t}, z) = \sum_{k \geq 1} t_k z^k$, and \mathcal{C} encircles the singularities of $\tau_n(\mathbf{t} - [z^{-1}]) \tau_{n'}(\mathbf{t}' + [z^{-1}])$ (typically finite), but not the singularities of $e^{\xi(\mathbf{t}-\mathbf{t}', z)} z^{n-n'}$ (typically at infinity).

- An example of such τ -function is the expectation value

$$\tau_n(\mathbf{t}) = \langle n | e^{J_+(\mathbf{t})} G | n \rangle$$

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- An example of such τ -function is the expectation value

$$\tau_n(\mathbf{t}) = \langle n | e^{J_+(\mathbf{t})} G | n \rangle$$

over an infinite set of fermionic oscillators ($\{\psi_i, \psi_j^\dagger\} = \delta_{ij}$),

where $G = \exp\left(\sum_{i,k \in \mathbb{Z}} A_{ik} \psi_i^\dagger \psi_k\right)$ and $J_+ = \sum_{k \geq 1} t_k J_k$,

where $J_k = \sum_{j \in \mathbb{Z}} \psi_j \psi_{j+k}^\dagger$. (and $\psi_n |n\rangle = |n+1\rangle$)

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Characteristic property

τ -functions are characterised by

$$\begin{aligned} & z_2 \tau_{n+1}(\mathbf{t} - [z_2^{-1}]) \tau_n(\mathbf{t} - [z_1^{-1}]) \\ & - z_1 \tau_{n+1}(\mathbf{t} - [z_1^{-1}]) \tau_n(\mathbf{t} - [z_2^{-1}]) \\ & + (z_1 - z_2) \tau_{n+1}(\mathbf{t}) \tau_n(\mathbf{t} - [z_1^{-1}] - [z_2^{-1}]) = 0. \end{aligned}$$

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Characteristic property ↠ “Master identity”

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General rational τ -function

[Krichever 78]

If $\tau_n(\mathbf{t})$ is polynomial in n , we substitute $n \rightsquigarrow u$, where $u \in \mathbb{C}$.

Polynomial τ -functions of this MKP hierarchy

$$\tau(u, \mathbf{t}) = \det(A_i(u - j, \mathbf{t}))_{1 \leq i, j \leq N}$$

$$\text{where } A_i(u, \mathbf{t}) = \sum_{m=0}^{d_i} a_{i,m} \partial_z^m \left(z^u e^{\xi(\mathbf{t}, z)} \right) \Big|_{z=p_i}$$

parameterized by : the integer $N \geq 0$, the numbers $\{p_i\}$ and d_i , and the coefficients $\{a_{i,m}\}$.

- Analogous to the spin-chain's "undressing procedure" : restricting to smaller and smaller minors of the determinant

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Undressing the rational τ -functions

- $A_i(u, \mathbf{t} + [z^{-1}]) = \sum_{m=0}^{d_i} a_{i,m} \partial_x^m \left(x^u e^{\xi(\mathbf{t}, x)} \frac{1}{1-x/z} \right) \Big|_{x=p_i}$
has a pole at $z = p_i$.
- One can show that

$$A_k(u, \mathbf{t} + [z^{-1}]) = A_k(u, \mathbf{t}) + z^{-1} A_k(u+1, \mathbf{t} + [z^{-1}]),$$
 hence

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Undressing procedure for rational τ -functions

- First step : $\text{Res}_{z=p_i} \tau(u+1, \mathbf{t} + [z^{-1}])$
- Second step : $\text{Res}_{z_1=p_i} \text{Res}_{z_2=p_i} \tau(u+2, \mathbf{t} + [z_1^{-1}] + [z_2^{-1}])$
- Et cetera

Undressing the rational τ -functions

- $A_i(u, \mathbf{t} + [z^{-1}]) = \sum_{m=0}^{d_i} a_{i,m} \partial_x^m \left(x^u e^{\xi(\mathbf{t}, x)} \frac{1}{1-x/z} \right) \Big|_{x=p_i}$
has a pole at $z = p_i$.
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- First step : $\text{Res}_{z=p_i} \tau(u+1, \mathbf{t} + [z^{-1}])$
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Outline

1 Motivation

2 Q-operators for the $GL(K|M)$ spin chains

- T-operators
- Bäcklund flow
- Explicit expression of Q-operators

3 Classical integrability of the MKP-hierarchy

- τ -functions
- General rational solution
- Undressing procedure

4 Construction of Q-operators

- $GL(k)$ spin chain
- Finite size effects in integrable field theories

Spin-chains \longleftrightarrow MKP hierarchy

T -operators are τ -functions

- Set of times $\mathbf{t} \longleftrightarrow$ representations λ :

$$\tau(u, \mathbf{t}) = \sum_{\lambda} \underbrace{s_{\lambda}(\mathbf{t})}_{\text{Schur polynomial}} \tau(u, \lambda) \quad s_{\lambda}(\mathbf{t}) = \det(h_{\lambda_i - i + j}(\mathbf{t}))_{1 \leq i, j \leq |\lambda|}$$

where $e^{\xi(\mathbf{t}, z)} = \sum_{k \geq 0} h_k(\mathbf{t}) z^k$

If $\tau(u, \lambda) = T^{\lambda}(u) = \bigotimes_{i=1}^L (u_i + \hat{D}) \chi^{\lambda}(g)$, we get

$$\tau(u, \mathbf{t}) = \bigotimes_{i=1}^L (u_i + \hat{D}) e^{\sum_{k \geq 1} t_k \text{tr}(g^k)}$$

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Spin-chains \longleftrightarrow MKP hierarchy

T -operators are τ -functions

- The master identity coincides with the characteristic property of the MKP hierarchy

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is a τ -function of the MKP hierarchy.

- The undressing procedure for τ -functions (ie $\text{Res}_{z=p_i} \tau(u+1, \mathbf{t} + [z^{-1}])$) explains the explicit expression found from the combinatorics of coderivatives.
- Fermionic realisation of this τ -function :

$$\tau_n(\mathbf{t}) = \langle n | e^{J_+(\mathbf{t})} \Psi_1 \dots \Psi_N | n - N \rangle ,$$

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[Alexandrov, Kazakov, S.L., Tsuboi, Zabrodin 11]

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1+1 D integrable field theories

Wavefunction for a large volume

- planar waves when particles are far from each other
 - an *S-matrix* describes 2-points interactions
- ⇒ Bethe equations

- Finite size effects : “double Wick Rotation”
finite size \rightsquigarrow finite temperature



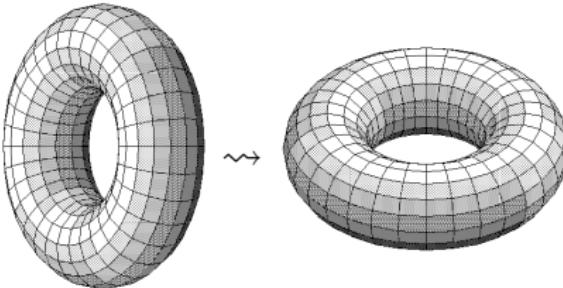
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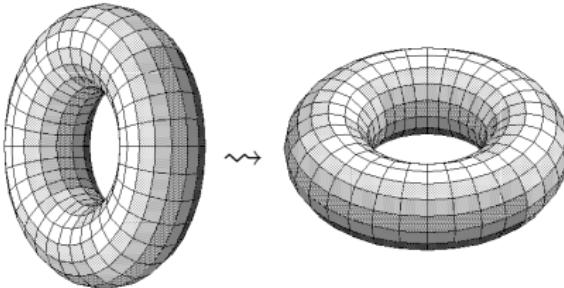
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Example of AdS/CFT

AdS/CFT from Q-functions [Gromov, Kazakov, SL, Volin 11]

- The complicated (and infinite) set of TBA equations can be reduced to some analyticity properties (analyticity strips, continuation around branch points, ...) of the Q-functions.
- Q-functions (or Bäcklund flow) allow to reduce these equations to a finite set of nonlinear integral equations

see also [Balog, Hegedüs, 12]

- No construction of T -operators
- No physical derivation of the above-mentioned analyticity properties
- Relation to the Hamiltonian not understood

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Conclusions

- Rational spin chains (very well understood)
 - Bäcklund Flow to gradually simplify the system
 - Bethe Equations
 - Expression of the Hamiltonian from T and Q -functions
- For these rational spin chains, the classical integrability of τ -functions sheds light on the whole construction
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- Can it also generalize to other, less-understood integrable models ?

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Finally

Thank you !

Appendices

Disclaimer : The following slides are additional material, not necessarily part of the presentation

5 Commutation of T -operators

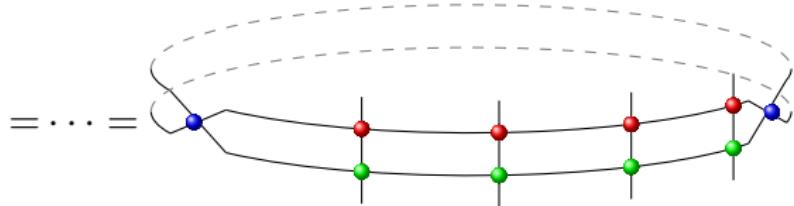
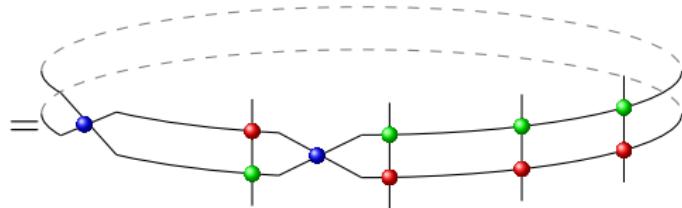
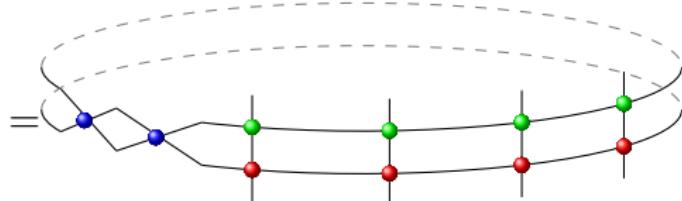
6 Co-derivatives

Commutation of T -operators

Commutation
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Co-derivatives

$$T(u) \cdot T(v) =$$



$$= T(v) \cdot T(u)$$

Expression of T through co-derivative

- $\hat{D} \otimes f(g) = \frac{\partial}{\partial \phi} \otimes f(e^\phi g) \Big|_{\phi=0}$ $\phi \in GL(K)$

- If $f(g)$ acts on \mathcal{H} , then $\hat{D} \otimes f$ acts on $\tilde{\mathcal{H}} = \mathbb{C}^K \otimes \mathcal{H}$

- $\hat{D} \otimes g = \mathcal{P}(1 \otimes g)$ and Leibnitz rule :

$$\hat{D} \otimes (f \cdot \tilde{f}) = [\mathbb{I} \otimes f] \cdot [\hat{D} \otimes \tilde{f}] + [\hat{D} \otimes f] \cdot [\mathbb{I} \otimes \tilde{f}]$$

↔ compute any $\hat{D} \otimes f(g)$

- $\hat{D} \otimes \pi_\lambda(g) = \left[\sum_{\alpha, \beta} \underbrace{e_{\beta\alpha}}_{\text{generator}} \otimes \underbrace{\pi_\lambda(e_{\alpha\beta})}_{\text{generator}} \right] \cdot \mathbb{I} \otimes \pi_\lambda(e_{\alpha\beta})$

hence

$$((u - \xi_L)\mathbb{I} + \mathcal{P}_{L,a}) \cdots ((u - \xi_1)\mathbb{I} + \mathcal{P}_{1,a}) \cdot \pi_\lambda(g) = \bigotimes_{i=1}^N (u - \xi_i + \hat{D}) \pi_\lambda(g)$$

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▶ back