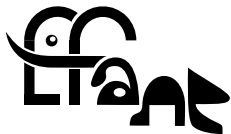


# Modular polynomials for abelian surfaces

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Evaluation seminar 2019-03-20



- 1 Abelian varieties and polarisations
- 2 Modular polynomials
- 3 Isogeny graphs





## Definition

**Principally polarised complex abelian variety**  $A$  of dimension  $g$  = compact Lie group  $V/\Lambda$  with

- $V$ : **complex vector space** of dimension  $g$  (**linear data**);
  - $\Lambda$ :  **$\mathbb{Z}$ -lattice** in  $V$  (of rank  $2g$ ) (**arithmetic data**);
  - $+ H$ : **Hermitian form** on  $V$  |  $E(\Lambda, \Lambda) \subset \mathbb{Z}$  where  $E := \text{Im } H$  is a principal symplectic form (**quadratic data: pairings**).
- $H$ : **polarisation** on  $A$ . Conversely, any symplectic form  $E$  on  $V$  such that  $E(\Lambda, \Lambda) \subset \mathbb{Z}$  and  $E(ix, iy) = E(x, y)$  for all  $x, y \in V$  gives a polarisation  $H$  with  $E = \text{Im } H$ .
- $\Rightarrow$  **Algebraic coordinates.**
- **Principal polarisation**: over a **symplectic basis** of  $\Lambda$ ,  $E$  is of the form  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ .
  - **Moduli space** of principally polarised abelian varieties:  $\mathfrak{H}_g / \text{Sp}_{2g}(\mathbb{Z})$  of **dimension**  $g(g+1)/2$ .
  - $\Lambda = \Omega\mathbb{Z}^g \oplus \mathbb{Z}^g$ ,  $H = (\Im\Omega)^{-1}$ .

## Definition

$A := V/\Lambda, B := V'/\Lambda'$  abelian varieties.

- **Isogeny**:  $f : A \rightarrow B$  bijective linear map  $f : V \rightarrow V' \mid f(\Lambda) \subset \Lambda'$ .
- **Kernel**:  $f^{-1}(\Lambda')/\Lambda \subset A$ , **degree**  $\deg f := \#K$ .
- $f : (A, H_1) \rightarrow (B, H_2) = \ell$ -**isogeny** between **principally polarised abelian varieties** if

$$f^*H_2 = \ell H_1.$$

- Two abelian varieties over a finite field are isogenous iff they have the same **zeta function** (Tate);

## Theorem (Weil, Mumford)

$f \mapsto \text{Ker } f : \{\ell\text{-isogenies}\} \Leftrightarrow \{\text{maximally isotropic subgroup of } A[\ell] \text{ for the Weil pairing}\}.$

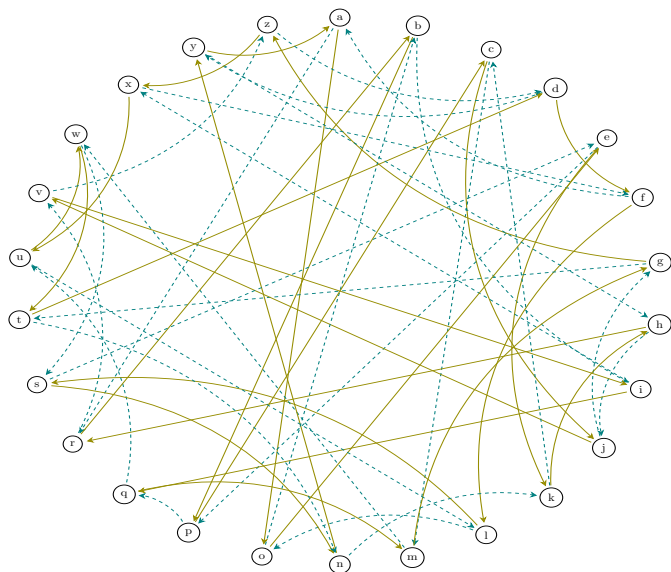
## Transport the DLP

- Extend attacks using Weil descent [GHS02]
- Transfer the DLP from the Jacobian of an hyperelliptic curve of genus 3 to the Jacobian of a quartic curve [Smi09].

## Work with smaller data

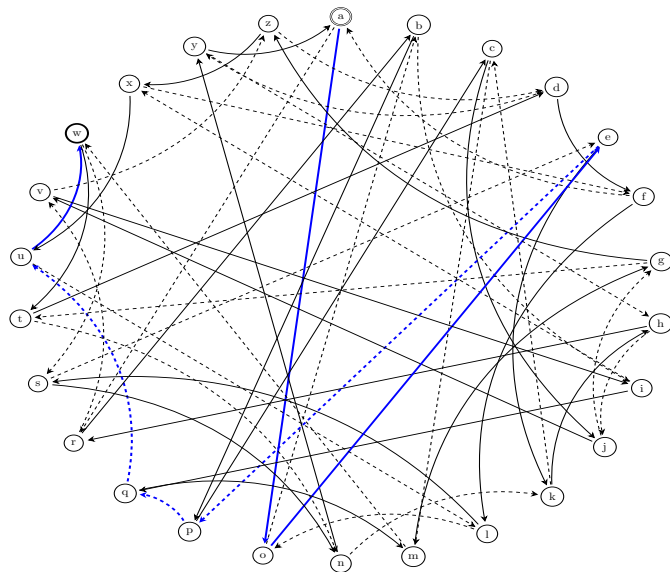
- SEA point counting algorithm [Sch95; Mor95; Elk97];
- CRT algorithms to compute class polynomials [Sut11; ES10], [Lauter-R.];
- CRT algorithms to compute modular polynomials [BLS12].
  
- Splitting the multiplication using isogenies can improve the arithmetic [DIK06; Gau07];
- The isogeny graph of a supersingular elliptic curve can be used to construct secure hash functions [CLG09];
- Construct public key cryptosystems by hiding vulnerable curves by an isogeny (the trapdoor) [Tes06], or by encoding informations in the isogeny graph [RS06];
- Take isogenies to reduce the impact of side channel attacks [Sma03];
- Construct a normal basis of a finite field [CL09];
- Improve the discrete logarithm in  $\mathbb{F}_q^*$  by finding a smoothness basis invariant by automorphisms [CL08].
- Construct verifiable delay functions [De +19].

# Post-quantum key exchange using isogeny graphs [DJP14]



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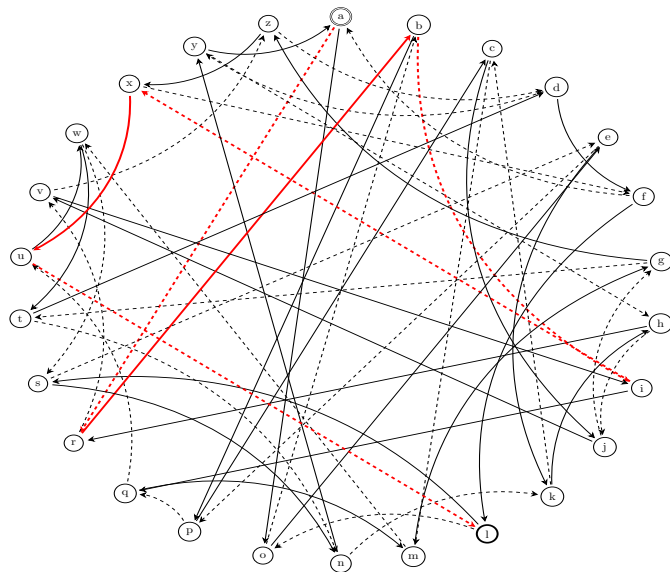
Alice starts from 'a', follows the path 001110, and get 'w'.





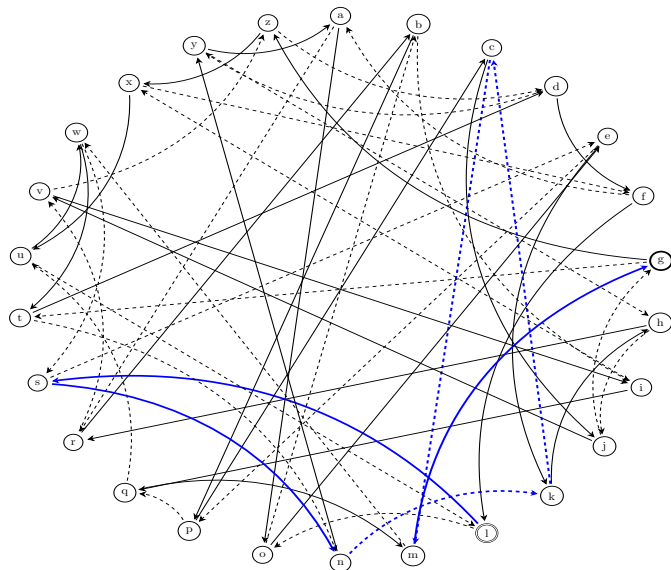
# Post-quantum key exchange using isogeny graphs [DJP14]

Bob starts from 'a', follows the path 101101, and get 'l'.



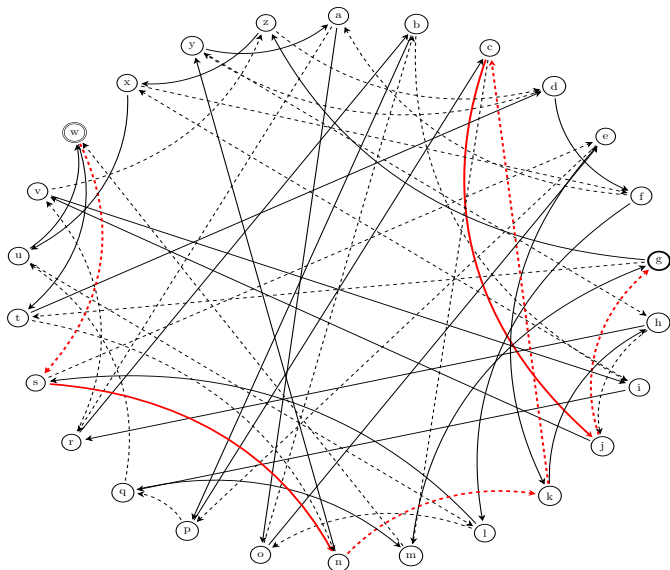
# Post-quantum key exchange using isogeny graphs [DJP14]

Alice starts from 'l', follows her path 001110, and get 'g'.

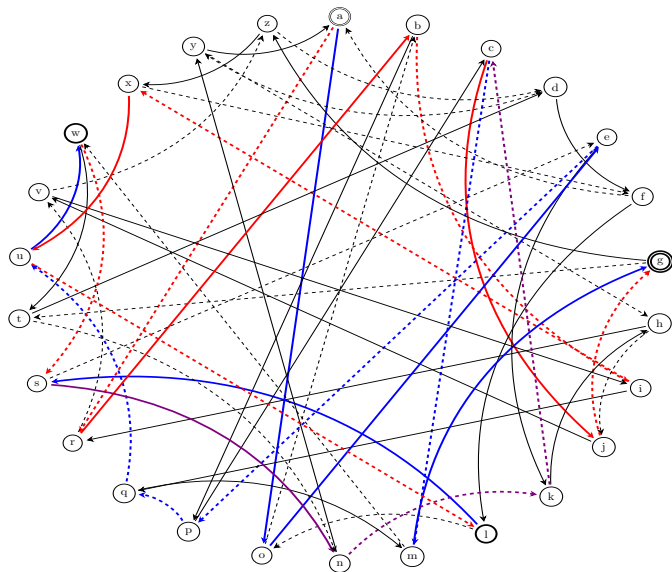


# Post-quantum key exchange using isogeny graphs [DJP14]

Bob starts from 'w', follows his path 101101, and get 'g'.



## The full key exchange



## Definition

- **Igusa invariants:** Siegel modular functions  $j_1, j_2, j_3$  for  $\Gamma := \mathrm{Sp}_4(\mathbb{Z})$

$$j_1 := \frac{h_4 h_6}{h_{10}}, \quad j_2 := \frac{h_4^2 h_{12}}{h_{10}^2}, \quad j_3 := \frac{h_4^5}{h_{10}^2}.$$

where the  $h_i$  are **modular forms** of weight  $i$  given by explicit polynomials in terms of **theta constants**.

- 3 Igusa invariants  $\Rightarrow$  **birational equivalence** between  $\mathfrak{H}_2/\Gamma$  and  $\mathbb{P}_{\mathbb{C}}^3$ ;
- Always determine  $A \Rightarrow$  need **10 invariants**.
- Denominator  $h_{10} = 0 \Leftrightarrow A =$  **product of elliptic curves**.
- $j_{i,\ell}(\Omega) := j_i(\ell\Omega) \Rightarrow B := \mathbb{C}^g / (\ell\Omega\mathbb{Z}^g + \mathbb{Z}^g) =$  abelian surface  **$\ell$ -isogeneous** to  $A := \mathbb{C}^g / (\Omega\mathbb{Z}^g + \mathbb{Z}^g)$ ;
- Others ppav  $\ell$ -isogenous to  $A \Leftrightarrow$  **action of  $\Gamma/\Gamma_0(\ell)$  on  $\Omega$** . Index:  $\ell^3 + \ell^2 + \ell + 1$ .

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## Definition (Hecke representation of $\ell$ -modular polynomials)

$$\Phi_{1,\ell}(j_1, j_2, j_3, Y_1) = \prod_{\gamma \in \Gamma/\Gamma_0(\ell)} (Y_1 - j_{1,\ell}^\gamma) \quad j_{i,\ell}(\Omega) = j_i(\ell\Omega)$$

$$\Psi_{i,\ell}(j_1, j_2, j_3, Y_i) = \sum_{\gamma \in \Gamma/\Gamma_0(\ell)} j_{i,\ell}^\gamma \prod_{\gamma' \in \Gamma/\Gamma_0(\ell) \setminus \{\gamma\}} (Y_i - j_{1,\ell}^{\gamma'}) \quad (i = 2, 3)$$

$$\Phi_\ell := \{\Phi_{1,\ell}(X_1, X_2, X_3, Y_1), Y_i \Phi'_{i,\ell}(X_1, X_2, X_3, Y_i) - \Psi_{i,\ell}(X_1, X_2, X_3, Y_i)\} \in \mathbb{Q}(X_1, X_2, X_3)[Y_1, Y_2, Y_3]^3.$$

- $\Phi_i(j_A, j_B) = 0$  iff  $B$  is  $\ell$ -isogenous to  $A$ ;
- Computed via a multidimensional **evaluation-interpolation** approach (need to compute **period matrices**);
- ⇒ Evaluation of the **modular invariants** on  $\Omega$  at high precision;
- ⇒ Generalized version of the AGM to compute theta functions in **quasi-linear time** in the precision [Dup06];
- ⇒ Need to interpolate **rational functions**;
- Denominator = the **Humbert surface**  $H_{\ell^2}$  of discriminant  $\ell^2$  [BL09; Gru10] = abelian surfaces  $\ell$ -isogenous to products of elliptic curves;
- **Quasi-linear** algorithm [Dup06; Mil14];
- Can be generalized to **smaller modular invariants** [Mil14].

## Example of modular polynomials in dimension 2 [Mil14]

Invariant	$\ell$	Size
Igusa	2	57 MB
Streng	2	2.1 MB
Streng	3	890 MB
Theta	3	175 KB
Theta	5	200 MB
Theta	7	29 GB

### Examples

- The denominator of  $\Phi_{1,3}$  for modular functions  $b_1, b_2, b_3$  derived from **theta constants of level 2** is:

$$\begin{aligned} & 1024b_3^6 b_2^6 b_1^{10} - ((768b_3^8 + 1536b_3^4 - 256)b_3^8 + 1536b_3^8 b_3^4 - 256b_3^8)b_1^8 + \\ & (1024b_3^6 b_2^{10} + (1024b_3^{10} + 2560b_3^6 - 512b_3^2)b_2^6 - (512b_3^6 - 64b_3^2)b_2^2)b_1^6 - \\ & (1536b_3^8 b_2^8 + (-416b_3^4 + 32)b_2^4 + 32b_3^4)b_1^4 - \\ & ((512b_3^6 - 64b_3^2)b_2^6 - 64b_3^6 b_2^2)b_1^2 + 256b_3^8 b_2^8 - 32b_3^4 b_2^4 + 1. \end{aligned}$$

- One coefficient of the denominator for  $\Phi_{1,5}$  is 1180591620717411303424.



- Fix the values of  $j_1(\Omega), j_2(\Omega), j_3(\Omega)$  in a **tridimensional grid**;
- Compute the **period matrix**  $\Omega \in \mathfrak{H}_2$ ;
- **Evaluate** the Igusa invariants of the  $\ell^3 + \ell^2 + \ell + 1$   $\ell$ -isogenous curves:

$$\{(j_1(\ell\gamma\Omega), j_2(\ell\Omega), j_3(\ell\gamma\Omega)) \mid \gamma \in \Gamma/\Gamma_0(\ell)\}$$

- Compute  $\Phi_{1,\ell}(j_1(\Omega), j_2(\Omega), j_3(\Omega), Y_1) = \prod_{\gamma \in \Gamma/\Gamma_0(\ell)} (Y_1 - j_1(\ell\gamma\Omega))$  (**product tree**);
- $\Phi_{1,\ell} = Y_1^{\ell^3 + \ell^2 + \ell + 1} + \sum_{i=0}^{\ell^3 + \ell^2 + \ell} c_i(\Omega) Y_1^i$  where the  $c_i(\Omega)$  are **Siegel modular functions**, so are **rational functions** in  $j_i(\Omega)$ .
- **Interpolate**  $c_i(\Omega) = Q_i(j_1(\Omega), j_2(\Omega), j_3(\Omega))$ ,  $Q_i \in \mathbb{Q}(X_1, X_2, X_3)$ ;
- Recover  $\Phi_{1,\ell}(X_1, X_2, X_3, Y_1)$ . Similarly for  $\Psi_{2,\ell}, \Psi_{3,\ell}$ .
- Needs **high precision**, so a quasi-linear method to evaluate the period matrix and Igusa invariants.
- Difficulty: **denominator simplifications** during evaluations.

- If  $f : (A, H_1) \rightarrow (B, H_2)$  is a **cyclic isogeny** between **principally polarised abelian varieties**, then  $\text{Ker } f$  is **not maximal isotropic** in  $A[\ell]$  and  $f^*H_2$  is **not of the form**  $\ell H_1$ ;

## Theorem ([Dudeanu-Jetchev-R.-Vuille])

$f : (A, H_1) \rightarrow (B, H_2)$  is a **cyclic isogeny** of degree  $\ell$  iff there exists  $\beta \in \text{End}(A)^s$  a **totally positive real** (under the Rosati involution) element of norm  $\ell$  of the endomorphism algebra of  $A$  and  $\text{Ker } f \subset A[\beta]$  is **isotropic** for the  $\beta$ -pairing  $e_\beta$ .

- Abelian surface with **maximal real multiplication** by a real quadratic field  $K_0$ :  
 $A_\tau := \mathbb{C}^2 / (O_{K_0} \oplus O_{K_0}^\vee \tau)$  where  $\tau \in \mathfrak{H}_1^2$  (and  $K_0$  is embedded into  $\mathbb{C}^2$  via  $K_0 \otimes_{\mathbb{Q}} \mathbb{R} = \mathbb{R}^2 \subset \mathbb{C}^2$ );
- Moduli space: **Hilbert surface**  $\mathfrak{H}_1^2 / \text{Sl}_2(O_{K_0} \oplus O_{K_0}^\vee)$ .
- Forgetting  $O_{K_0} \simeq \text{End}(A_\tau) \Rightarrow$  degree 2 cover of the **Humbert surface**  $H_{\Delta_{K_0}}$  of discriminant  $\Delta_{K_0}$  in  $\mathfrak{H}_2$ .

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- $\beta \in O_{K_0}^{++} \Rightarrow$   $\beta$ -modular polynomial  $\Phi_\beta$  in terms of symmetric invariants of the Hilbert space  $\mathfrak{H}_1^2 / (\mathrm{Sl}_2(O_{K_0} \oplus O_{K_0}^\vee) \oplus \mathrm{Sl}_2(O_{K_0} \oplus O_{K_0}^\vee)^\sigma)$ ;
- $N_{K_0/\mathbb{Q}}(\beta) = \ell \Rightarrow \Phi_\beta$  classify the  $\ell + 1$  cyclic  $\beta$ -isogenies.
- Evaluation-interpolation approach via the action of  $\mathrm{Sl}_2(O_{K_0} \oplus O_{K_0}^\vee) / \Gamma_0(\beta)$ ;
- Explicit back and forth between Siegel point of view and Hilbert point of view.
- Difficulty: the embedding of  $\mathrm{Sl}_2(O_{K_0} \oplus O_{K_0}^\vee)$  into  $\mathrm{Sp}_4(\mathbb{Z})$  is not surjective.
  
- If  $D = 2$  or  $D = 5$  the symmetric Hilbert moduli space is (uni-)rational and parameterized (generically) by two invariants: the Gundlach invariants;
- For general  $D$  the Hilbert space is not (uni-)rational  $\Rightarrow$  need to interpolate three invariants (the pullback of three Siegel invariants);
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## Example of cyclic modular polynomials in dimension 2 [Milio-R.]

$\ell$ ( $D = 2$ )	Size (Gundlach)	Theta	$\ell$ ( $D = 5$ )	Size (Gundlach)	Theta
2	8.5KB		5	22KB	26KB
7	172KB		11	3.5MB	308KB
17	5.8MB	221KB	19	33MB	3.6MB
23	21 MB		29	188MB	21MB
31	70 MB		31	248 MB	28MB
41	225 MB	7.2MB	41	785MB	115MB
47	400 MB		59	3.6GB	470MB
71	2.2 GB				
73		81MB			
89		188MB			
97		269MB			



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31	70 MB		31	248 MB	28MB
41	225 MB	7.2MB	41	785MB	115MB

## Examples

- For  $D=2$ ,  $\beta = 5 + 2\sqrt{2} \mid 17$ , using  $b_1, b_2, b_3$  **pullback of level 2 theta functions** on the Hilbert space, the denominator of  $\Phi_{1,\beta}$  is

$$\begin{aligned}
 & b_3^6 b_2^{18} + (6b_3^8 6b_3^4 + 1)b_2^{16} + (15b_3^{10} 24b_3^6 + 7b_3^2)b_2^{14} + (20b_3^{12} 42b_3^8 + 9b_3^4 + 2)b_2^{12} + \\
 & (15b_3^{14} 48b_3^{10} + 37b_3^6 + 4b_3^2)b_2^{10} + (6b_3^{16} 42b_3^{12} + 68b_3^8 26b_3^4 + 3)b_2^8 + \\
 & (b_3^{18} 24b_3^{14} + 37b_3^{10} + 8b_3^6 b_3^2)b_2^6 + (6b_3^{16} + 9b_3^{12} 26b_3^8 24b_3^4 + 2)b_2^4 + \\
 & (7b_3^{14} + 4b_3^{10} b_3^6)b_2^2 + (b_3^{16} + 2b_3^{12} + 3b_3^8 + 2b_3^4 + 1).
 \end{aligned}$$

- For  $\beta \mid 97$ , one coefficient of the denominator of  $\Phi_{1,\beta}$  is 508539934766246292.



# The denominators of cyclic modular polynomials

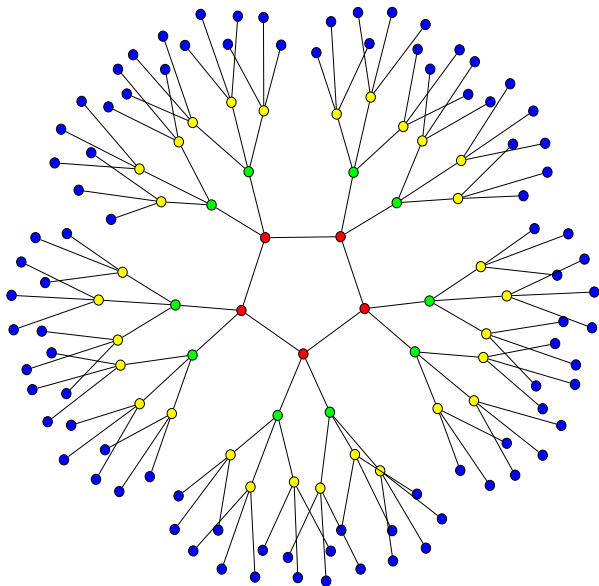
- **Denominator** of  $\Phi_\beta$  = abelian surfaces with real multiplication  $\beta$ -isogenous to a **product of elliptic curves**.
- ⇒ Abelian surface in this locus: **non commutative endomorphism ring** ⇒  $m$ -isogenous to product of elliptic curves for an infinite number of  $m \in \mathbb{Z}$  ;
- **Irreducible components** of this modular locus = **curves** which lie on an **infinite number** of Humbert surfaces of square discriminant  $m^2$ ;
- Values  $m$  = values **primitively represented** by a certain quadratic form  $q$  [Kan16], [Milio-R.].
- **Moduli**:  $H(q)$ , a generalised Humbert variety.

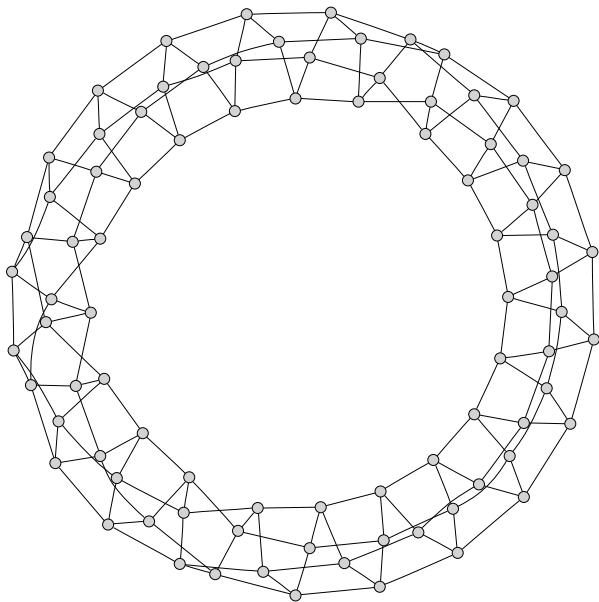
## Example

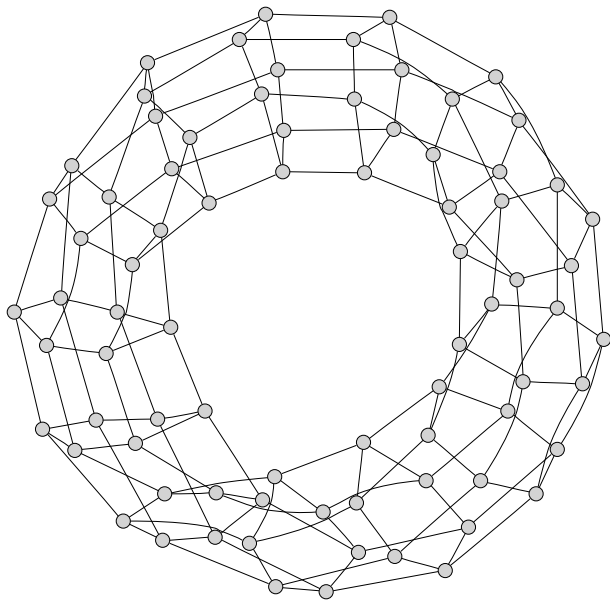
For  $D = 2$ ,  $\beta = 5 + 2\sqrt{2} \mid 17$ , the denominator of  $\Phi_{1,\beta}$  has for irreducible component  $H(8x^2 + 4xy + 9y^2) = J_1^7 J_1^6 J_2^3 6 J_1^6 J_2^2 + J_1^6 J_2 + \dots$  which lie in

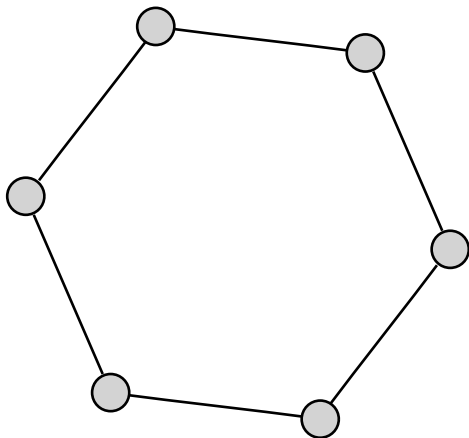
$$H_8 \cap H_{32} \cap H_{72} \cap H_{112} \cap H_{232} \cap H_{312} \dots$$

# A 3-isogeny graph in dimension 1 [Koh96; FM02]

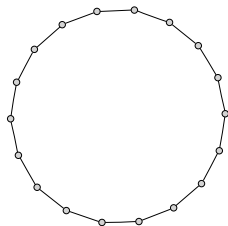




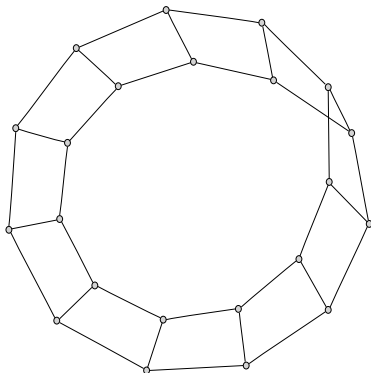




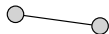
$$\ell = q_1 q_2 = Q_1 \overline{Q_1} Q_2^2$$



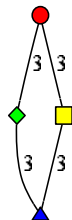
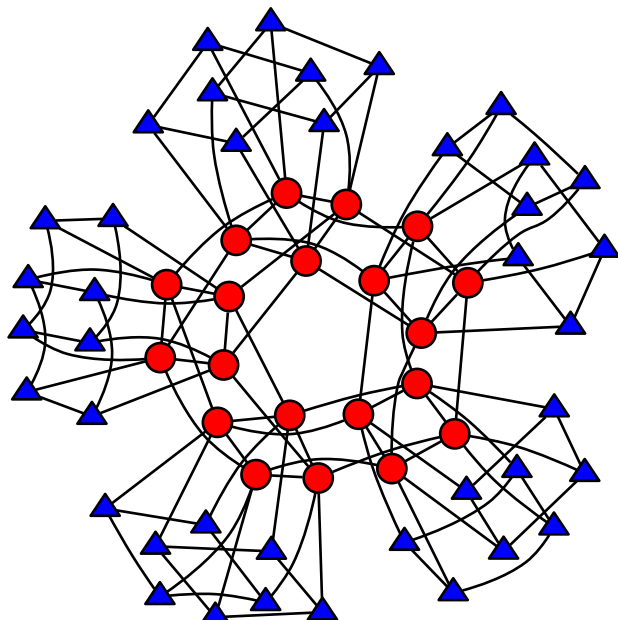
$$\ell = q^2 = Q^2 \overline{Q}^2$$



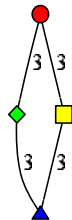
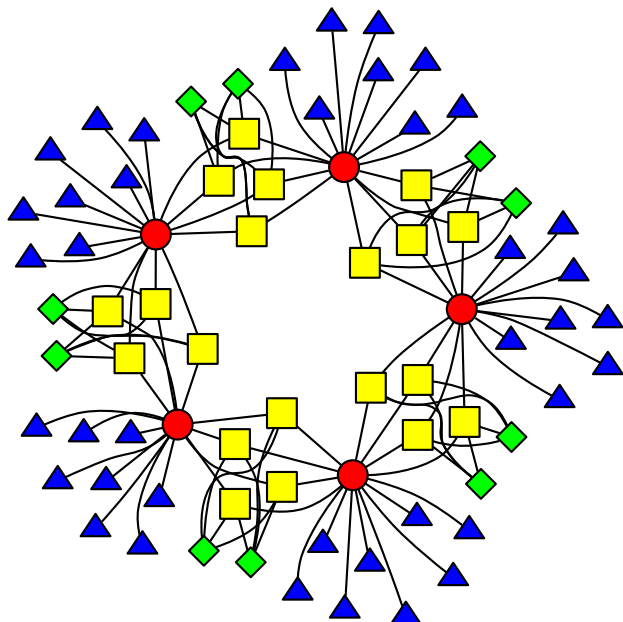
$$\ell = q^2 = Q^4$$



# Isogeny graphs in dimension 2 ( $l = q_1 q_2 = Q_1 \overline{Q_1} Q_2 \overline{Q_2}$ )

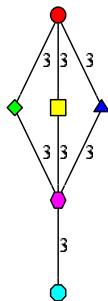
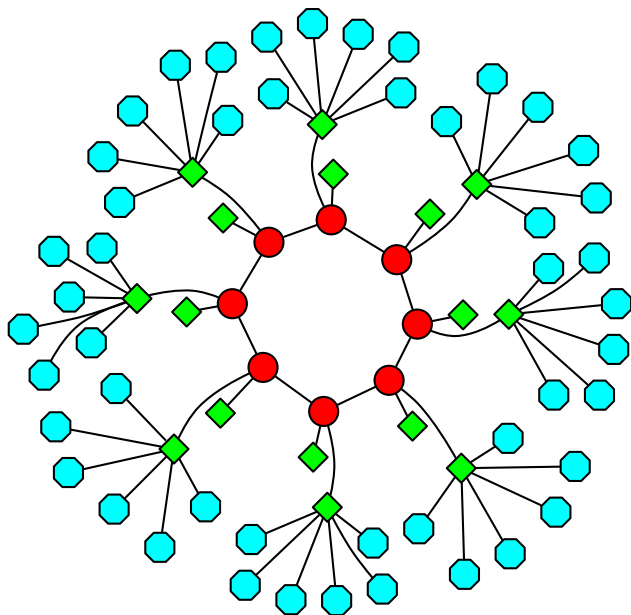


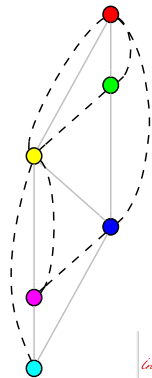
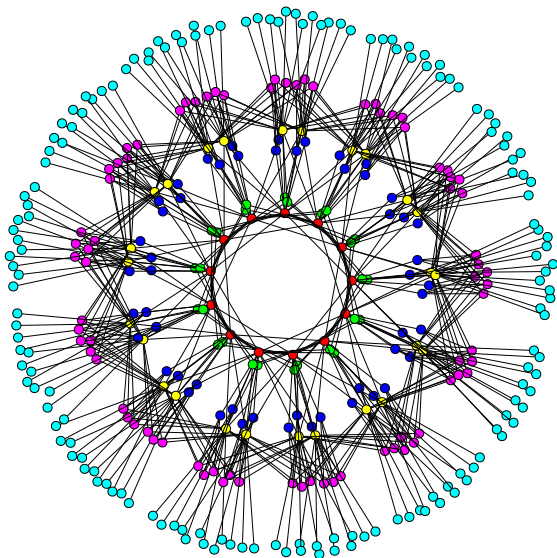
# Isogeny graphs in dimension 2 ( $l = q = Q\bar{Q}$ )



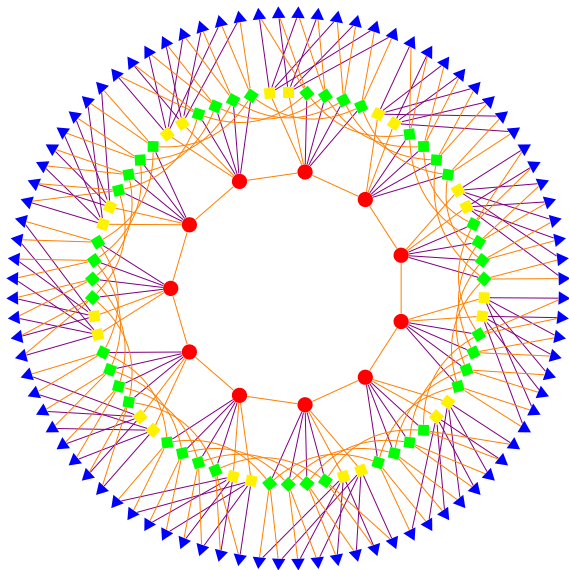


# Isogeny graphs in dimension 2 ( $l = q = Q\overline{Q}$ )

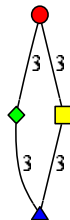




# Cyclic isogeny graph in dimension 2 [IT14]



$\beta_1$  is inert and  $\beta_2$  is split in  $K$ .



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