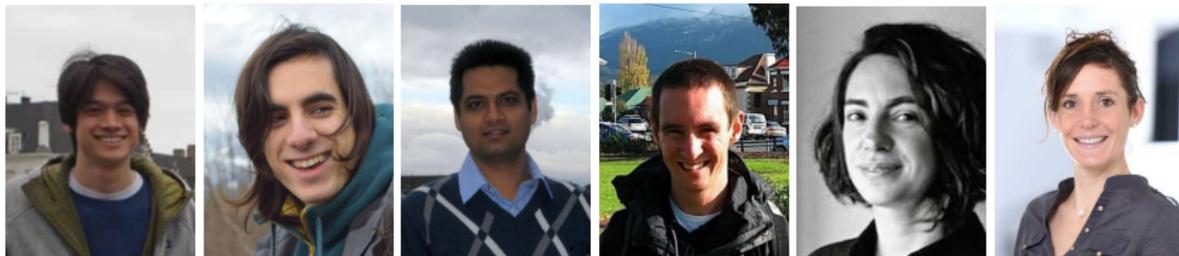


# Inferring the ancestral population size under a birth-death process, from a reconstructed phylogenetic tree and a record of occurrences

Groupe de travail Math-Bio et Santé – LJLL – Paris

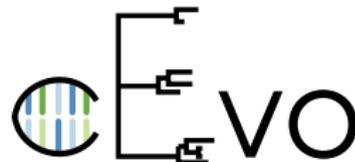
Marc Manceau, Antoine Zwaans, Jérémy Andréoletti, Ankit Gupta, Tim Vaughan, Rachel Warnock,  
Tanja Stadler

June 29, 2020



**ETH** zürich

DBSSE



# Sketch of the presentation

## Basics of phylogenetics

- The raw data
- The questions
- The Bayesian framework

## Incorporating occurrences

- Motivation
- Model
- A bit of context

## The ancestral population size

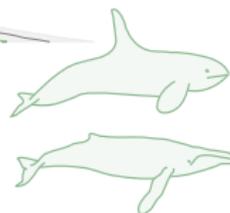
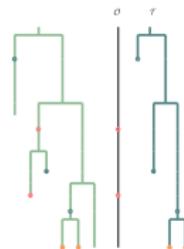
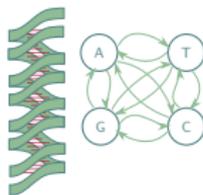
- Sketch of the overall strategy
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## Empirical case studies

- Overview of the project
- Implementation
- Cetacean diversity
- Covid-19 prevalence on the Diamond princess

## Conclusion

- Perspectives
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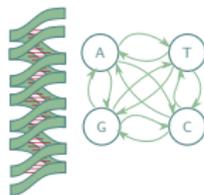
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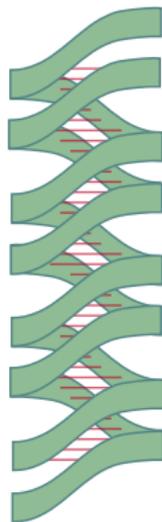
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- ▶ Molecular sequences of extant species
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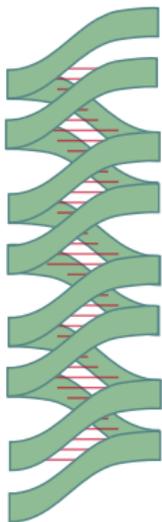
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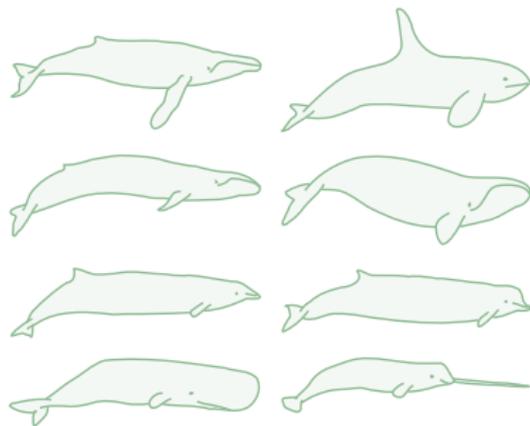
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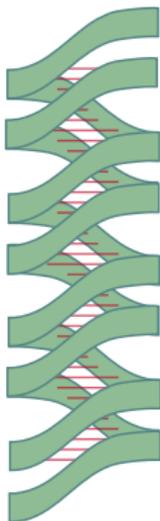


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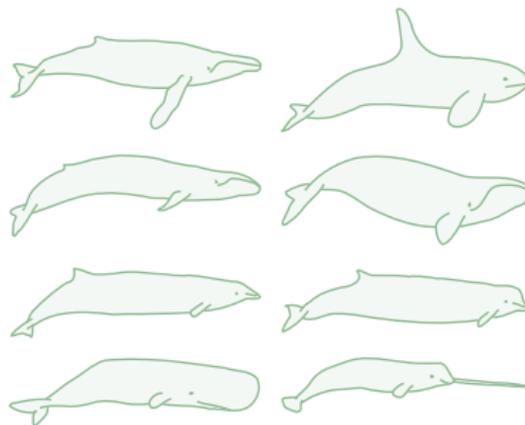
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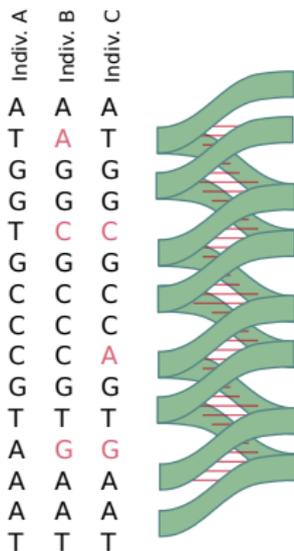
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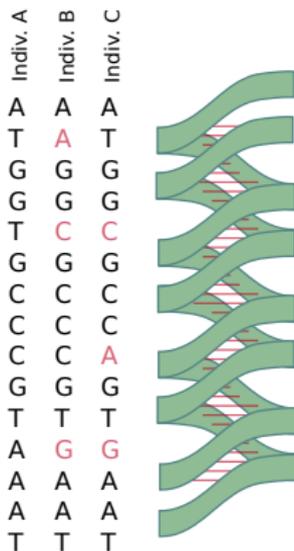
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1. What is the tempo of diversification ?
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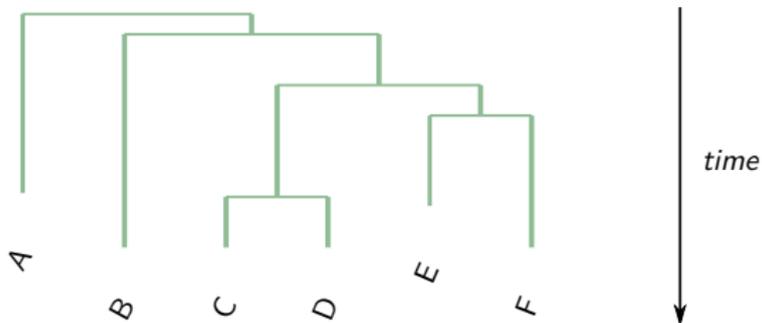
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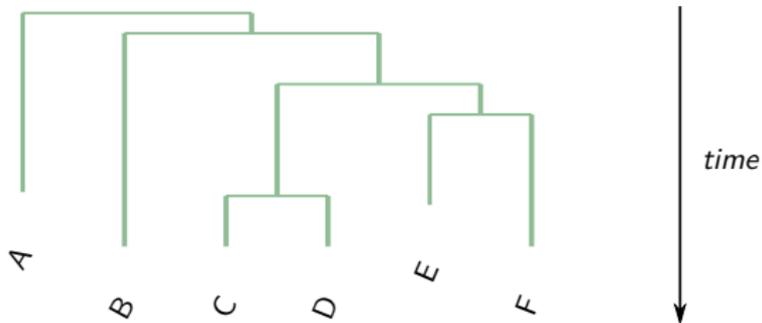
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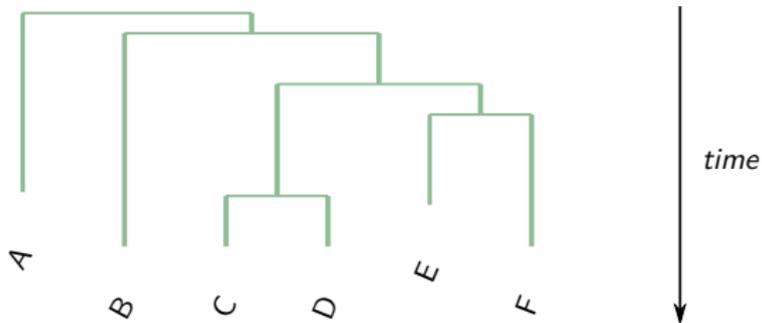
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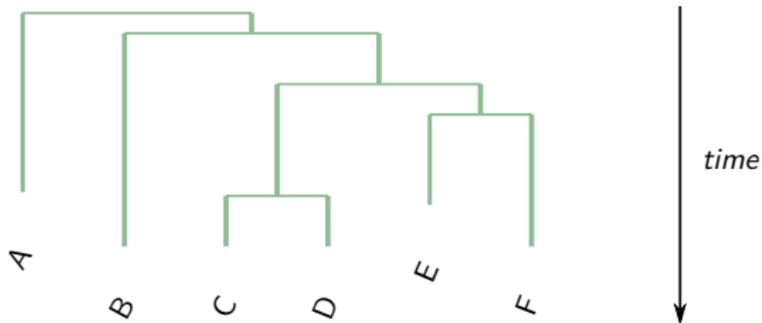
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indiv. E	-	-	G	-	-	-	A	-	...
indiv. F	-	-	G	-	-	-	A	-	...

MODEL



$\mathcal{A}$  = Alignment  
 $\mathbb{P}(\mathcal{A} | \mathcal{T}, \mathcal{R})$



$\mathcal{R}$  = Substitution rate  
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$\mathcal{T}$  = Tree  
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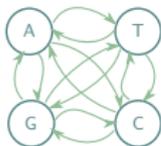


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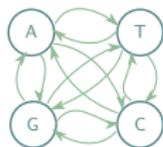


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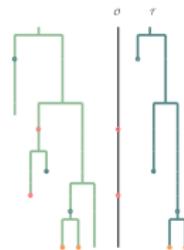
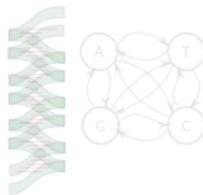
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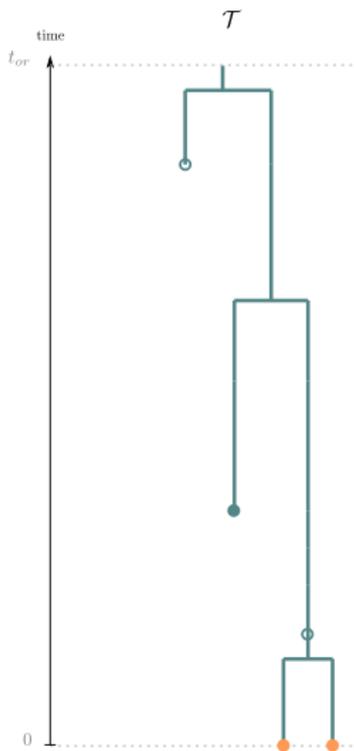
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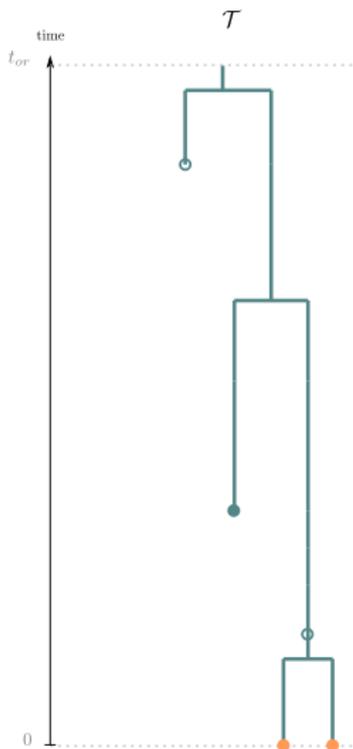
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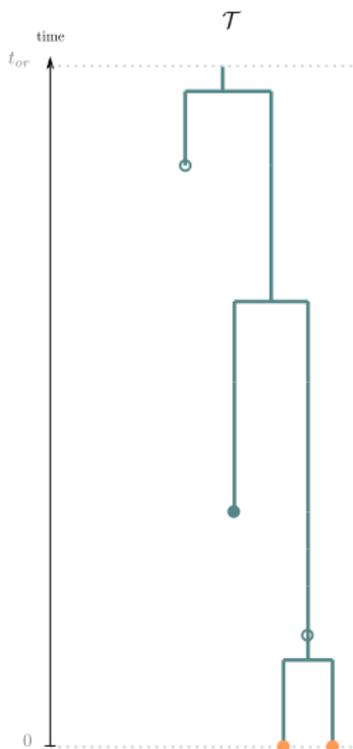
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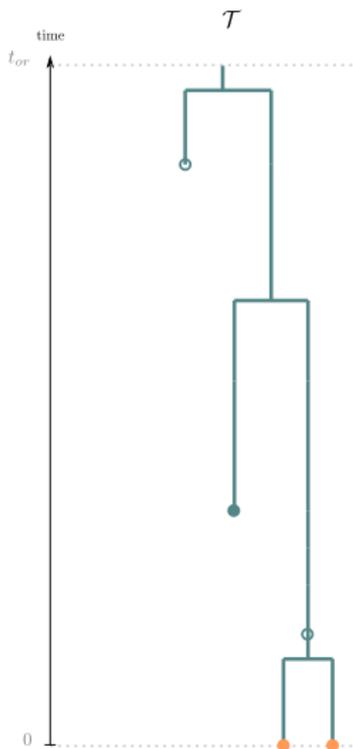
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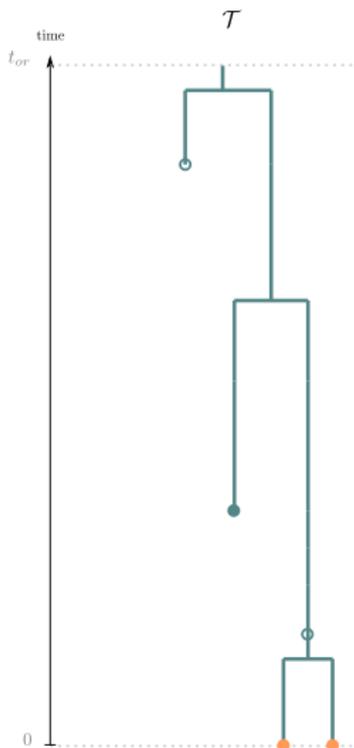
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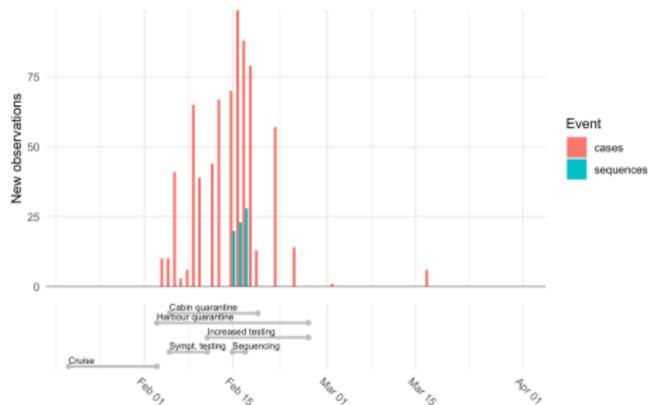


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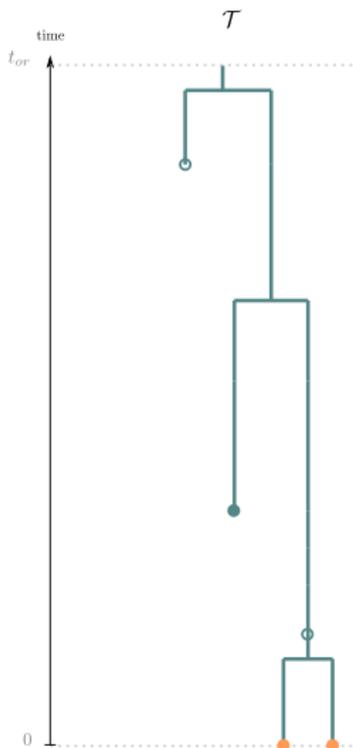
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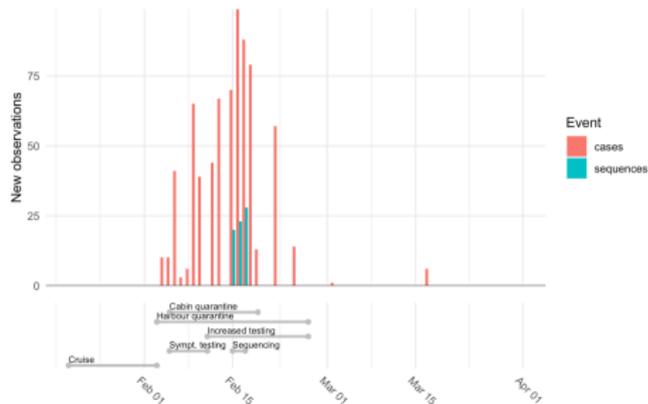


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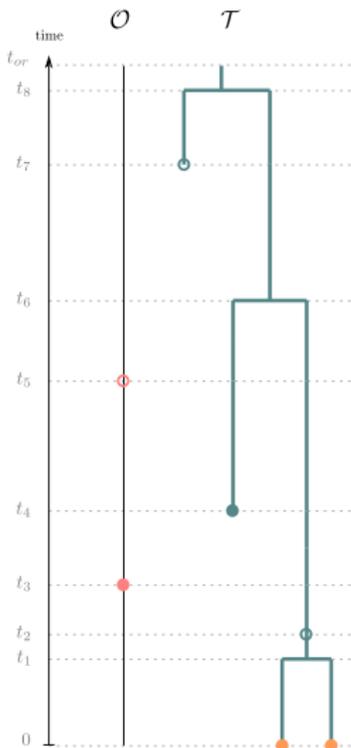
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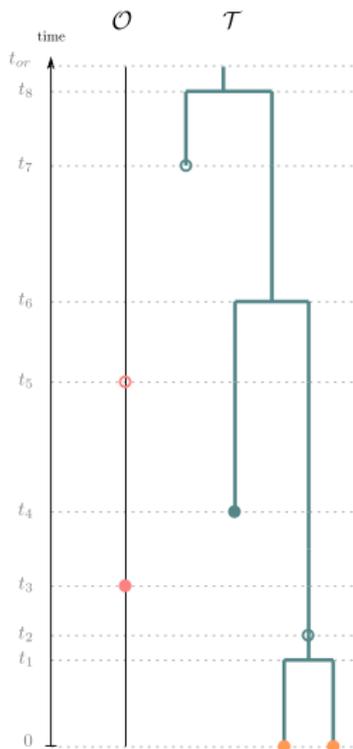
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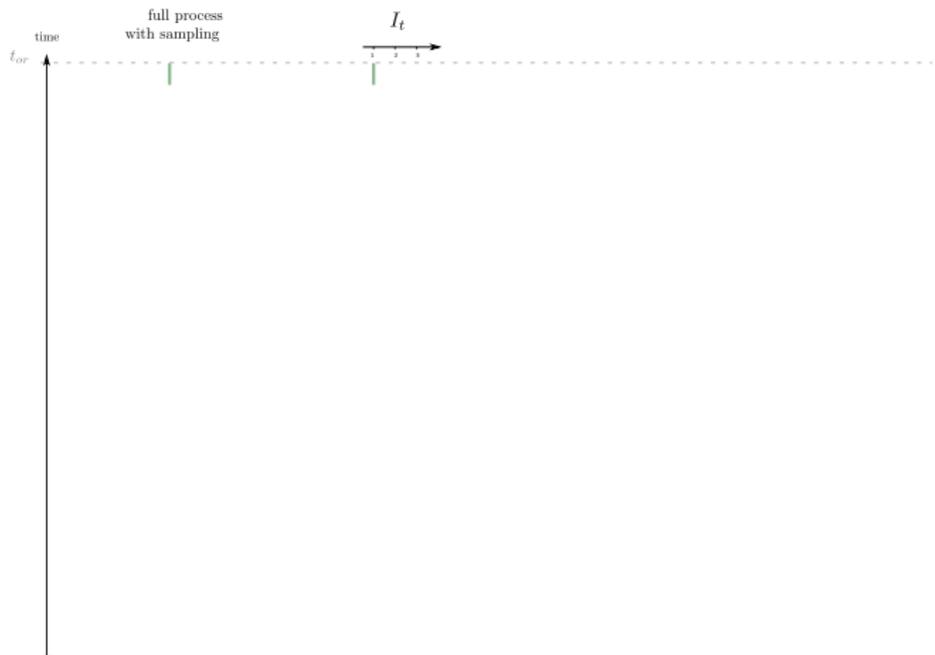
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What is the total number of individuals in the past ?

# Model

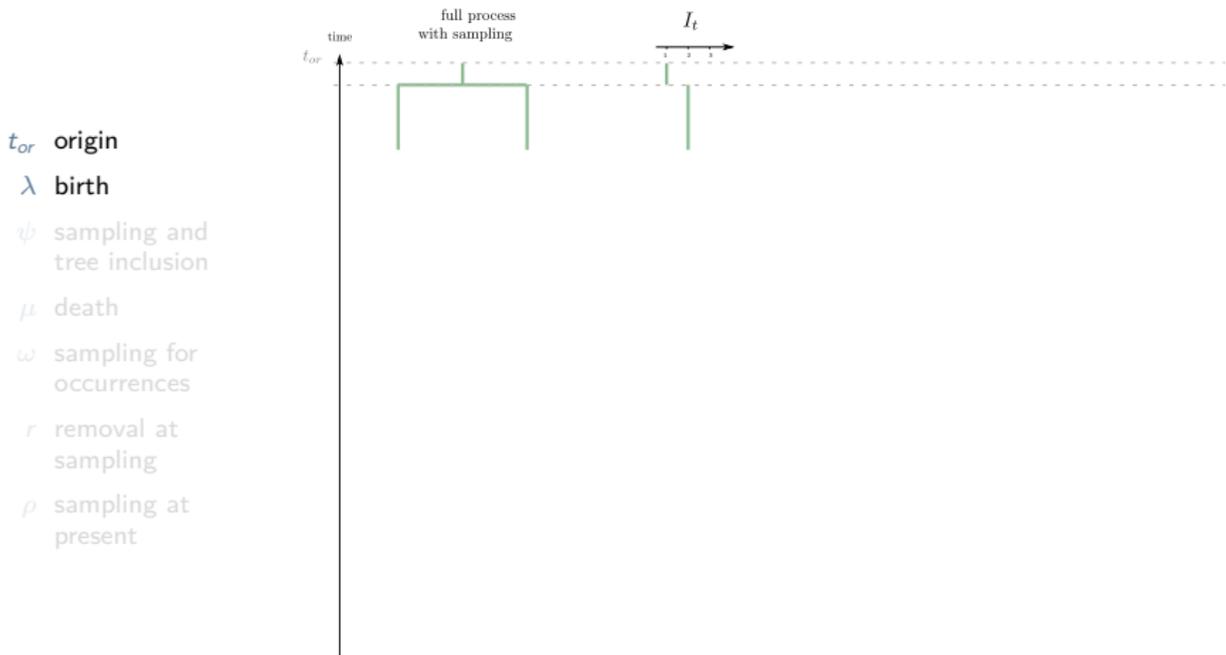
following Vaughan et al, *MBE*, 2019

- $t_{or}$  origin
- $\lambda$  birth
- $\psi$  sampling and tree inclusion
- $\mu$  death
- $\omega$  sampling for occurrences
- $r$  removal at sampling
- $\rho$  sampling at present



# Model

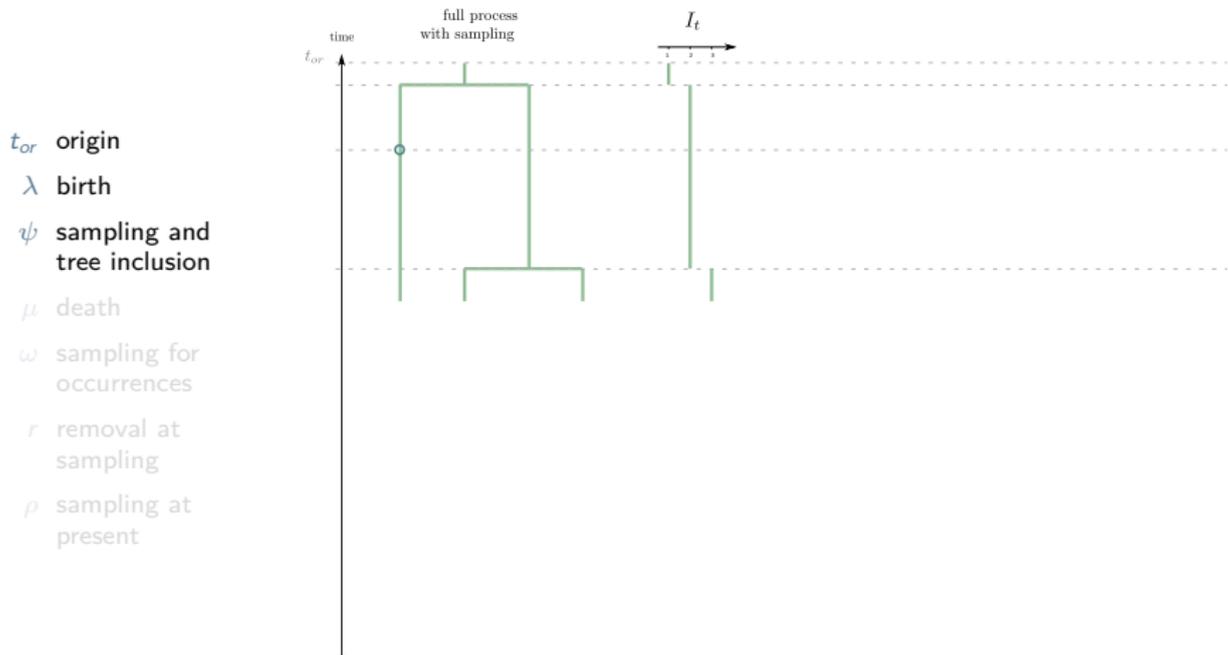
following Vaughan et al, *MBE*, 2019





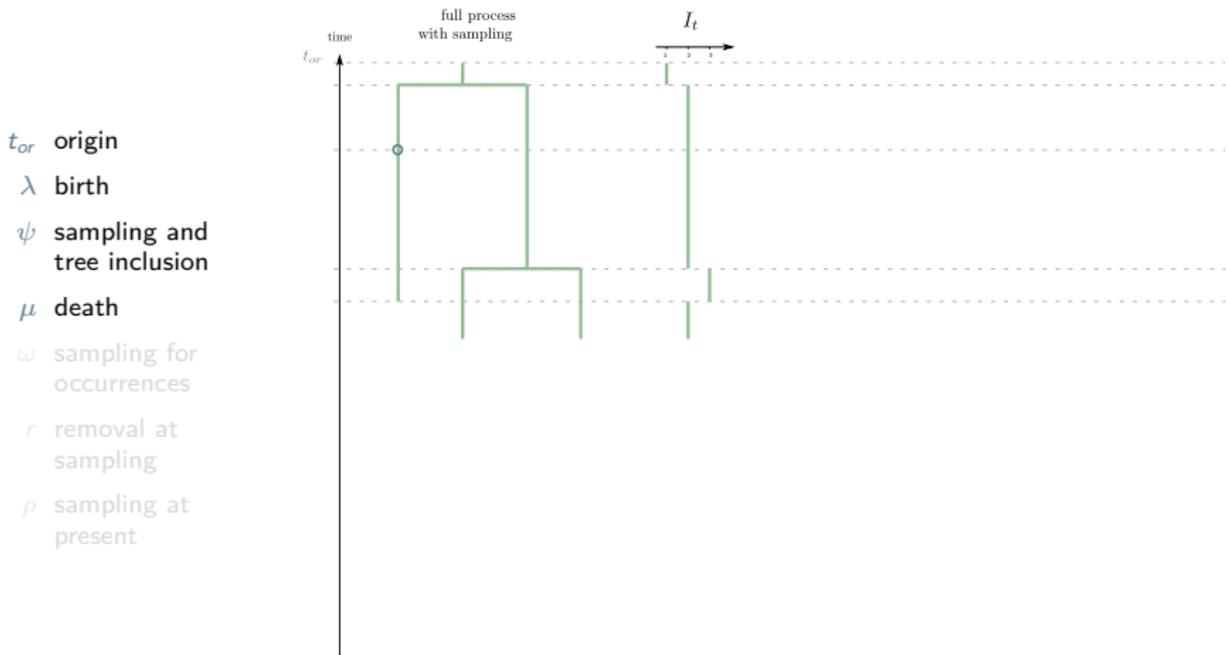
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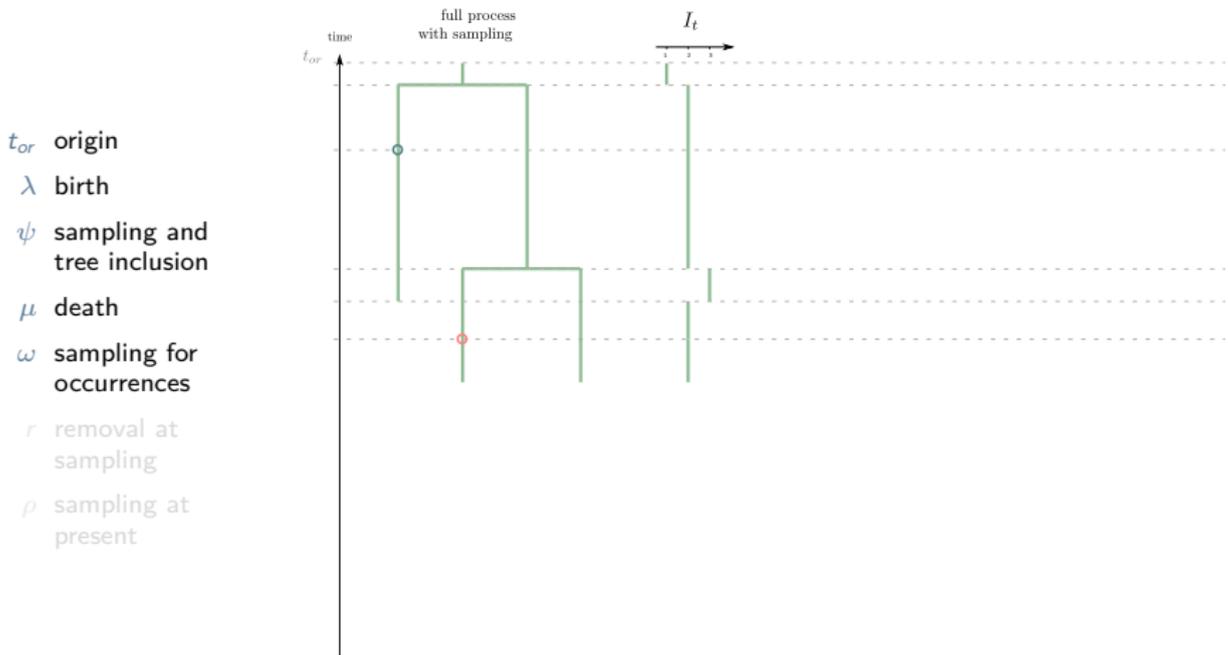
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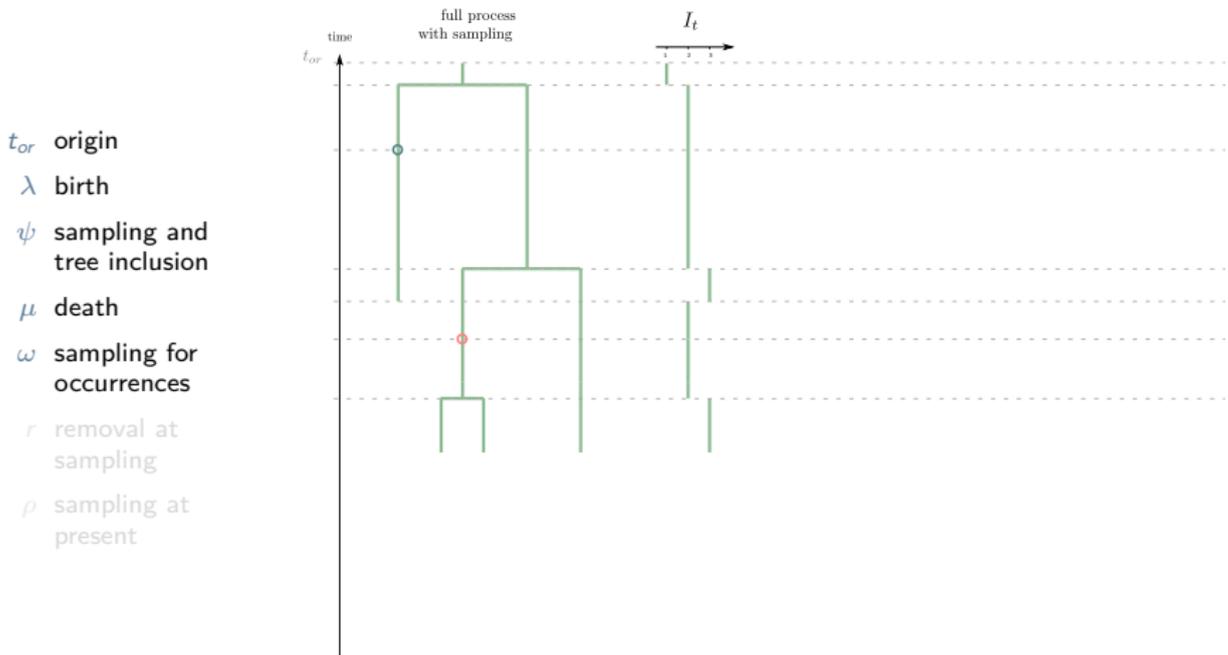
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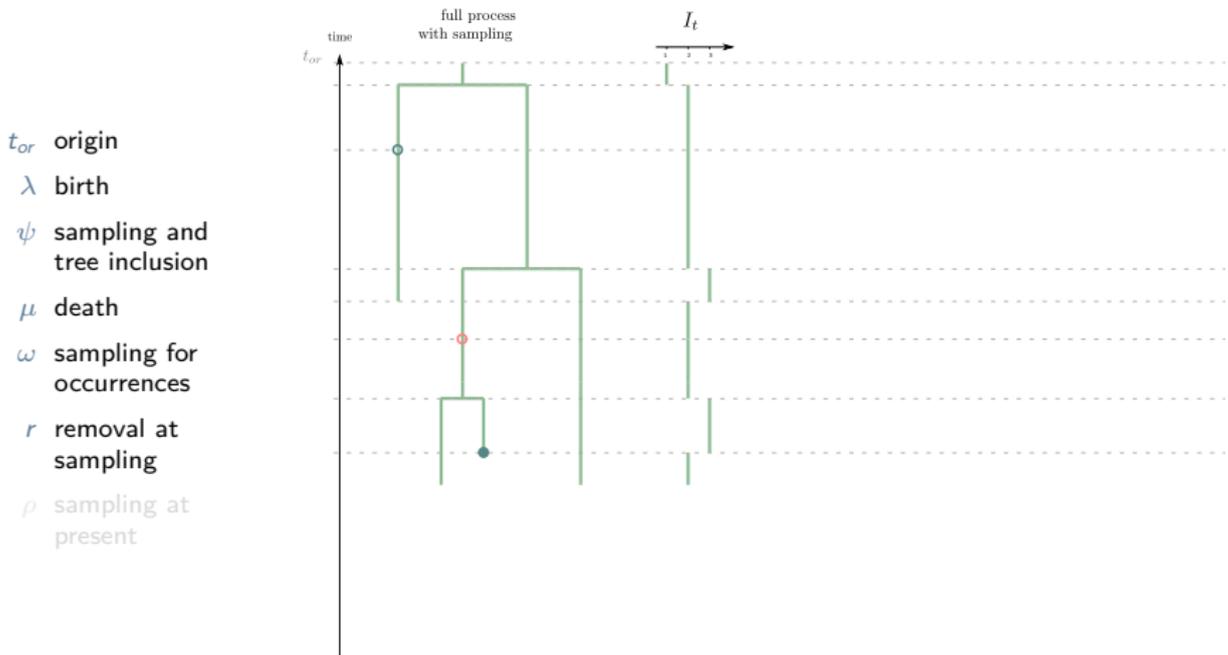
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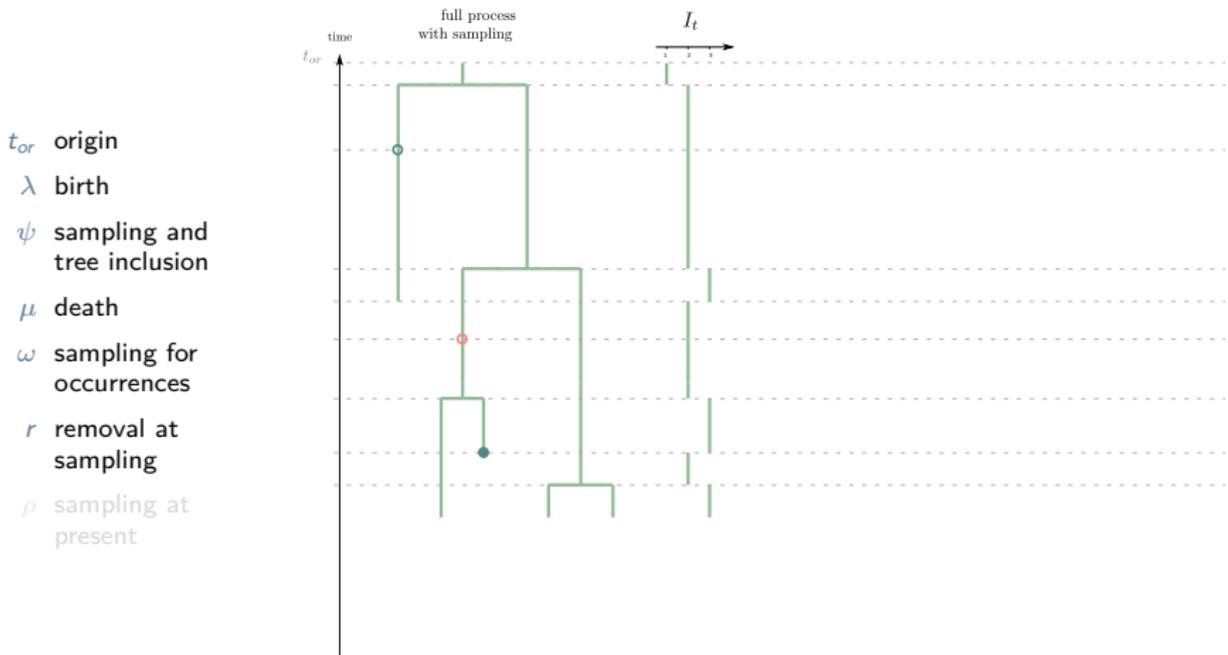
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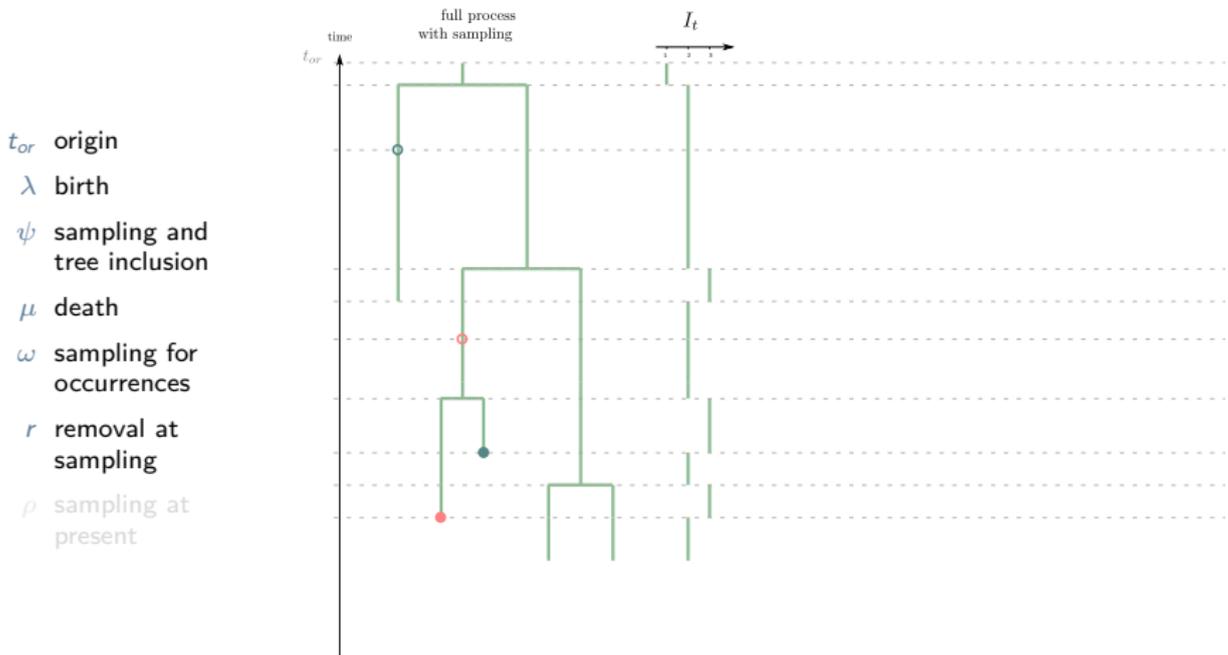
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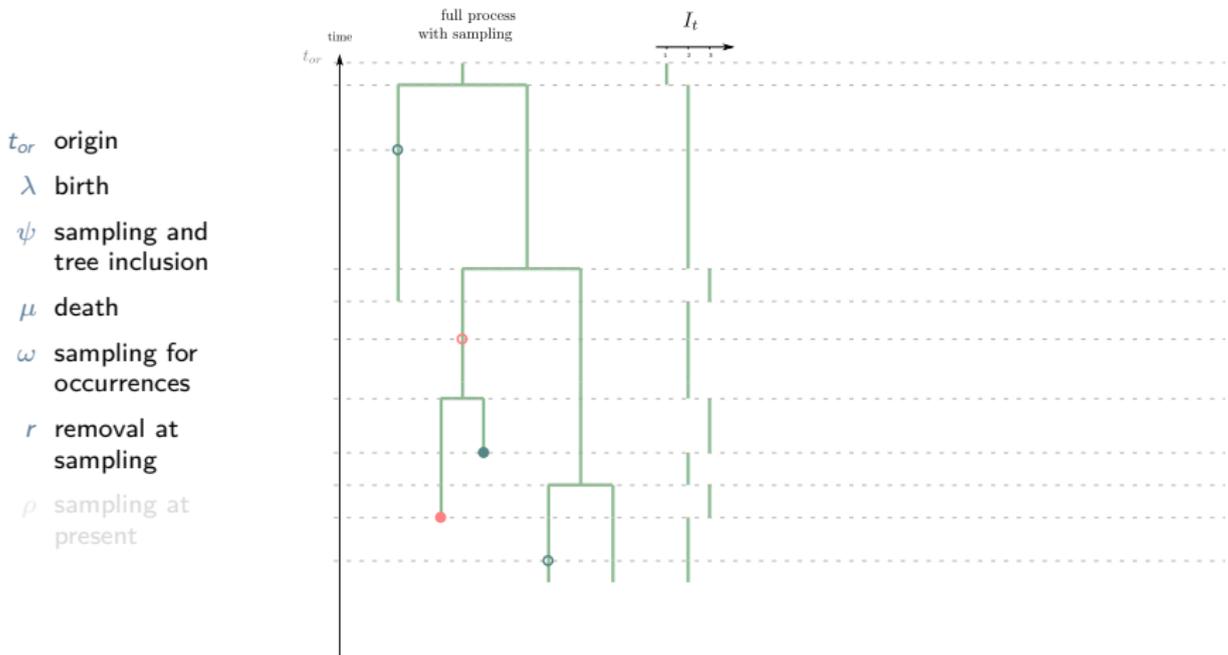
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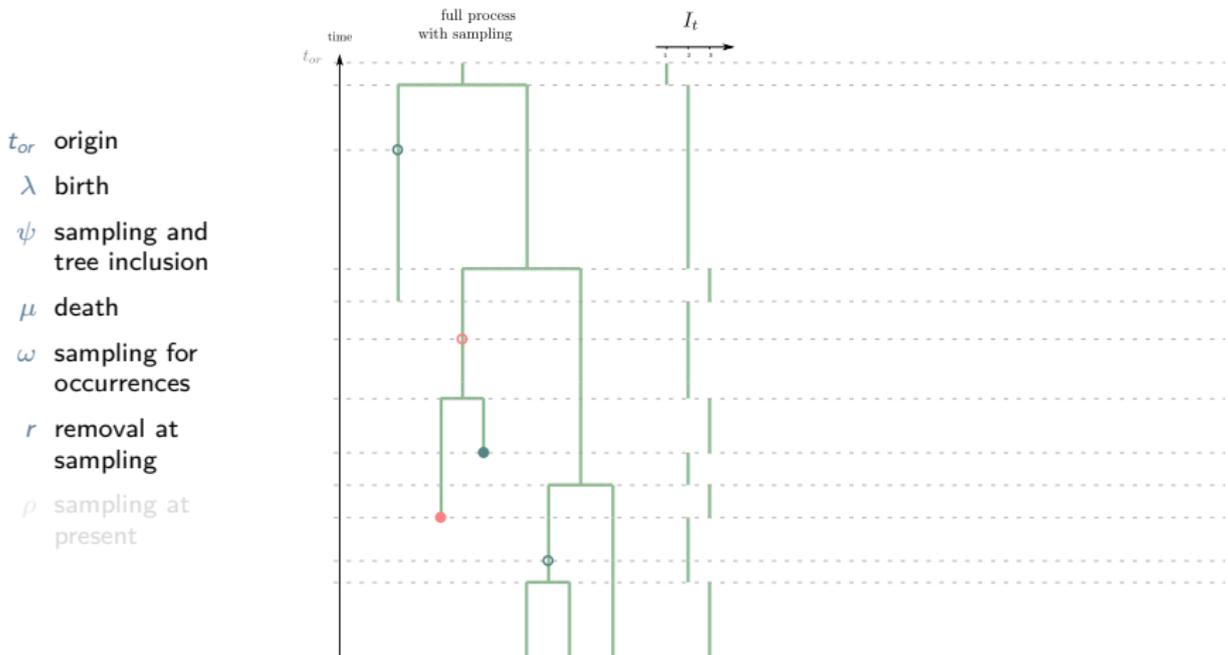
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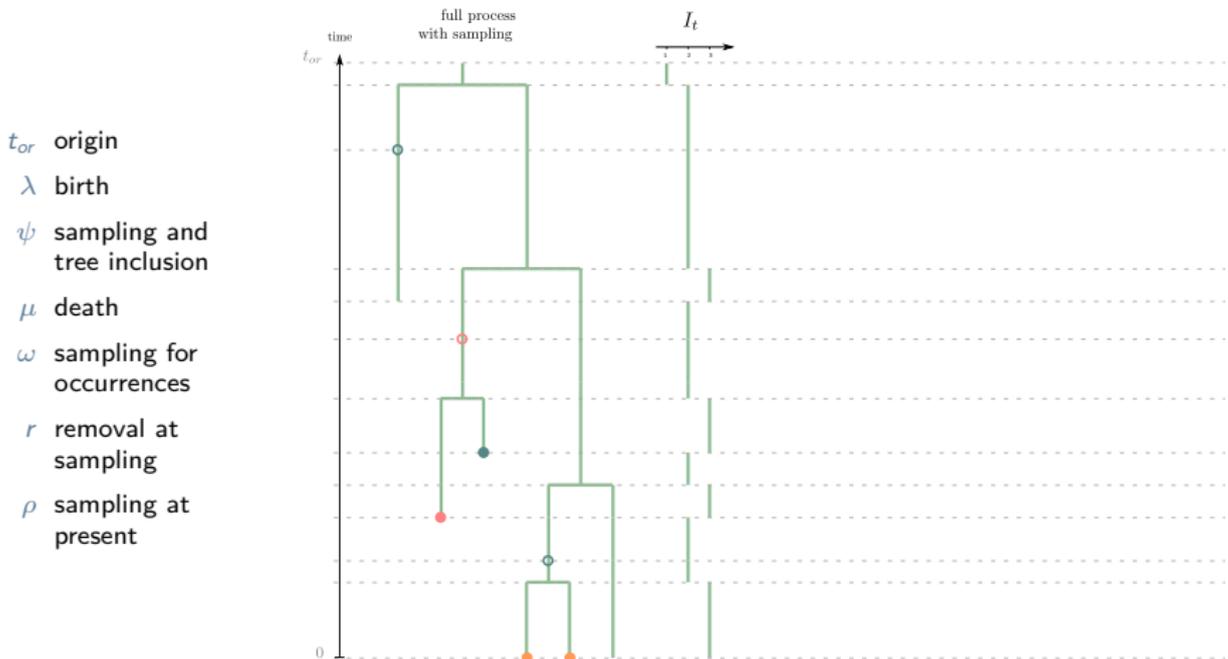
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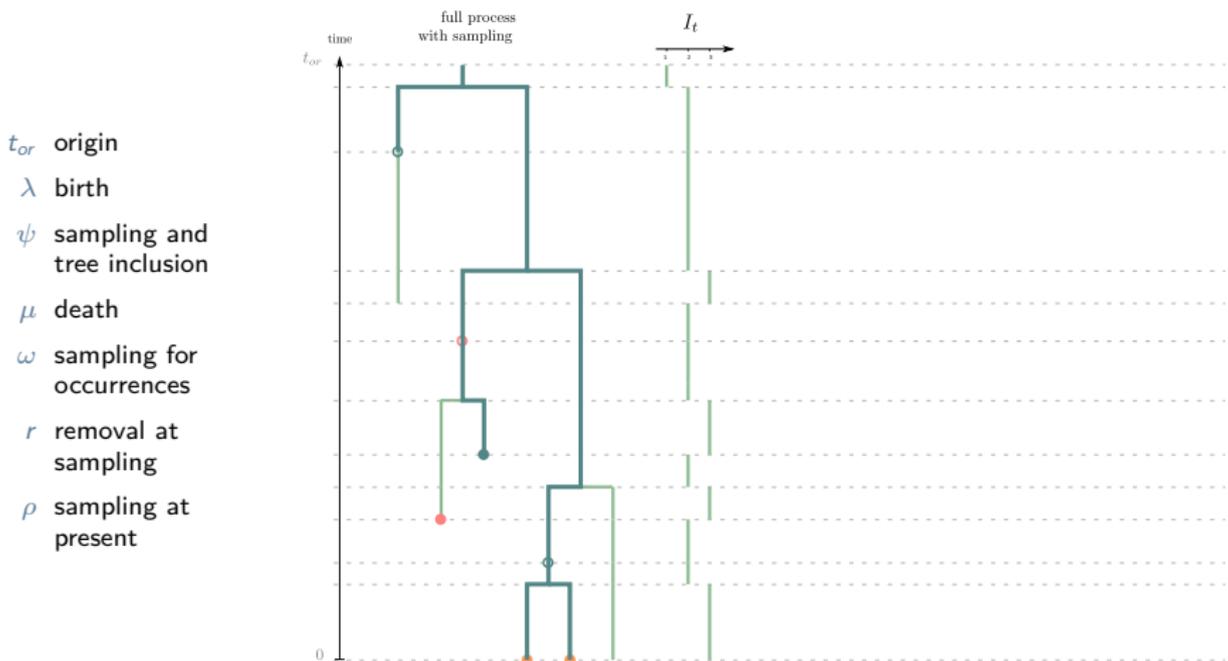
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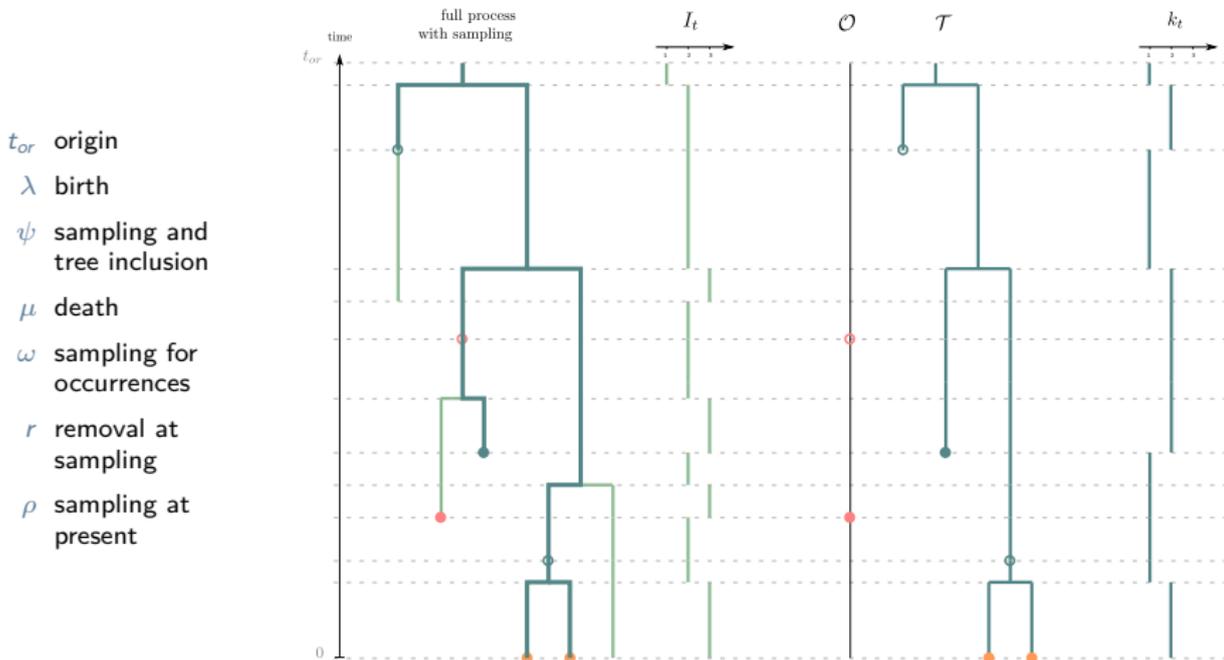
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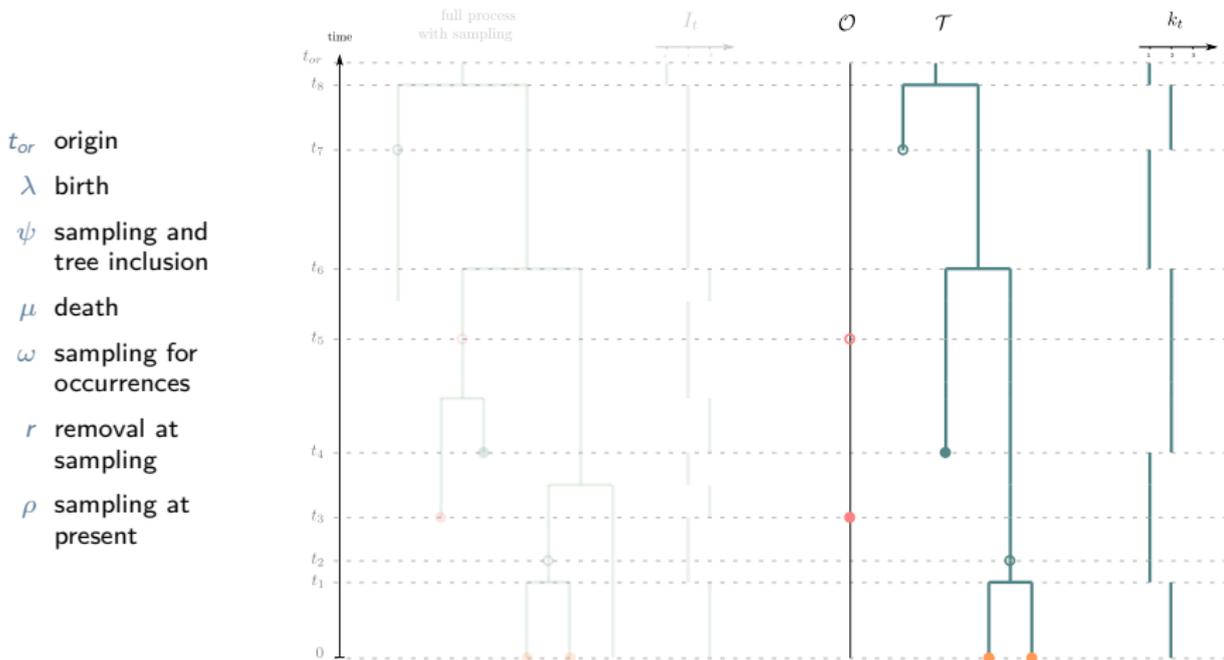
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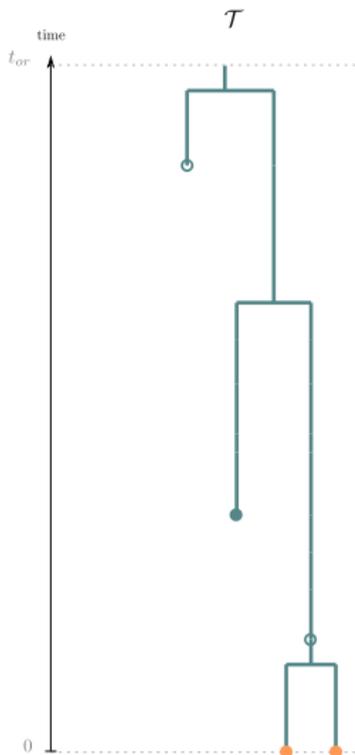
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# Incorporating occurrences

## A bit of context



What is done, without occurrences,

- ▶ estimate  $\hat{\lambda}, \hat{\mu}$  using the full tree
- ▶ compute  $\mathbb{E}_{\hat{\lambda}, \hat{\mu}} (I_t \mid I_{t_{or}} = 1)$ .

Fast, but not accurate

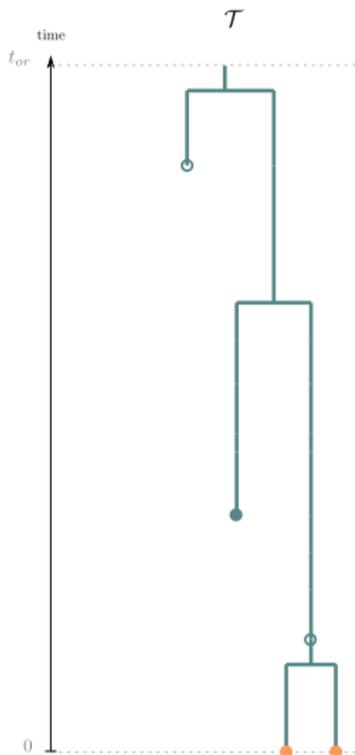
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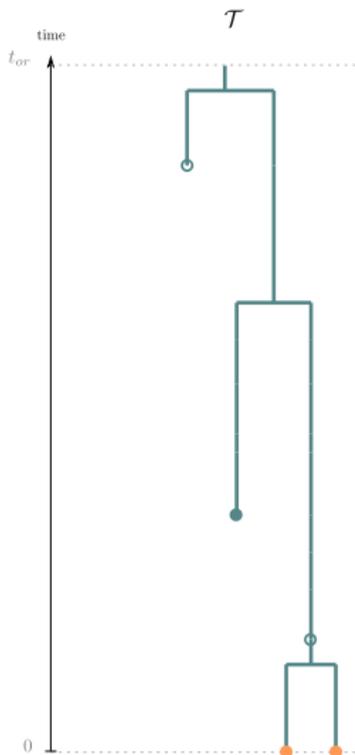
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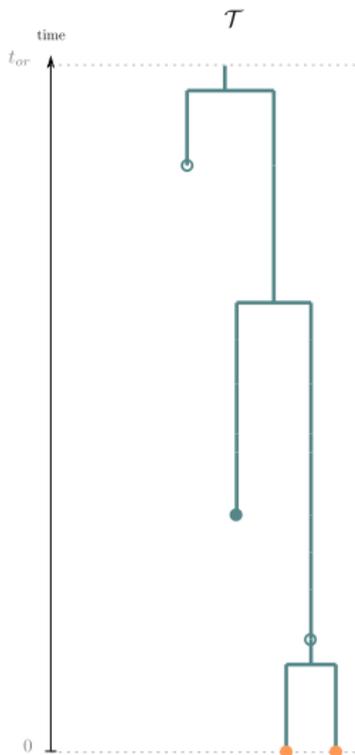
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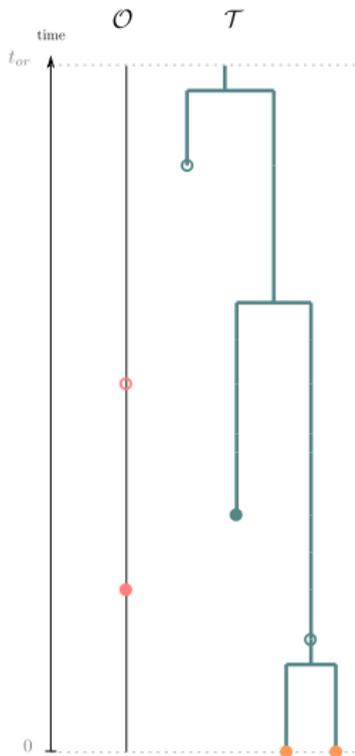
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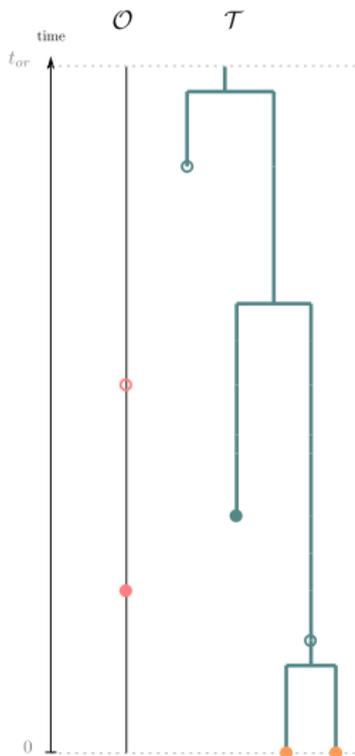
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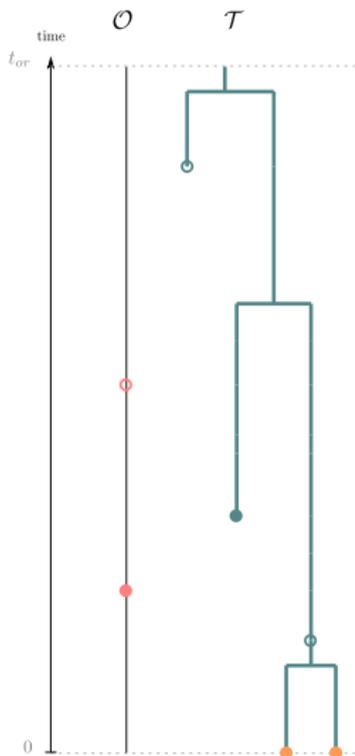
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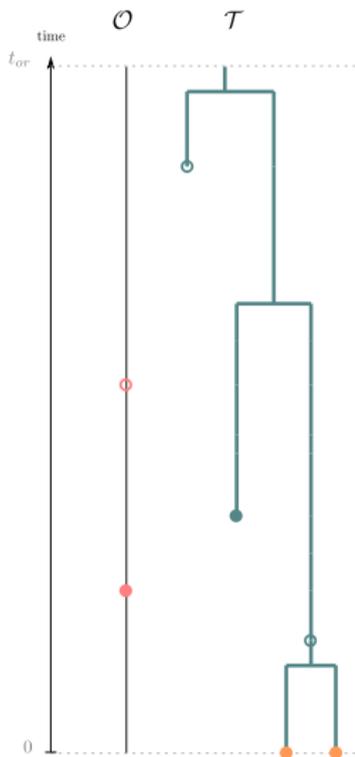
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# The ancestral population size

## Basics of phylogenetics

- The raw data
- The questions
- The Bayesian framework

## Incorporating occurrences

- Motivation
- Model
- A bit of context

## The ancestral population size

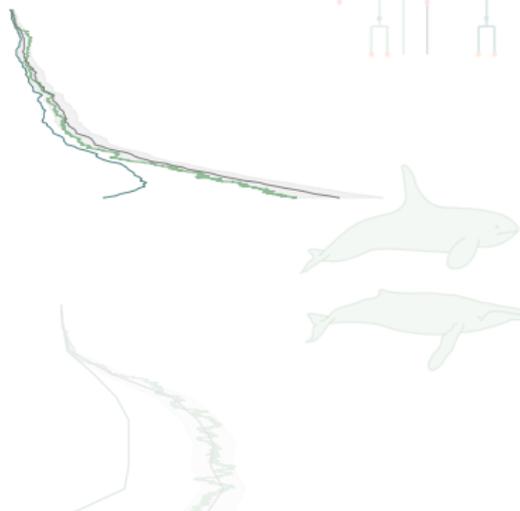
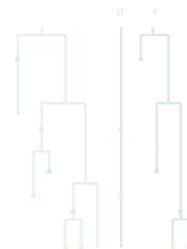
- Sketch of the overall strategy
- Forward-backward traversal of the tree
- Known corollaries
- Reconstructing past population size

## Empirical case studies

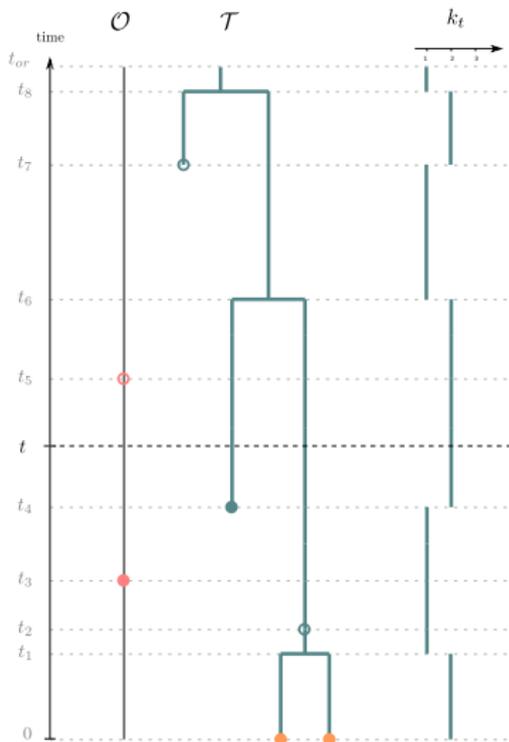
- Overview of the project
- Implementation
- Cetacean diversity
- Covid-19 prevalence on the Diamond princess

## Conclusion

- Perspectives
- Take-home messages



# Sketch of the overall strategy



For any time  $t$ , we are interested in

$$K_t^{(i)} := \mathbb{P}(I_t = k_t + i \mid \mathcal{T}, \mathcal{O})$$

We define

$$M_t^{(i)} := \mathbb{P}(T_t^\uparrow, \mathcal{O}_t^\uparrow \mid I_t = k_t + i)$$

$$L_t^{(i)} := \mathbb{P}(T_t^\downarrow, \mathcal{O}_t^\downarrow \mid I_t = k_t + i)$$

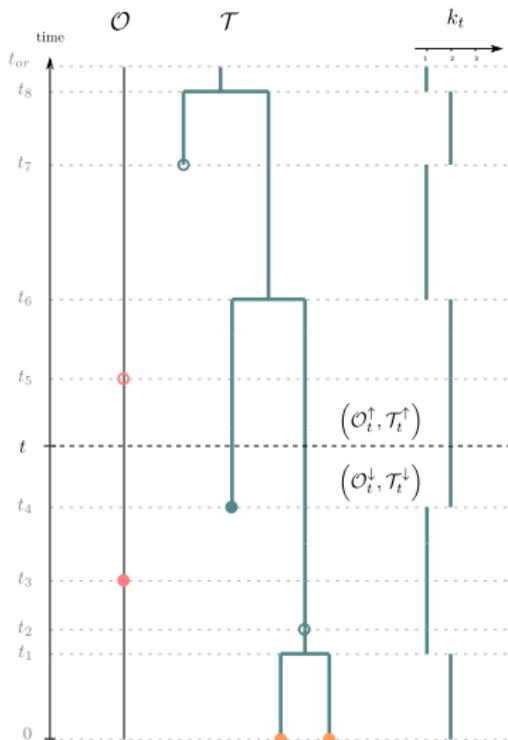
Then we get

$$\begin{aligned} K_t^{(i)} &\propto \mathbb{P}(I_t = k_t + i, T_t^\uparrow, \mathcal{O}_t^\uparrow, T_t^\downarrow, \mathcal{O}_t^\downarrow) \\ &\propto \mathbb{P}(T_t^\downarrow, \mathcal{O}_t^\downarrow \mid I_t = k_t + i, T_t^\uparrow, \mathcal{O}_t^\uparrow) \\ &\quad \mathbb{P}(I_t = k_t + i, T_t^\uparrow, \mathcal{O}_t^\uparrow) \\ &\propto L_t^{(i)} M_t^{(i)} \end{aligned}$$





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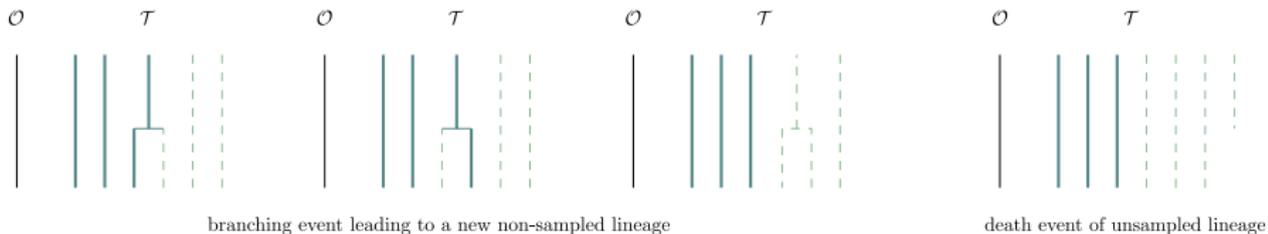
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## The ancestral population size

Forward-backward traversal of the tree to compute  $M_t = \left( \mathbb{P}(T_t^\uparrow, \mathcal{O}_t^\uparrow, I_t = k_t + i) \right)_{i \geq 0}$



We can write the Master equation,  $\forall i \in \mathbb{N}$ ,

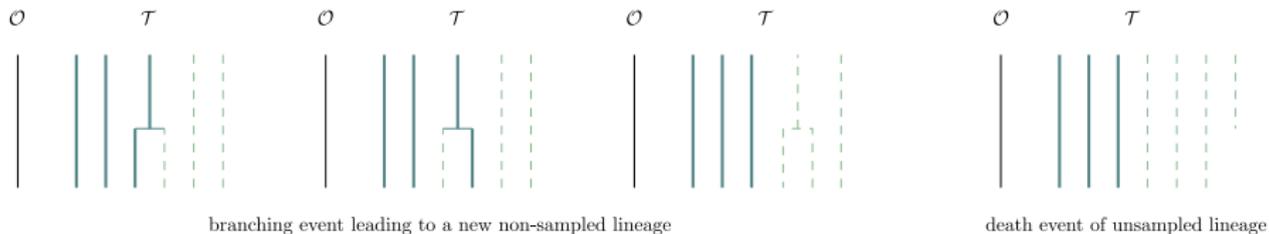
$$M_{t-\delta t}^{(i)} = (1 - (\lambda + \mu + \psi + \omega)(i + k_t)\delta t) M_t^{(i)} + \lambda(2k_t + i - 1)\delta t \mathbb{1}_{i>0} M_t^{(i-1)} + \mu(i + 1)\delta t M_t^{(i+1)}$$

Leading to a system of ODEs,

$$\frac{dM_t^{(i)}}{dt} = (\lambda + \mu + \psi + \omega)(i + k_t)M_t^{(i)} - \lambda(2k_t + i - 1)\mathbb{1}_{i>0}M_t^{(i-1)} - \mu(i + 1)M_t^{(i+1)}$$

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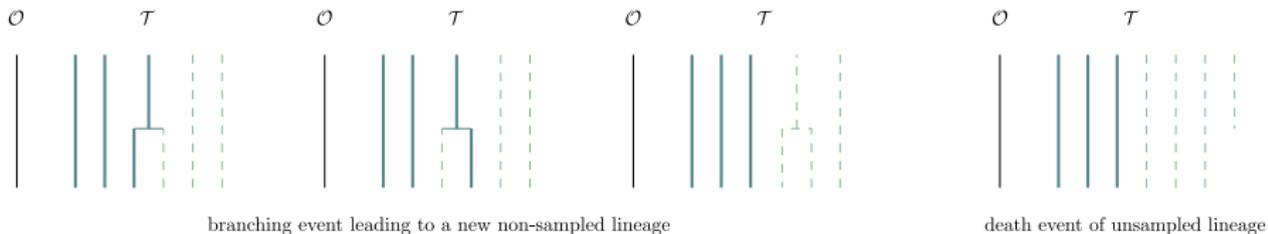
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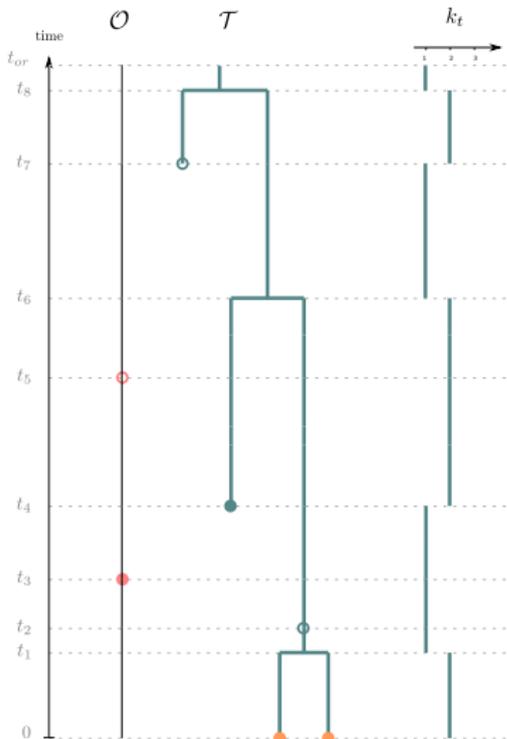
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# Forward-backward traversal of the tree

A forward breadth-first traversal to compute  $M_t = \left( \mathbb{P}(\mathcal{T}_t^\uparrow, \mathcal{O}_t^\uparrow, I_t = k_t + i) \right)_{i \geq 0}$

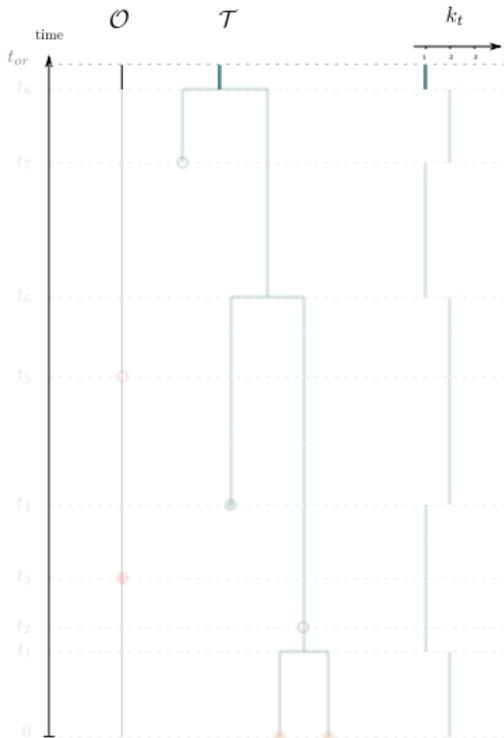


We know how to initialize  $M_t$  at the time of origin

$$M_{t_{or}}^{(i)} = \mathbb{P}(I_{t_{or}} = 1 + i) = \mathbb{1}_{i=0}$$

# Forward-backward traversal of the tree

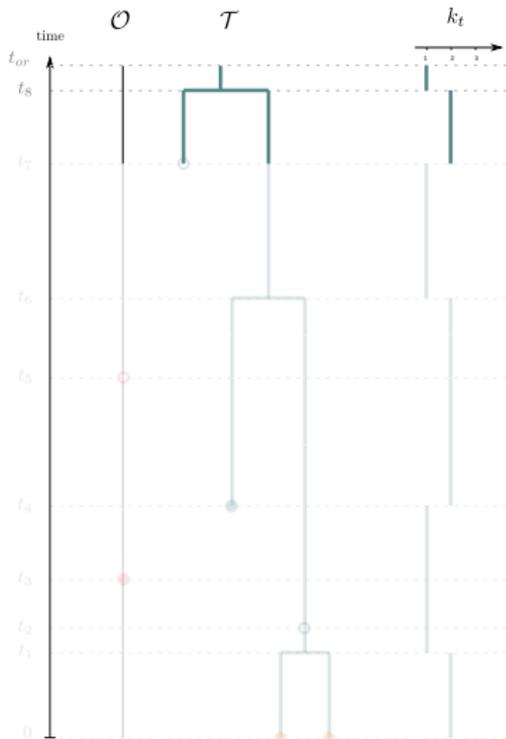
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Between two events,  $M_t$  evolves following an ODE

# Forward-backward traversal of the tree

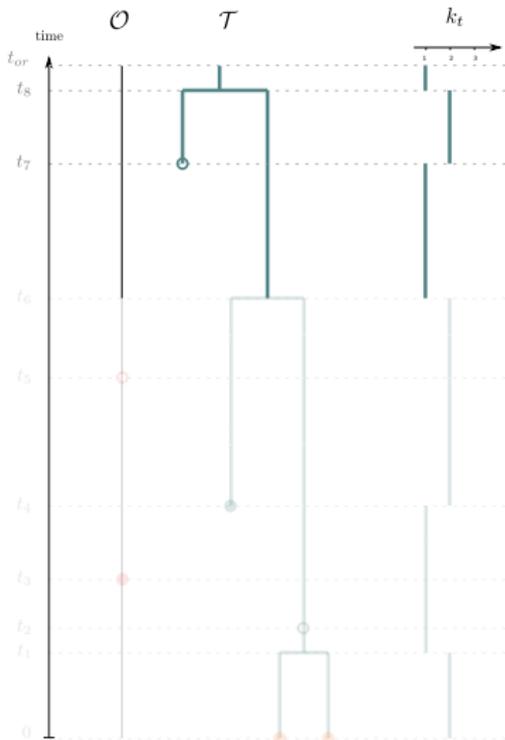
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$$M_{t-} = \lambda M_{t+}$$

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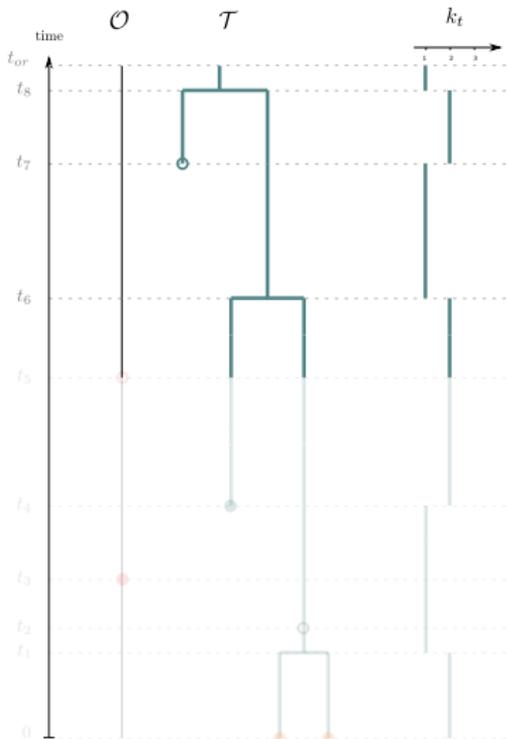
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$$M_{t-}^{(i)} = \psi(1 - r) \mathbb{1}_{i > 0} M_{t+}^{(i-1)}$$

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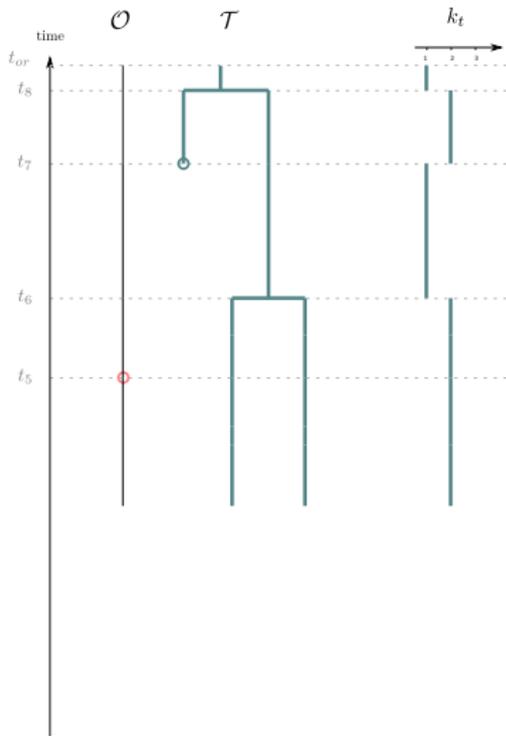
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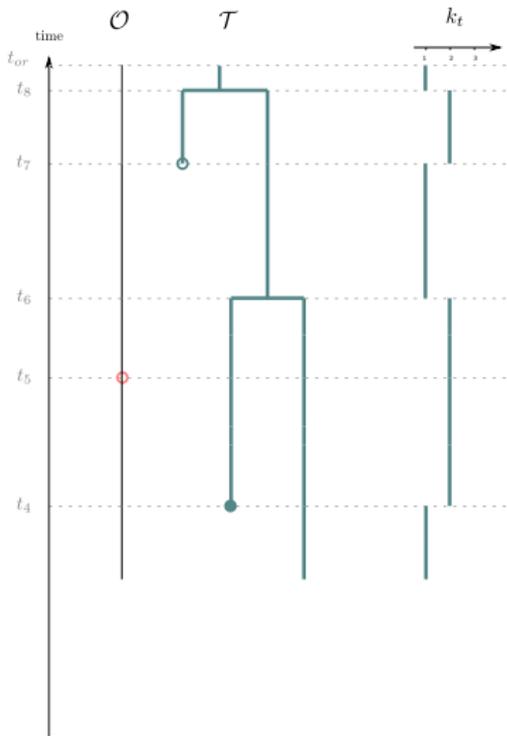
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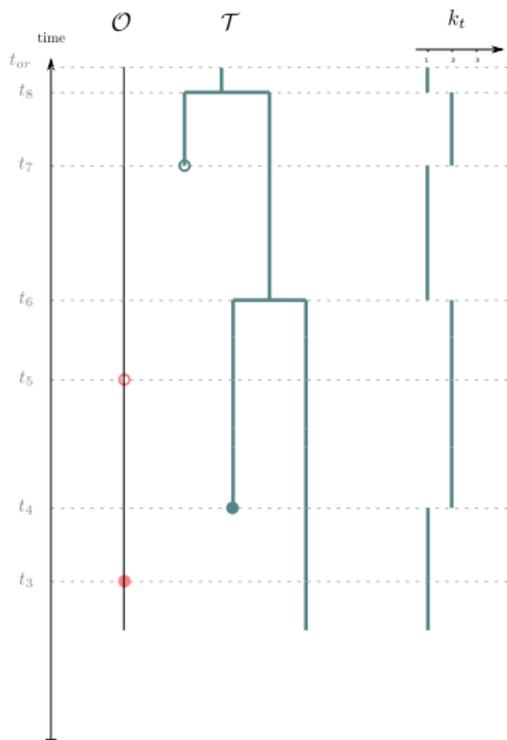
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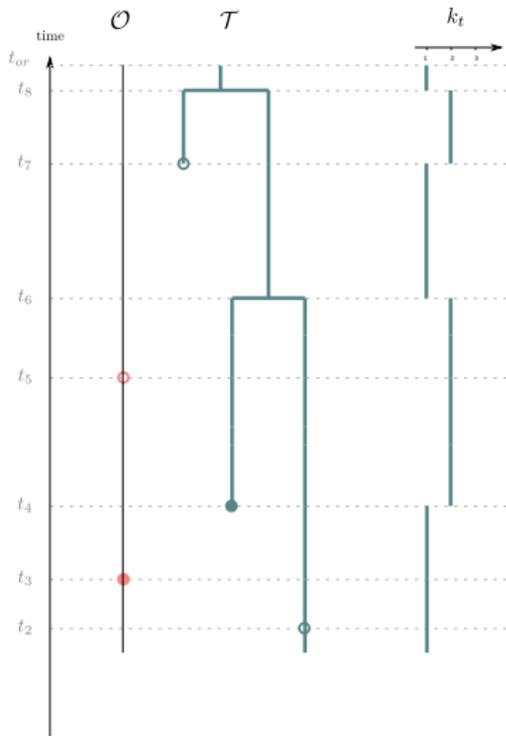
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$$M_{t-}^{(i)} = \omega r(i+1)M_{t+}^{(i+1)}$$

## Forward-backward traversal of the tree

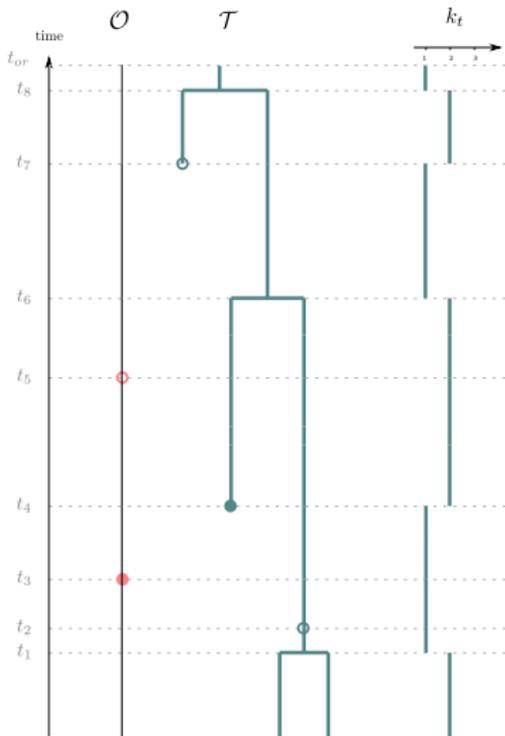
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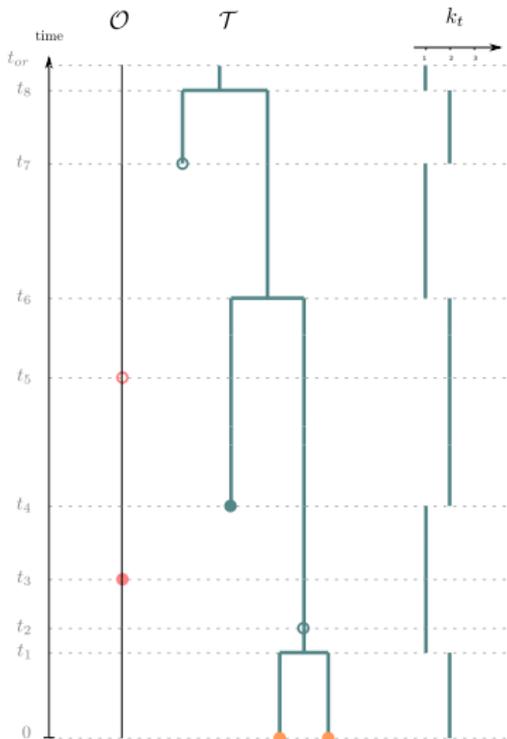
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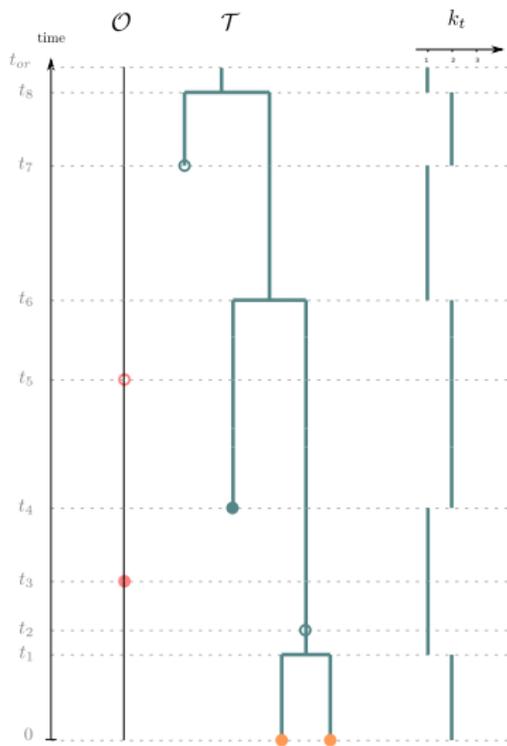
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$$M_0^{(i)} = \rho^{k_0} (1 - \rho)^i M_{0+}^{(i)}$$

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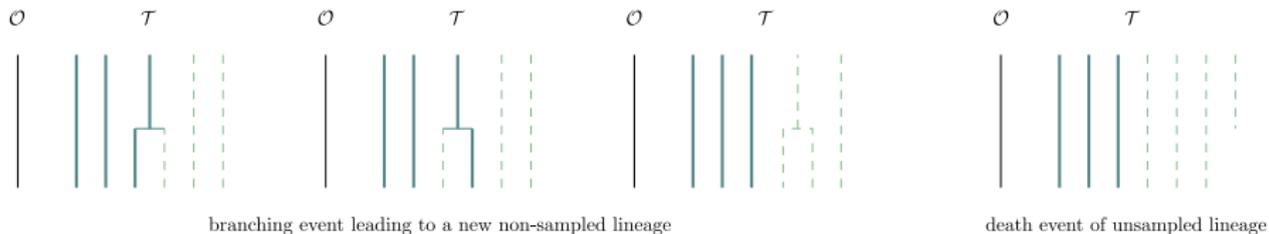


As a by-product, we get the likelihood in the end,

$$\begin{aligned} \mathcal{L} &= \sum_{i=0}^{\infty} \mathbb{P} \left( \mathcal{T}, \mathcal{O}, I_{t_0} = k_0 + i \right) \\ &= \sum_{i=0}^{\infty} M_0^{(i)} \end{aligned}$$

## The ancestral population size

Forward-backward traversal of the tree to compute  $L_t = \left( \mathbb{P}(T_t^\downarrow, \mathcal{O}_t^\downarrow \mid I_t = k_t + i) \right)_{i \geq 0}$



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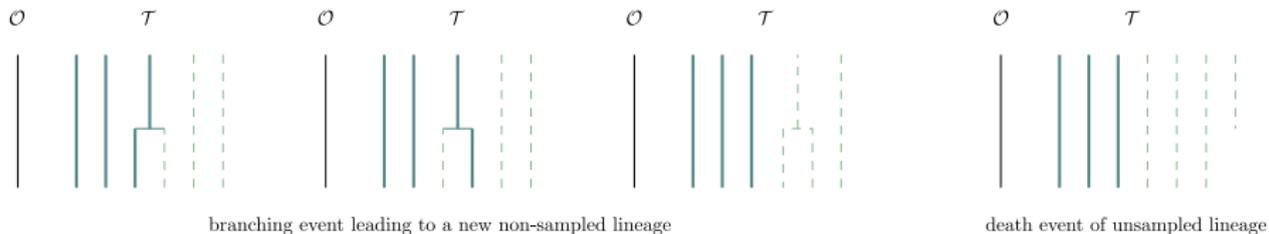
$$L_{t+\delta t}^{(i)} = (1 - (\lambda + \mu + \psi + \omega)(k_t + i)\delta t) L_t^{(i)} + \lambda(2k_t + i)\delta t L_t^{(i+1)} + \mu i \delta t L_t^{(i-1)}$$

Leading to a system of ODEs,

$$\frac{dL_t^{(i)}}{dt} = -(\lambda + \mu + \psi + \omega)(k_t + i)L_t^{(i)} + \lambda(2k_t + i)L_t^{(i+1)} + \mu i L_t^{(i-1)}$$

## The ancestral population size

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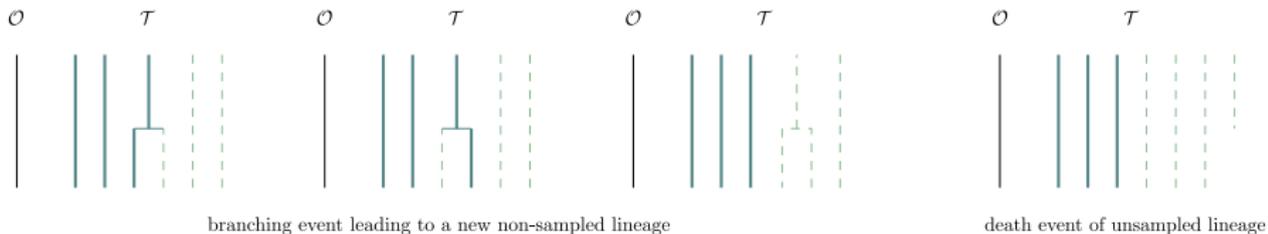
$$L_{t+\delta t}^{(i)} = (1 - (\lambda + \mu + \psi + \omega)(k_t + i)\delta t) L_t^{(i)} + \lambda(2k_t + i)\delta t L_t^{(i+1)} + \mu i \delta t L_t^{(i-1)}$$

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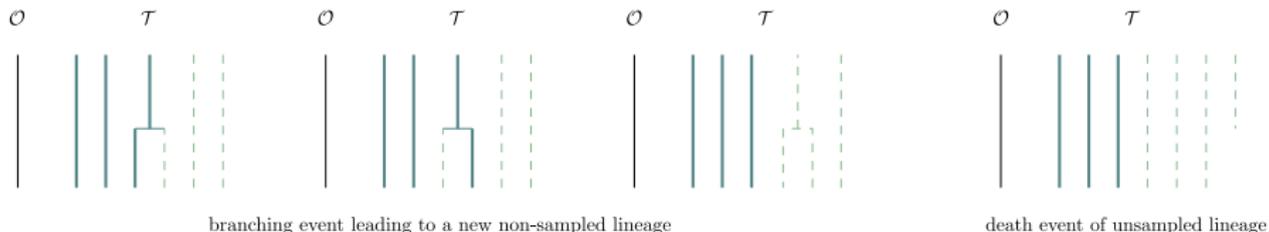
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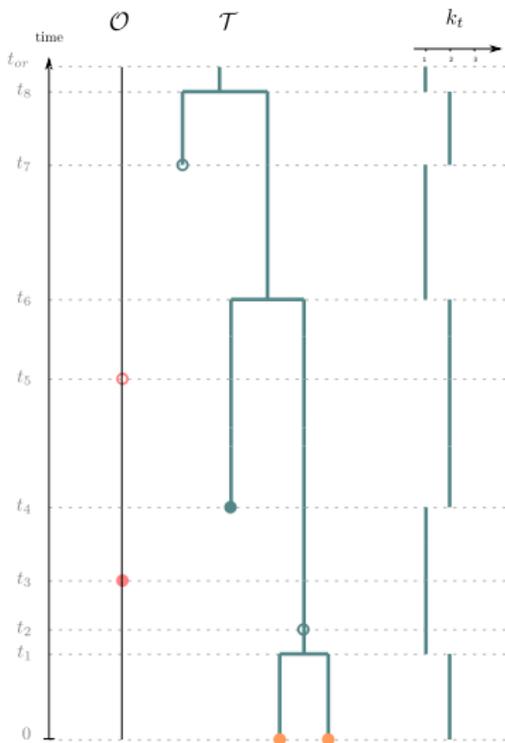
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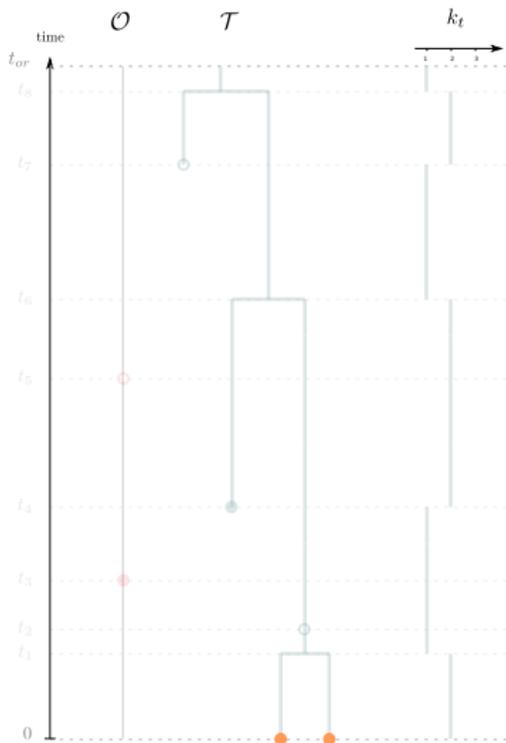
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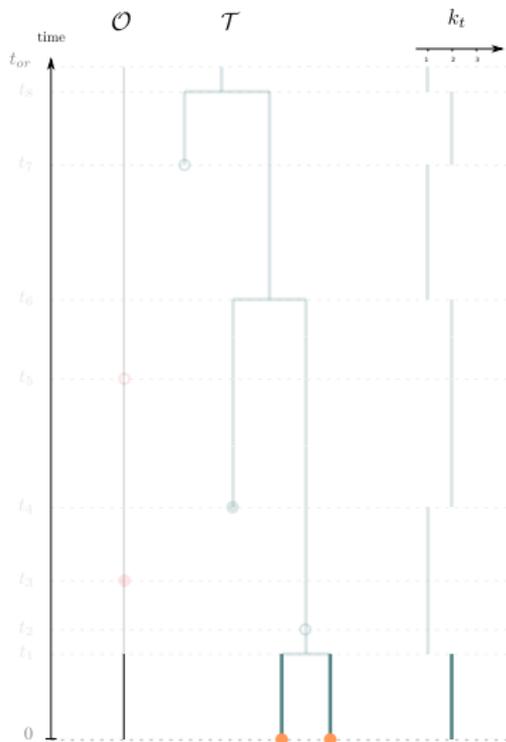


We know how to initialize  $L_t$  at present.

$$L_0^{(i)} = \rho^{k_0} (1 - \rho)^i$$

## Forward-backward traversal of the tree

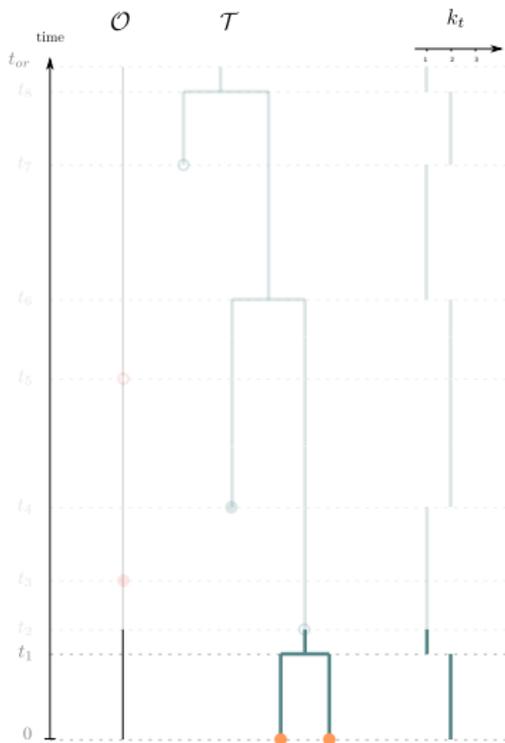
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Between two events,  $L_t$  evolves following an ODE.

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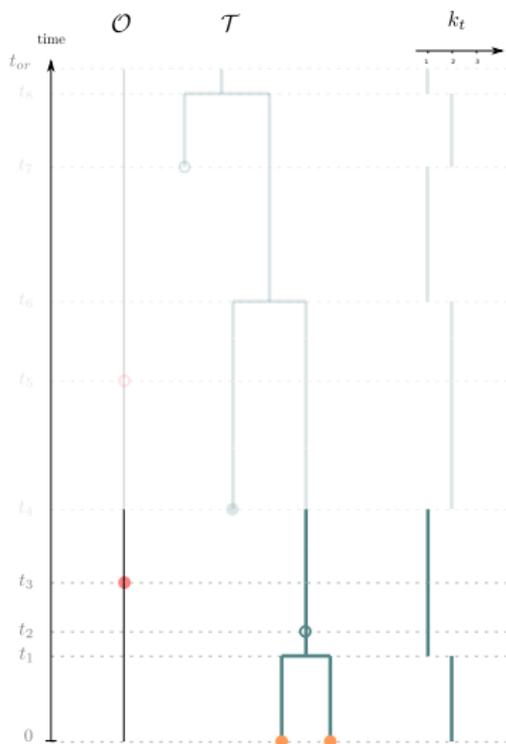


$$L_{t+} = \lambda L_{t-}$$



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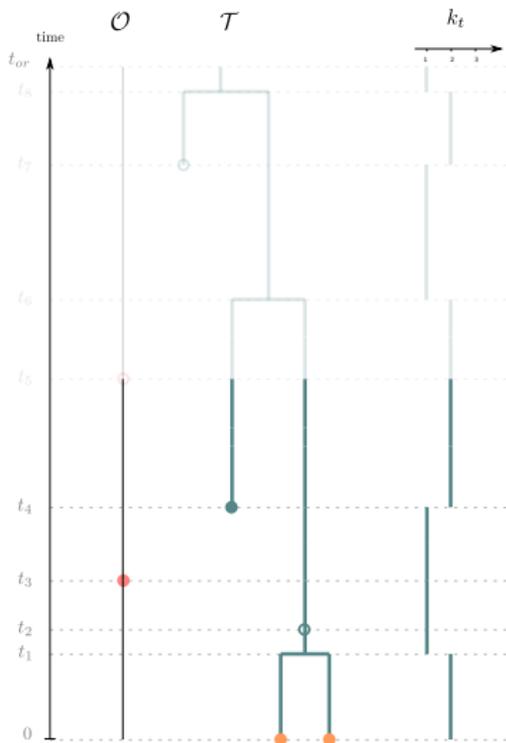
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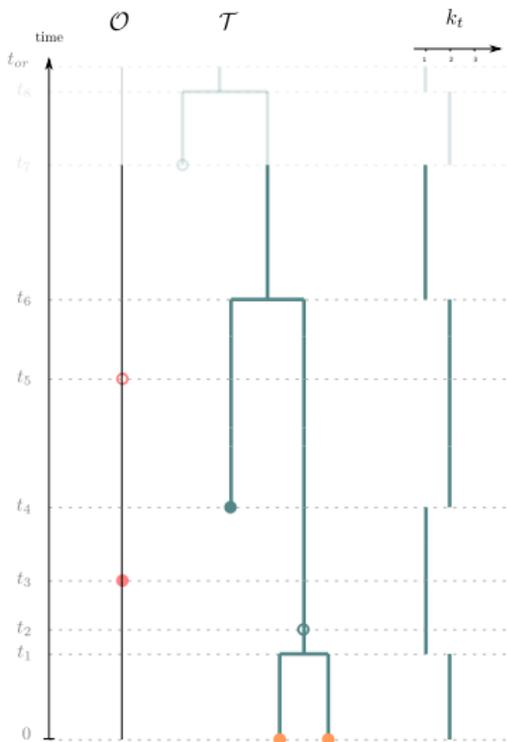


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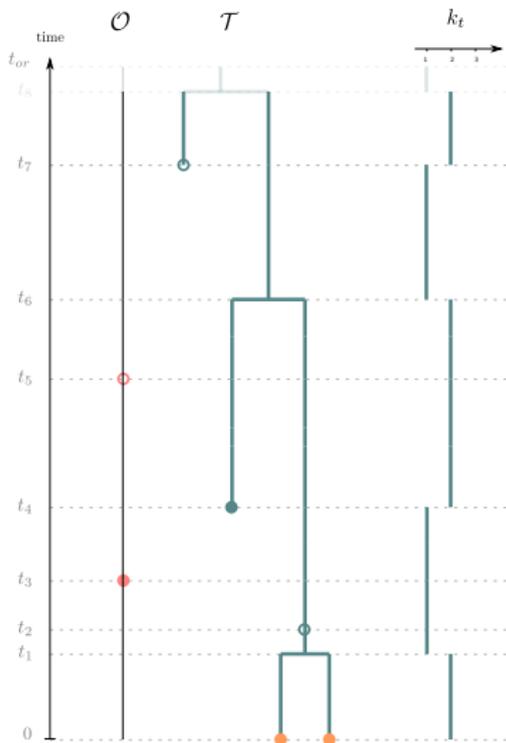
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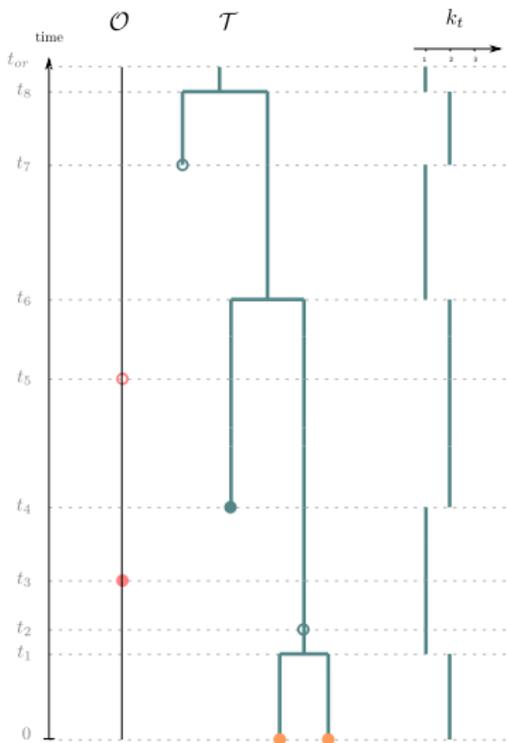
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$$L_{t^+}^{(i)} = \psi(1 - r)L_{t^-}^{(i+1)}$$

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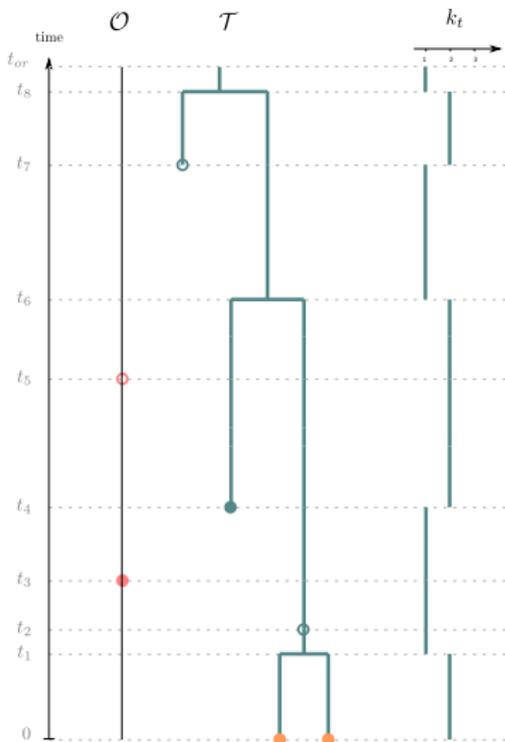
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As a by-product, we get the likelihood in the end,

$$\begin{aligned} \mathcal{L} &= \mathbb{P}(\mathcal{T}, \mathcal{O} \mid I_{t_{or}} = 1) \\ &= L_{t_{or}}^{(0)} \end{aligned}$$

## The ancestral population size

### Known corrolaries

Recall that  $M_t$  verifies:

$$\frac{dM_t^{(i)}}{dt} = \gamma(i+k)M_t^{(i)} - \lambda(2k+i-1)\mathbb{1}_{i>0}M_t^{(i-1)} - \mu(i+1)M_t^{(i+1)}$$

$$M_{t_{or}}^{(i)} = \mathbb{1}_{i=0}$$

We introduce the corresponding probability generating function:

$$\hat{M}(t, z) = \sum_{i=0}^{\infty} z^i M_t^{(i)}$$

The initial condition translates as  $\forall z, \hat{M}(t_{or}, z) = 1$

And the ODE translates as the following PDE:

$$\partial_t \hat{M} = -k(2\lambda z - \gamma)\hat{M} - (\lambda z^2 - \gamma z + \mu)\partial_z \hat{M}$$

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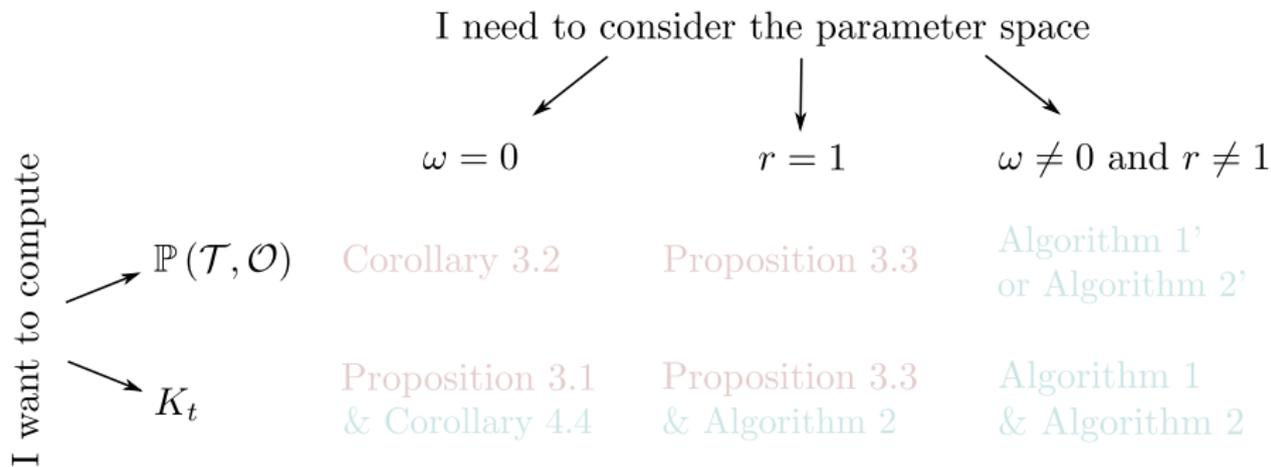
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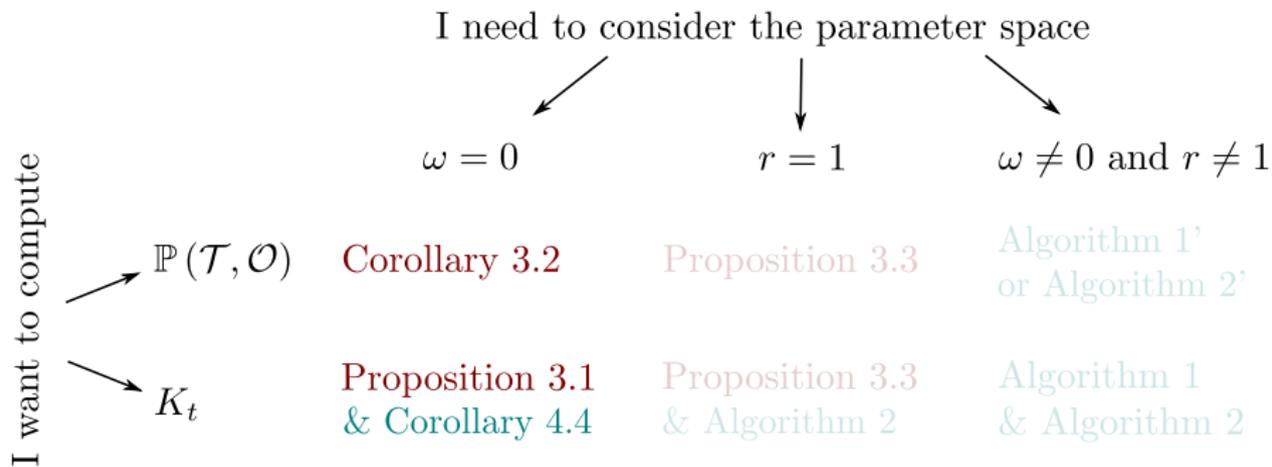
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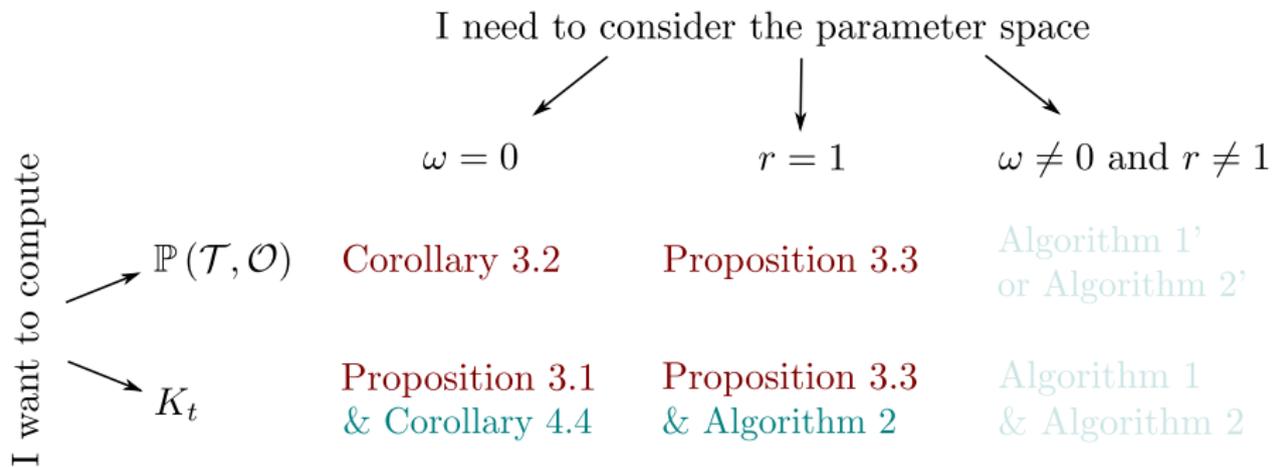
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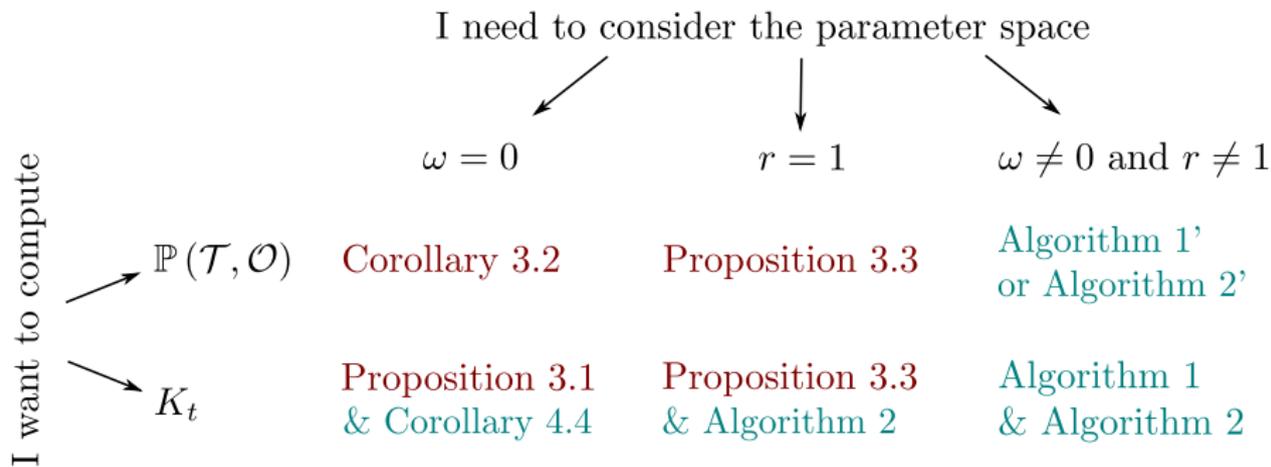
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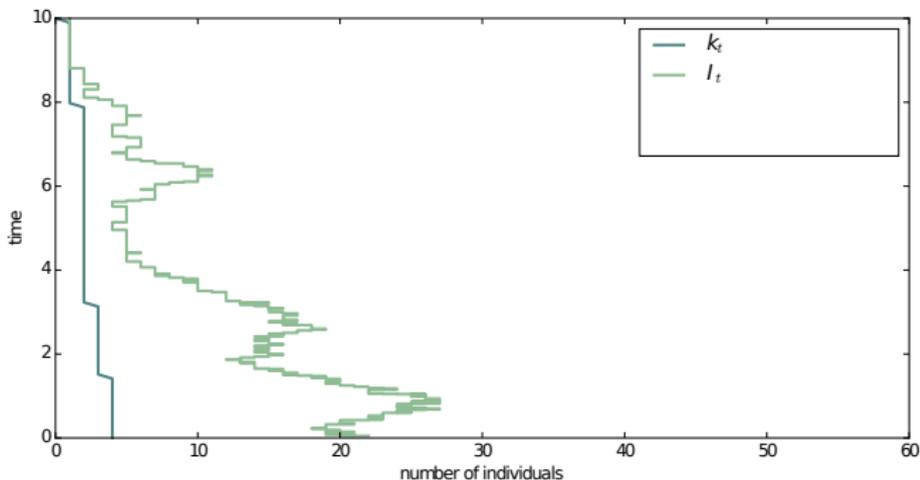
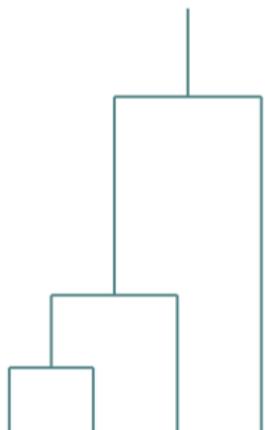
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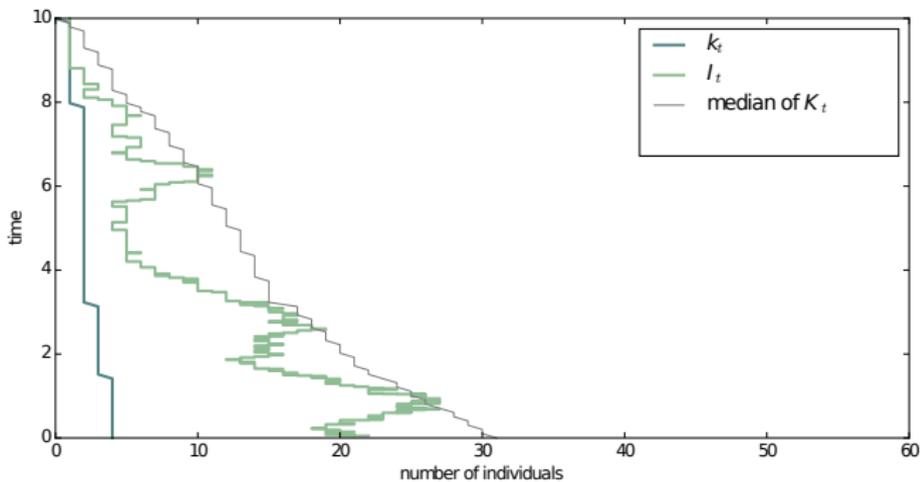
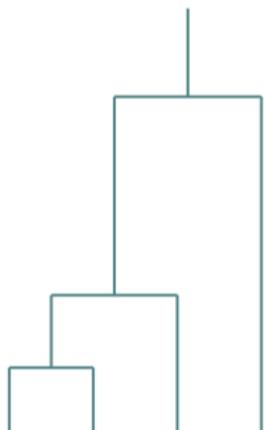
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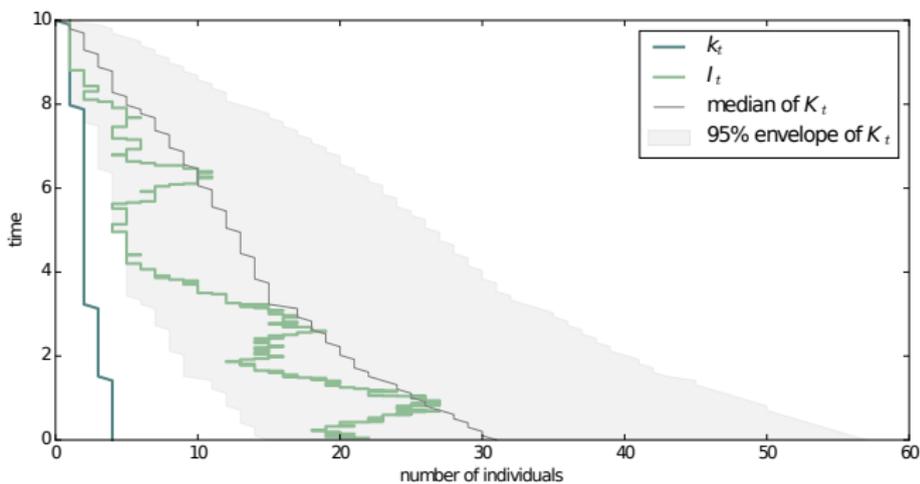
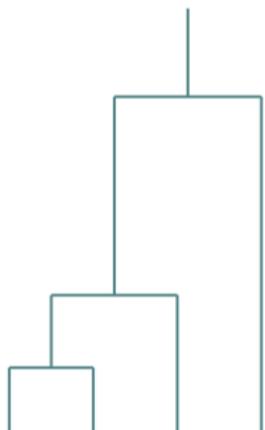
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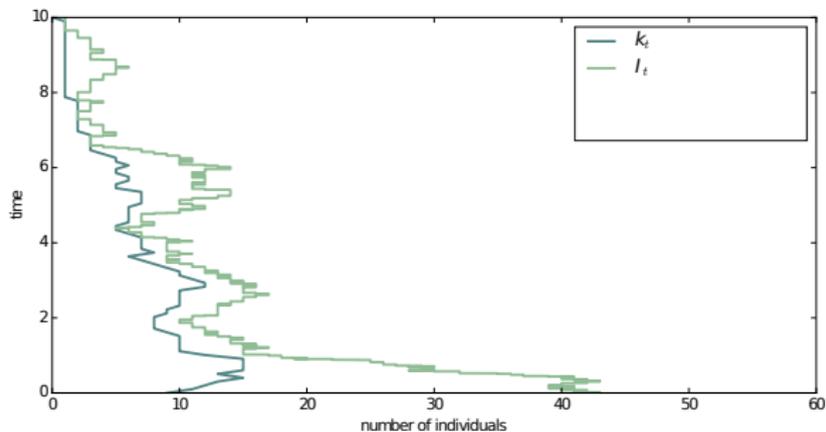
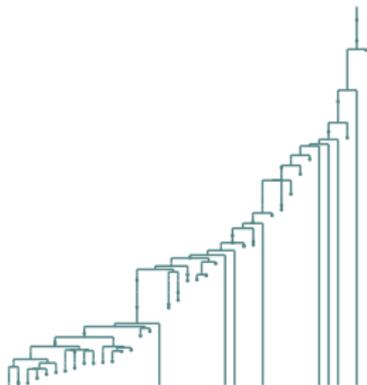
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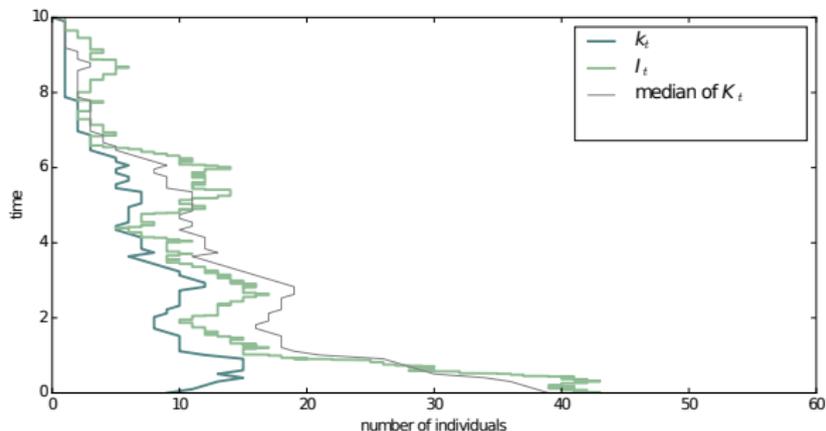
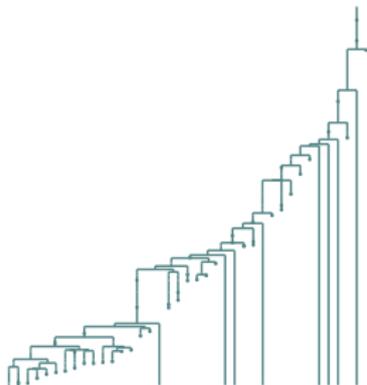
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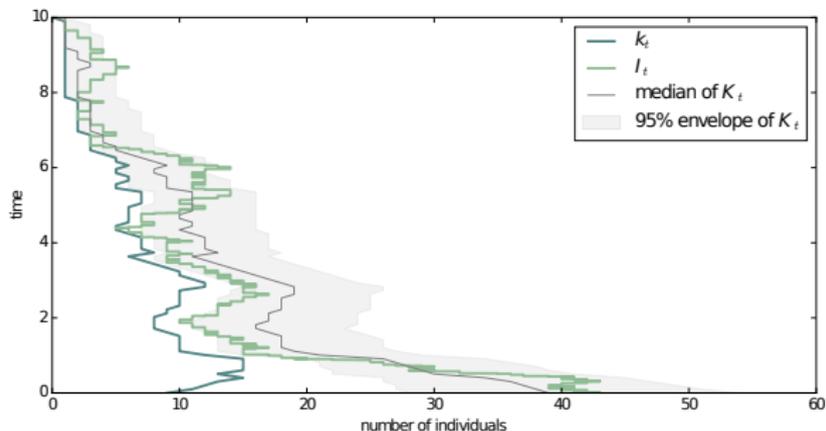
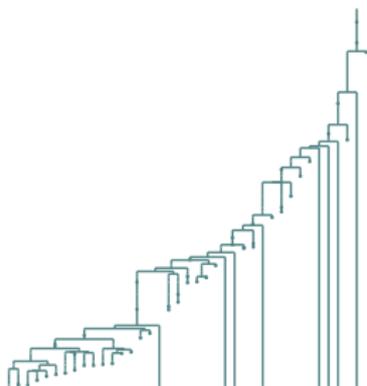
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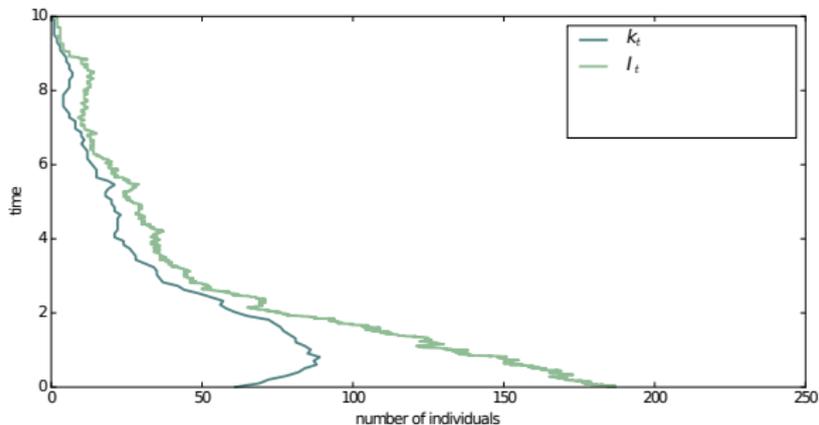
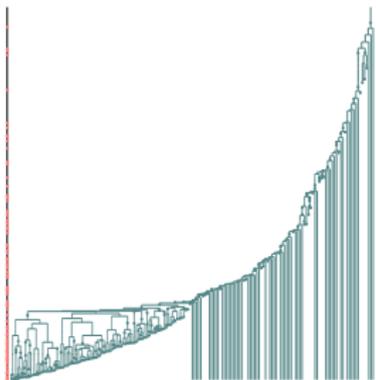
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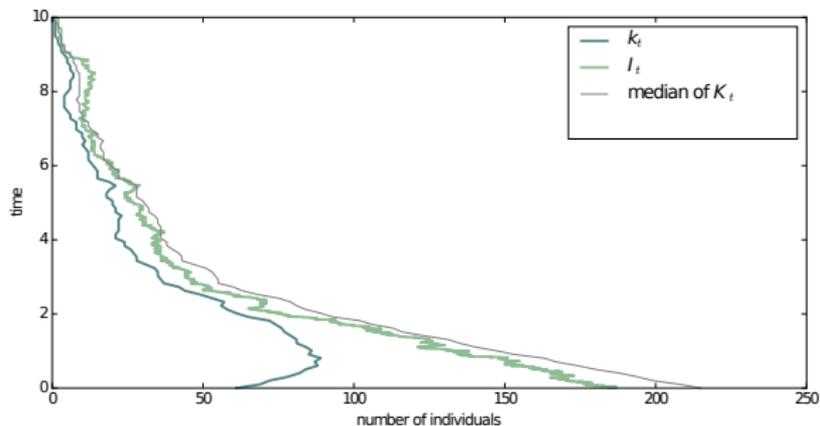
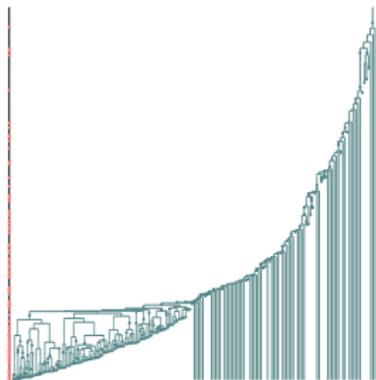
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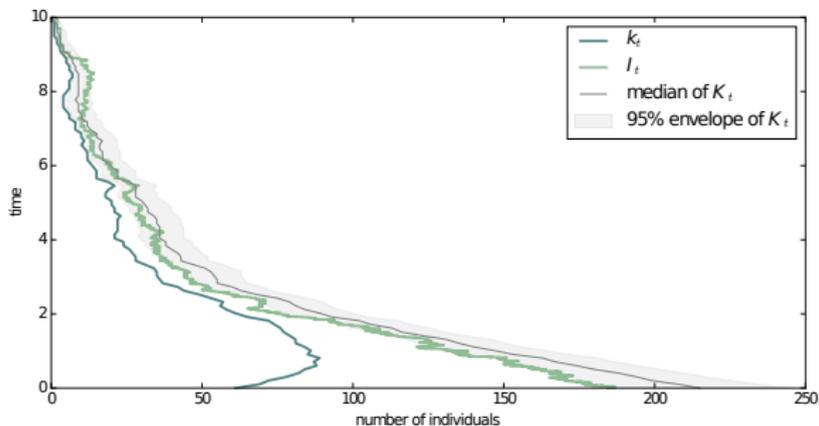
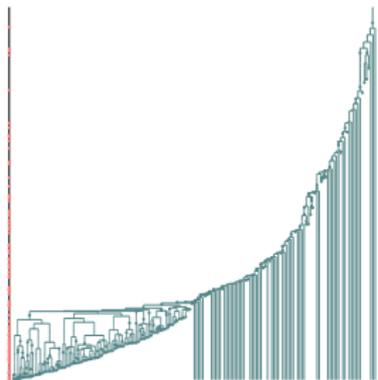
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## Empirical case studies

### Basics of phylogenetics

- The raw data
- The questions
- The Bayesian framework

### Incorporating occurrences

- Motivation
- Model
- A bit of context

### The ancestral population size

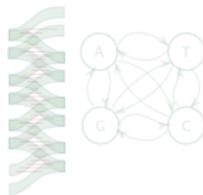
- Sketch of the overall strategy
- Forward-backward traversal of the tree
- Known corollaries
- Reconstructing past population size

### Empirical case studies

- Overview of the project
- Implementation
- Cetacean diversity
- Covid-19 prevalence on the Diamond princess

### Conclusion

- Perspectives
- Take-home messages



## Overview of the project

With Antoine Zwaans and Jérémy Andréoletti

### Goals:

1. Work with piecewise-constant parameters.
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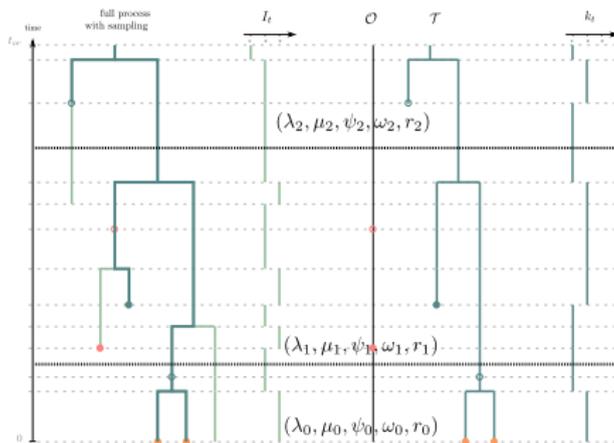


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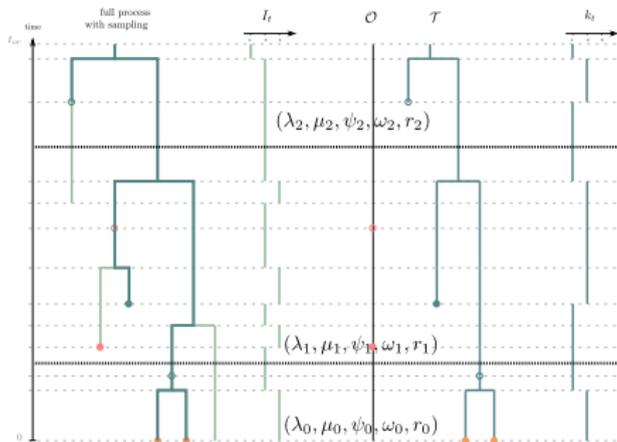


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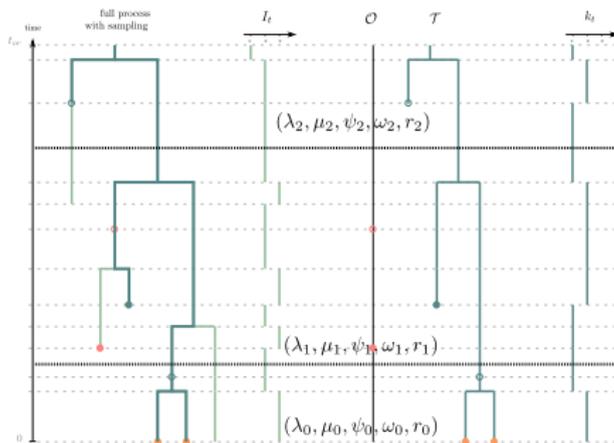


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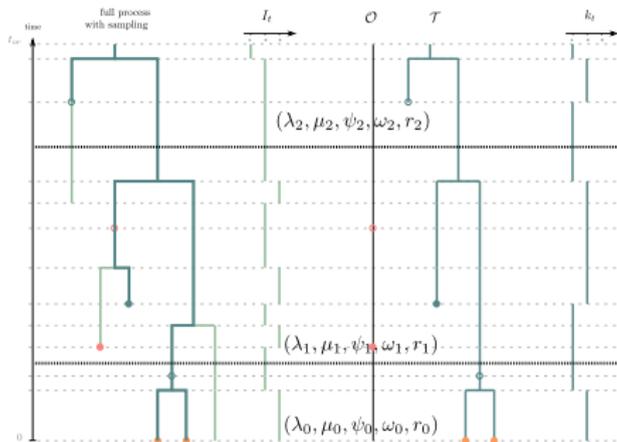


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3. Propose an easy post-analysis computation of  $K_t$ .
4. Illustrate the approach on empirical datasets.



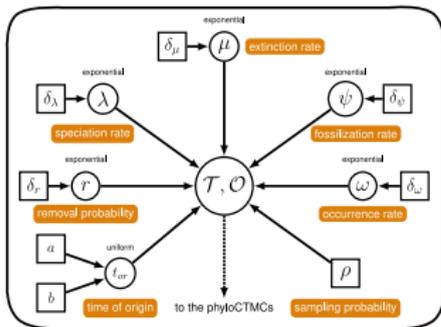
## Implementation

- ▶ within the phylogenetic software revBayes,
- ▶ modular design based on graphical models,
- ▶ use to sample the Bayesian posterior.



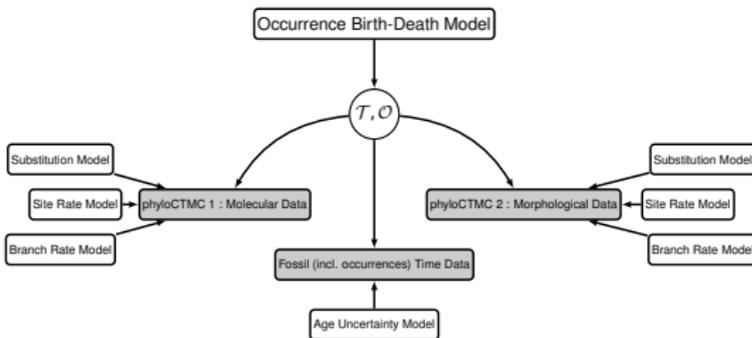
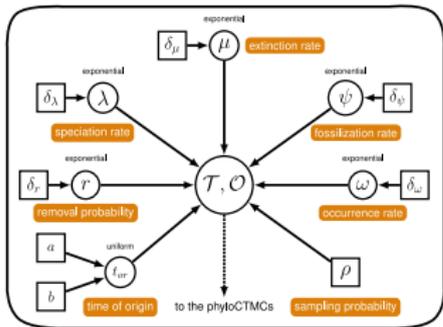
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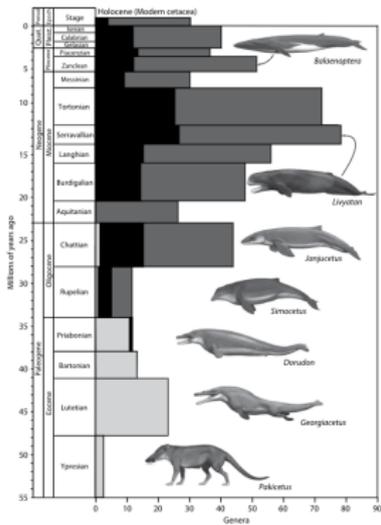


# Cetacean diversity

After Marx et al. (2016) and the Paleobiology database

► Generic diversity

- Bias 1: Uneven sampling of time periods/localities,
- Bias 2: Species abundances,

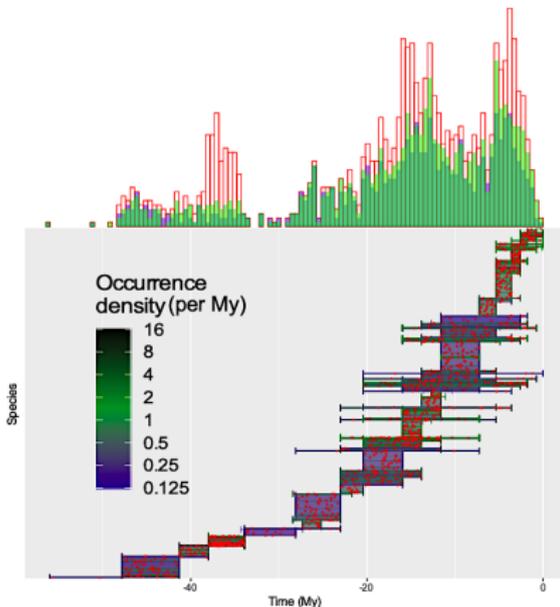
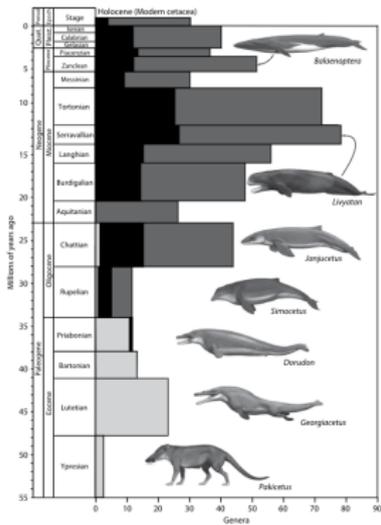


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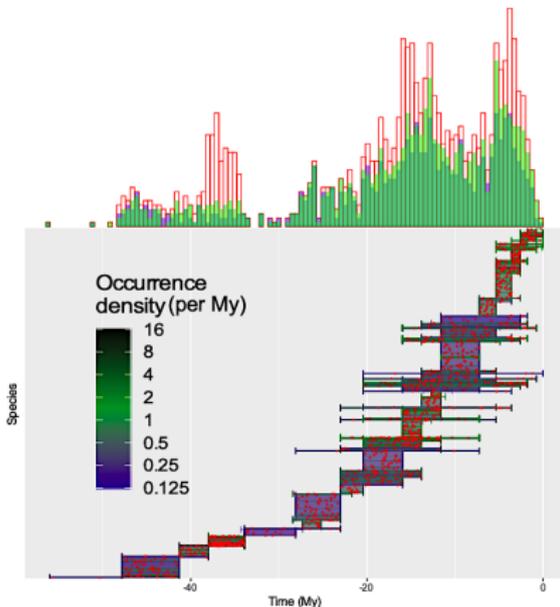
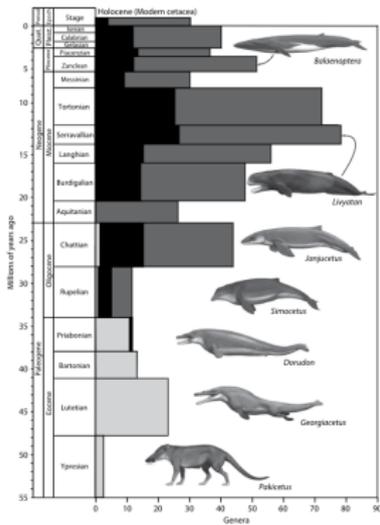
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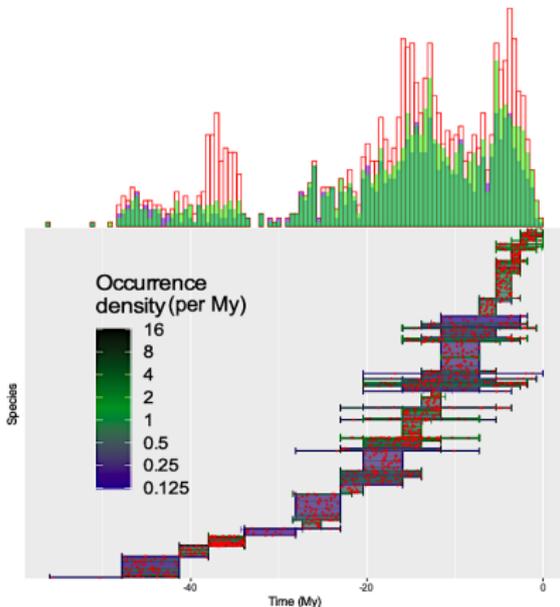
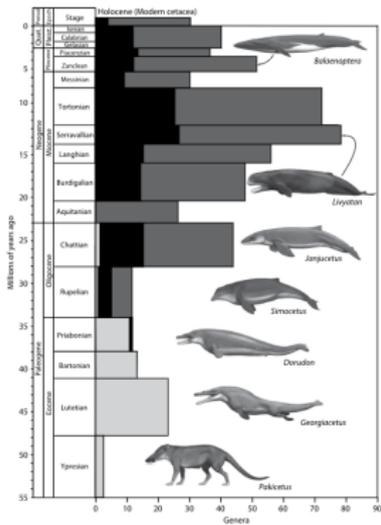


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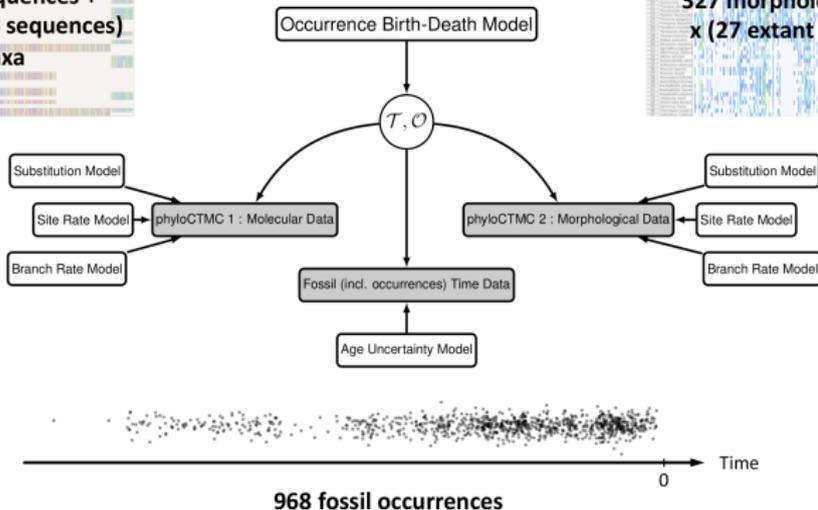
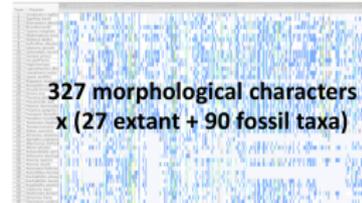
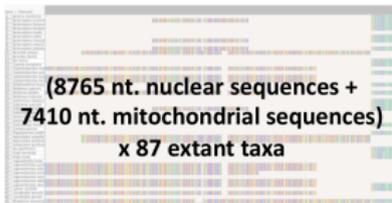
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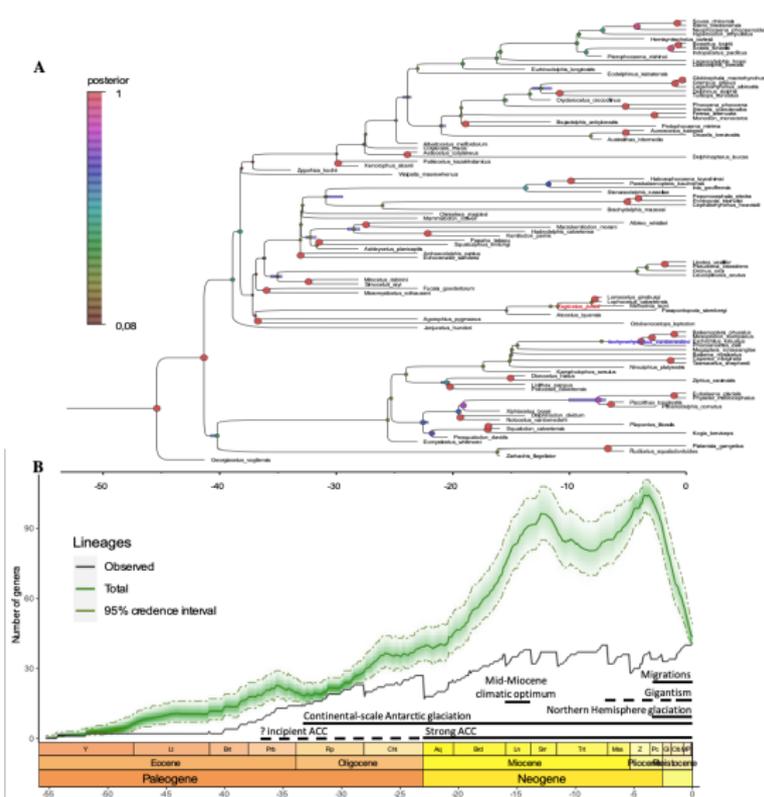


# Cetacean diversity



# Cetacean diversity

## Preliminary results



## Covid-19 prevalence on the Diamond princess

- ▶ **Diamond princess cruise ship,**
- ▶ Very close to the model assumptions,
- ▶ With rates varying at known time points.

Can we recover the known prevalence ?

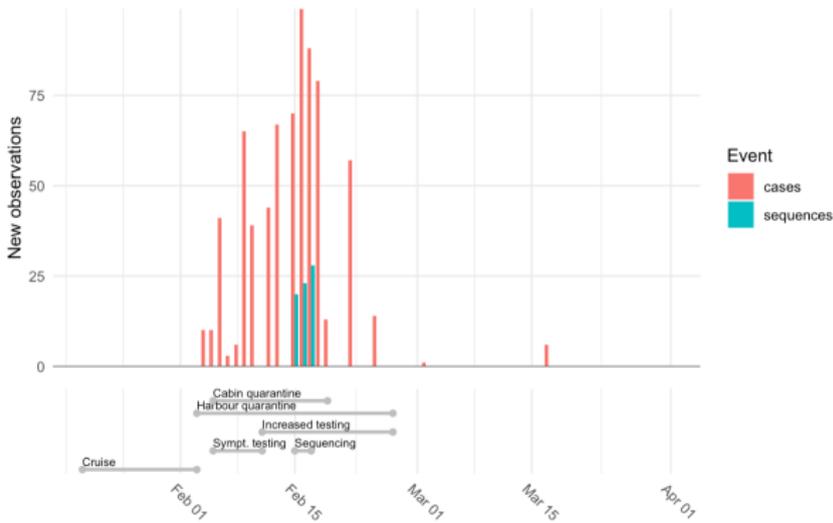
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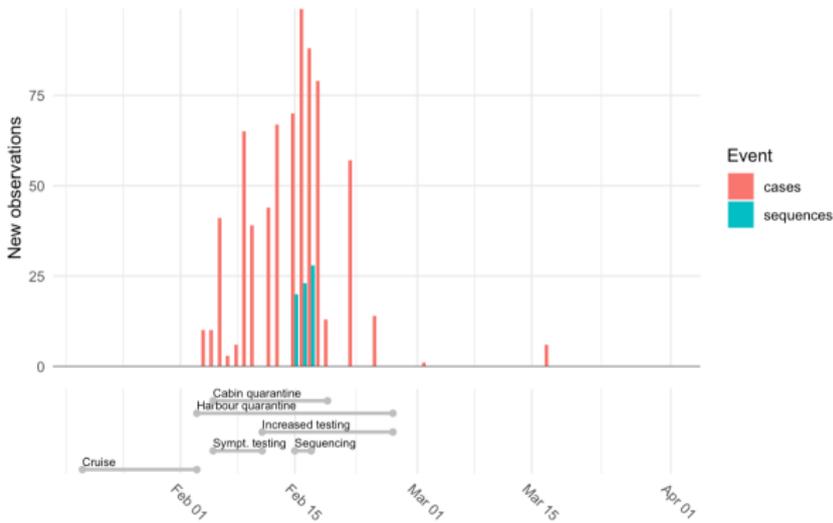
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# Conclusion

## Basics of phylogenetics

- The raw data
- The questions
- The Bayesian framework

## Incorporating occurrences

- Motivation
- Model
- A bit of context

## The ancestral population size

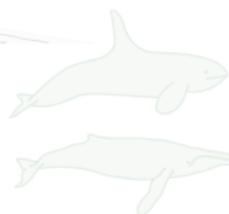
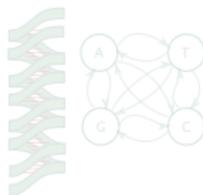
- Sketch of the overall strategy
- Forward-backward traversal of the tree
- Known corollaries
- Reconstructing past population size

## Empirical case studies

- Overview of the project
- Implementation
- Cetacean diversity
- Covid-19 prevalence on the Diamond princess

## Conclusion

- Perspectives
- Take-home messages



## Perspectives

### Diversity-dependent diversification

#### Work in progress

- ▶ extension to logistic birth-death processes, with per-capita rates either:

$$\lambda_i = \lambda - \alpha i \quad \text{or} \quad \mu_i = \mu + \beta i$$

- ▶ design methods to test hypotheses regarding diversification scenarios,
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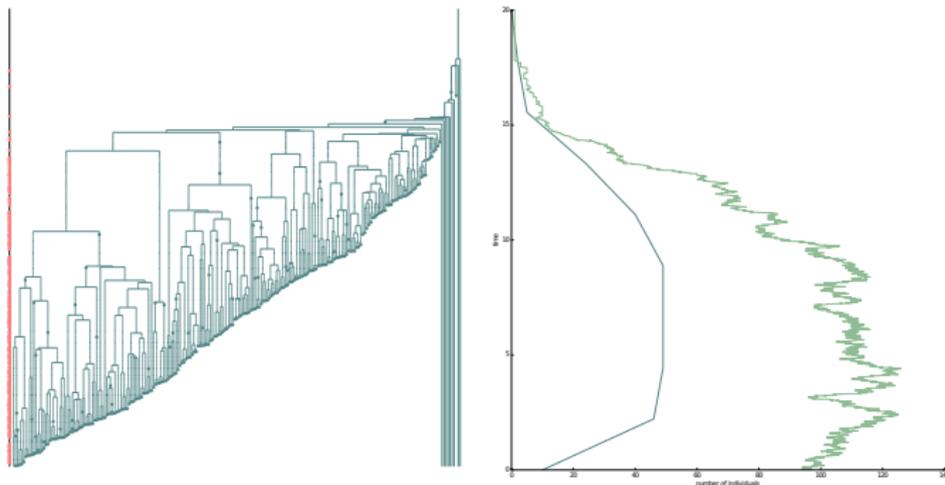
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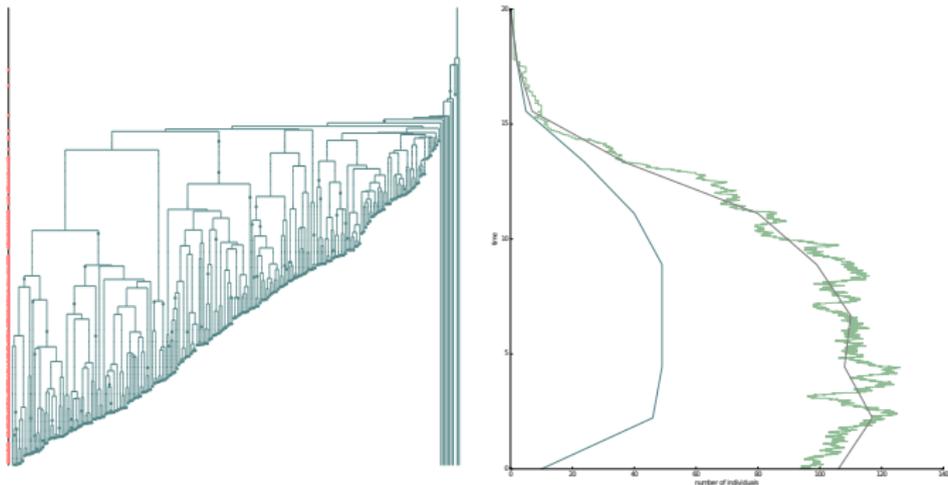
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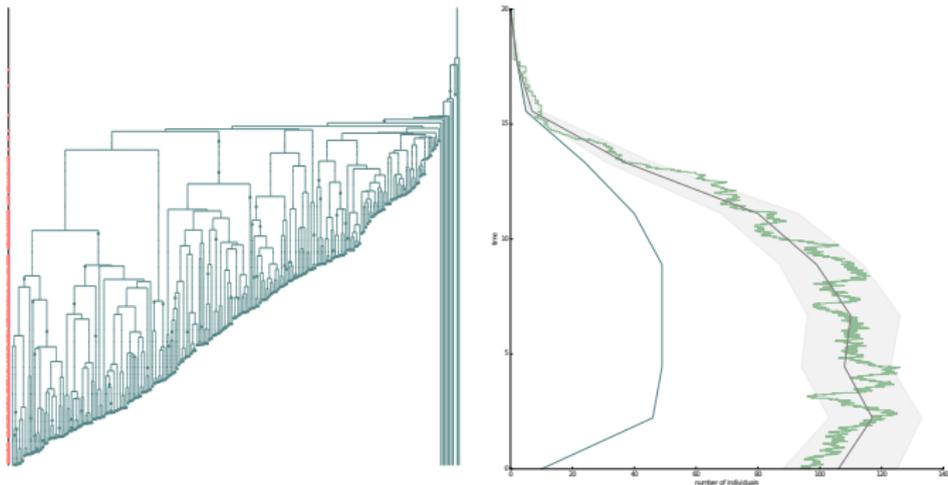
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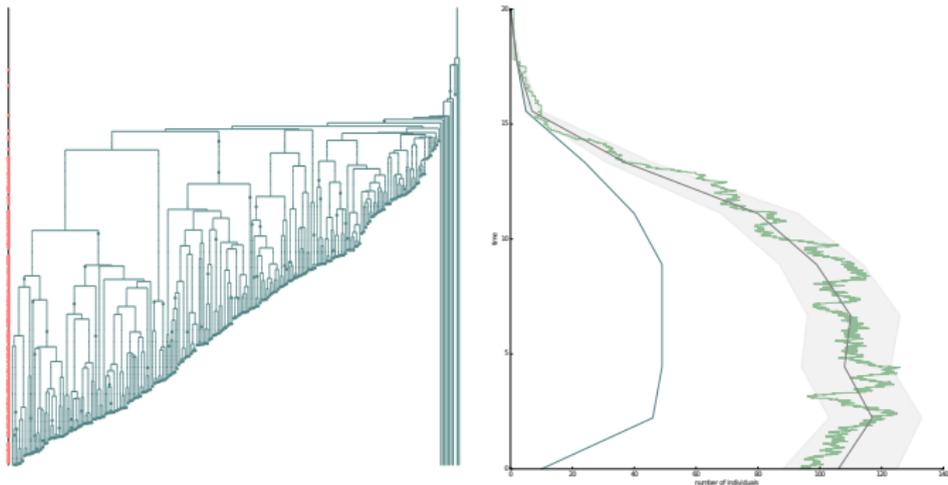
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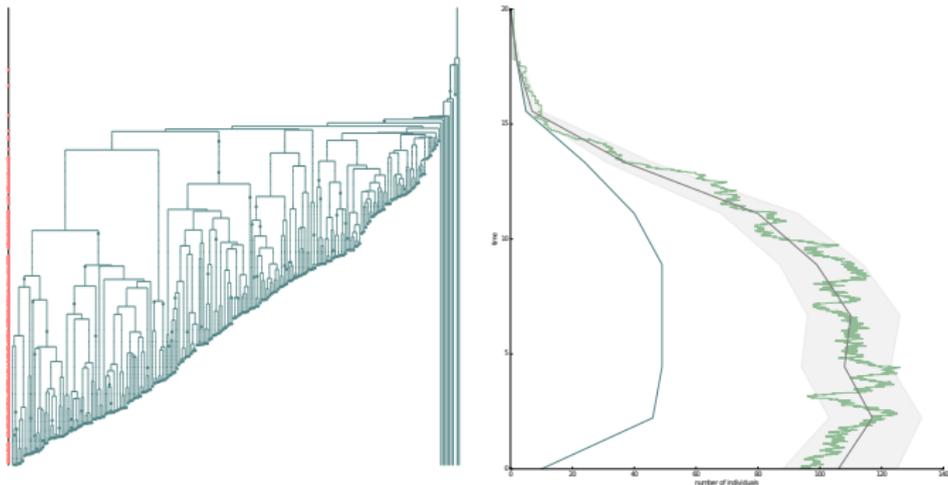
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## Take-home messages

**Model** birth-death model with a specific sampling scheme through time.

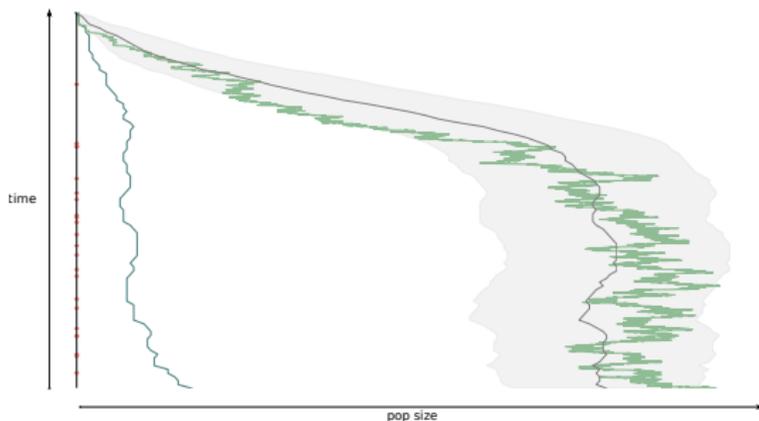
**Method** to get the likelihood of a tree and a record of occurrences, as well as  $\mathbb{P}(I_t | \mathcal{O}, \mathcal{T})$ .

**Implementation** with piecewise-constant parameters within the phylogenetic software revBayes.

**Illustration** on macroevolution and epidemiology datasets.

**Perspectives** e.g. for logistic density-dependence.

Thank you for your attention !



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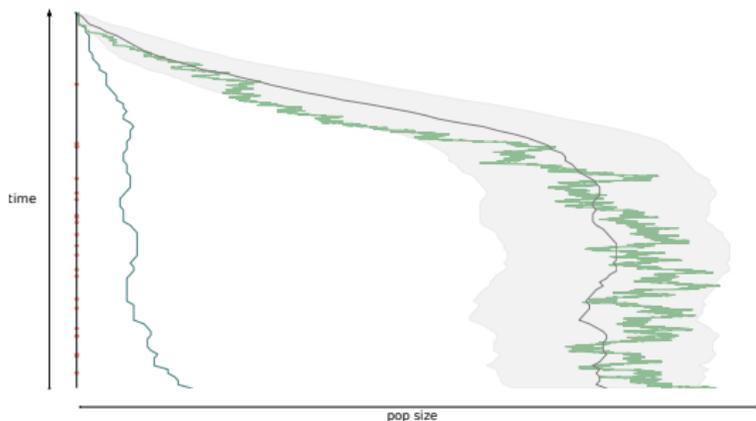
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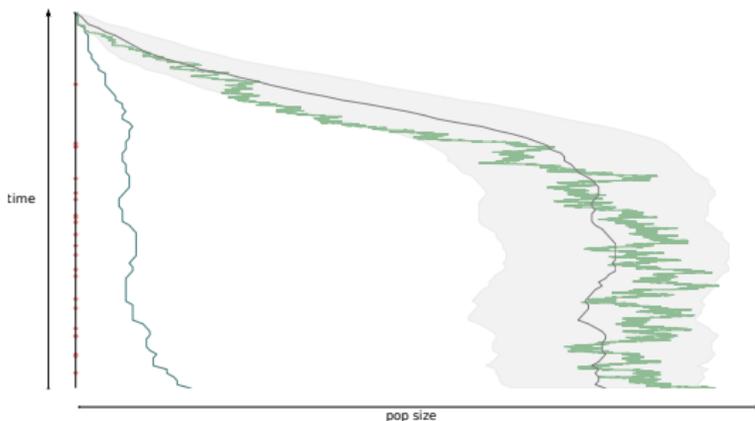
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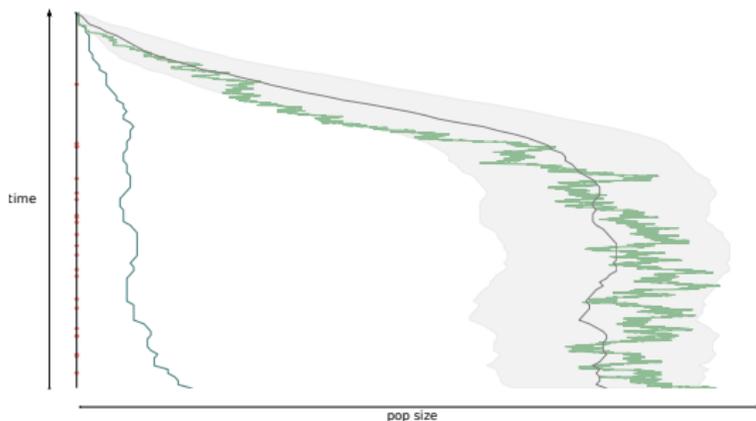
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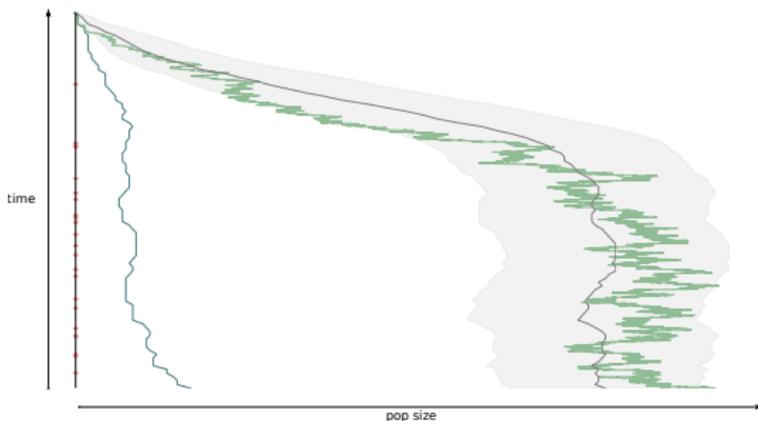
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## References

- Etienne et al. (2012) used backward Kolmogorov equations to compute the likelihood of trees, under a logistic birth-death process.
- Leventhal et al. (2013) used the forward Kolmogorov equations to compute the likelihood of trees, under a logistic birth-death process.
- Vaughan et al. (2018) introduced the model and a Monte-Carlo method to get  $\mathbb{P}(I_t \mid \mathcal{O}, \mathcal{T})$ .
- Laudanno et al. (2019) did something similar to our analytical work on  $\hat{M}$ .
- Gupta et al. (2020) analytical development to compute  $\mathbb{P}(\mathcal{T}, \mathcal{O})$  when  $r = 1$ .
- Manceau et al. (submitted) combining the forward and backward traversals to get the ancestral population size.
- Andréoletti, Zwaans et al. (in prep) implementation in a Bayesian framework and application on empirical datasets.