

Universality in bootstrap percolation and kinetically constrained models¹

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Institut für Stochastik und Wirtschaftsmathematik

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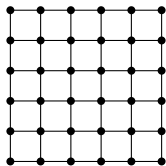
Informal Probability Seminar, Vienna

¹Supported by ERC Starting Grant 680275 MALIG and Austrian Science Fund (FWF): P35428-N.

Bootstrap percolation

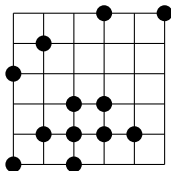
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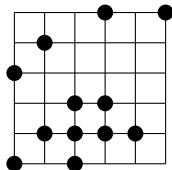
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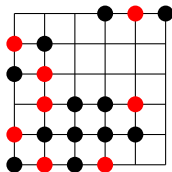
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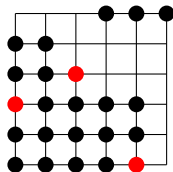
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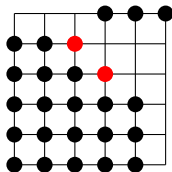
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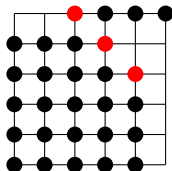
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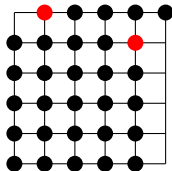
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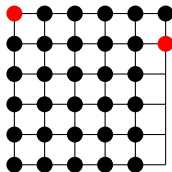
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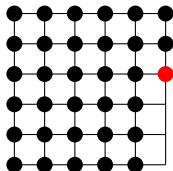
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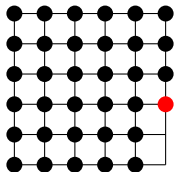
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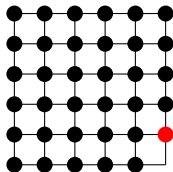
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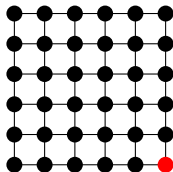
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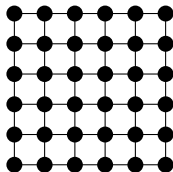
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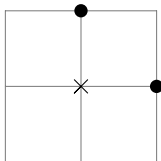
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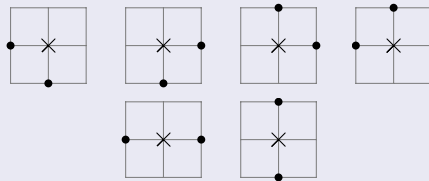
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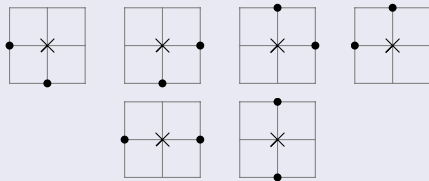
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$$\exists U \in \mathcal{U}, \forall u \in U : x + u \text{ is } \bullet.$$

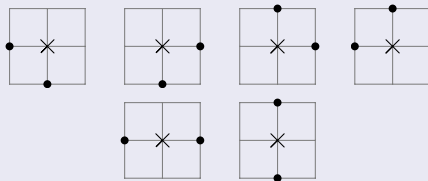


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2-neighbour bootstrap percolation



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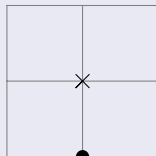
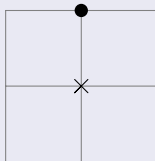
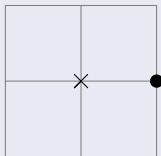
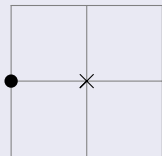
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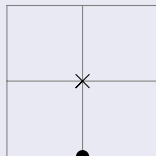
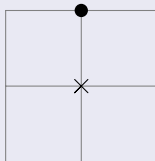
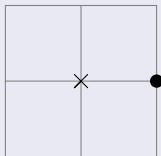
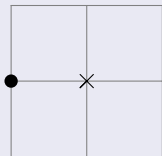
Examples

1-neighbour



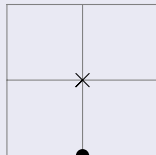
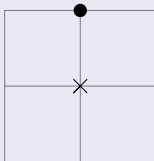
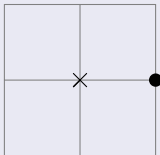
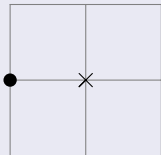
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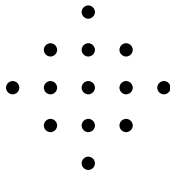
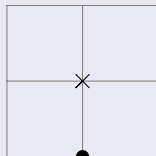
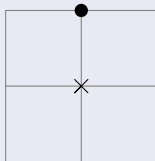
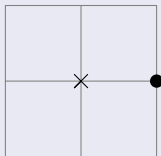
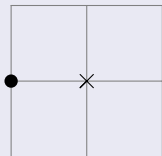
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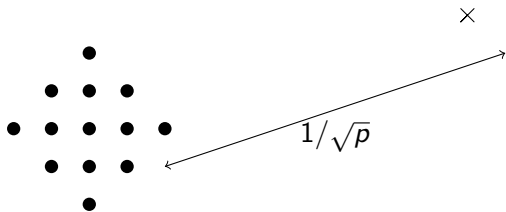
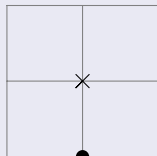
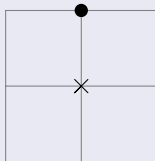
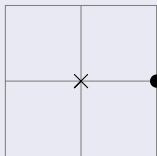
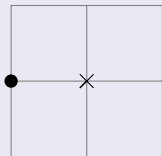
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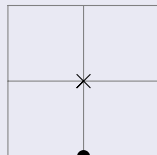
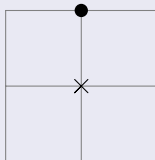
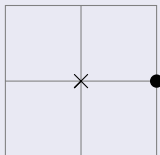
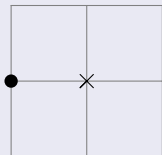
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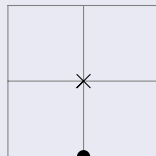
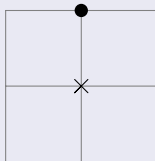
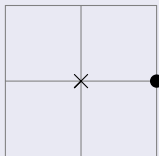
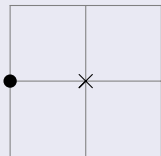


$$p_c = 0$$

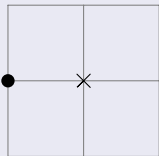
$$\tau_0 \approx 1/\sqrt{p}$$

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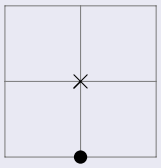
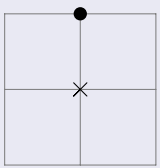
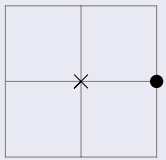
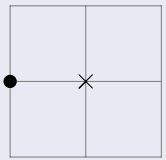


East

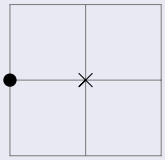


Examples

1-neighbour

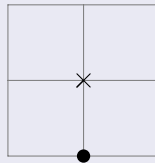
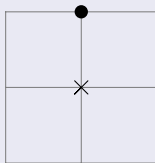
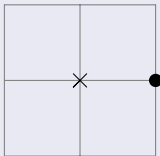
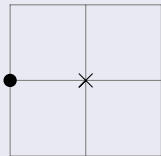


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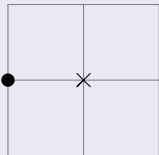


Examples

1-neighbour

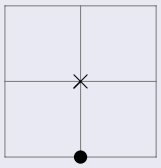
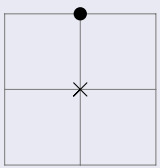
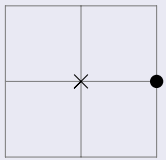
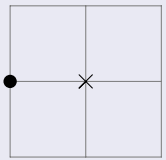


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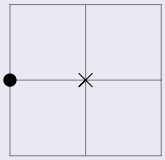


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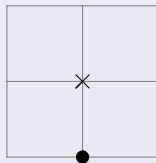
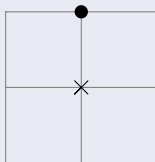
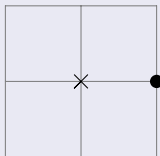
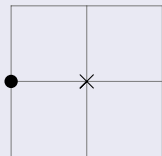


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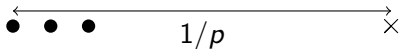
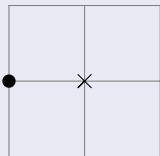


Examples

1-neighbour

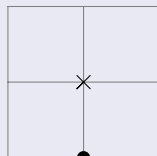
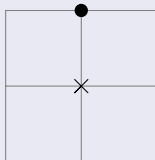
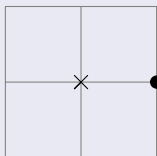
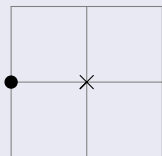


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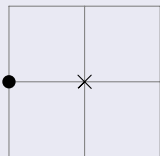


Examples

1-neighbour



East

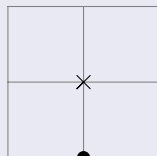
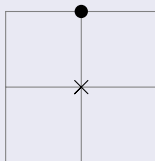
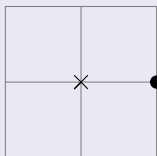
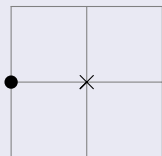


$$p_c = 0$$

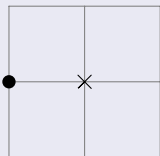
$$\tau_0 \approx 1/p$$

Examples

1-neighbour



East



$$\tau_0 \sim \mathcal{G}(p)$$

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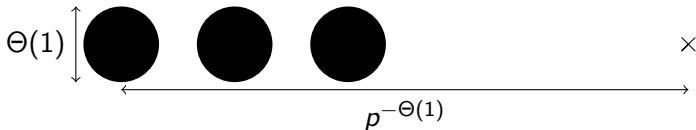


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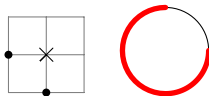
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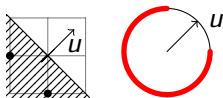
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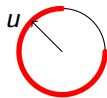
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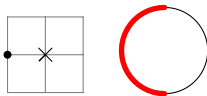
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Theorem (BSU15)

An update family \mathcal{U} is supercritical iff there is an open semi-circle of unstable directions.

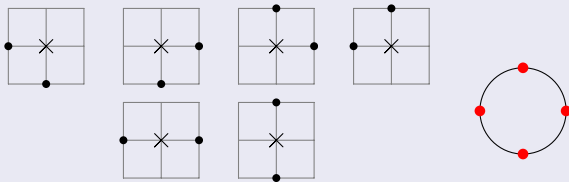
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2-neighbour bootstrap percolation



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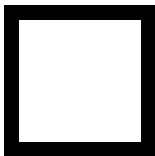
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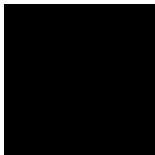


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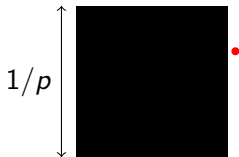


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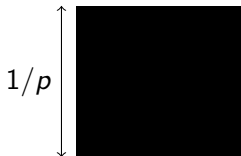


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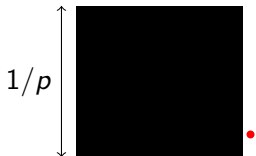


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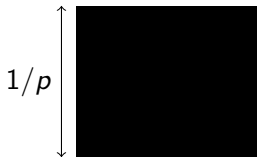


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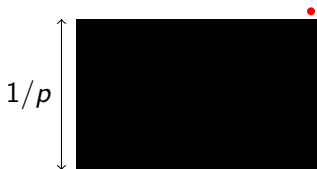


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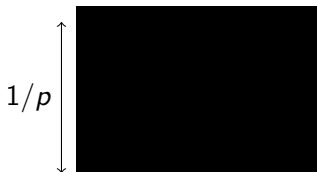


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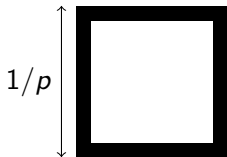


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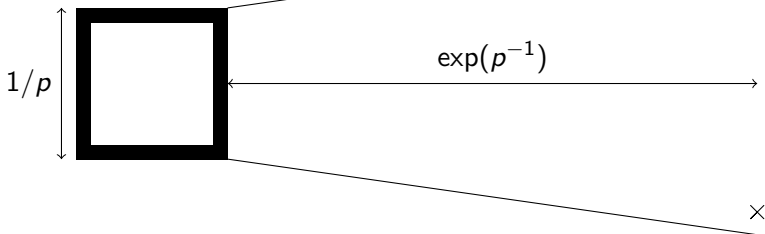


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Theorem (BSU15, Bollobás–Duminil-Copin–Morris–Smith'14+)

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Theorem (H–Mezei'20)

Given a critical update family \mathcal{U} , determining its difficulty $\alpha(\mathcal{U})$ is NP-hard, but computable.

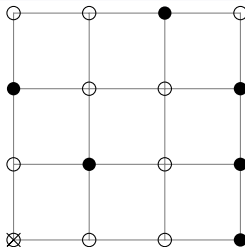
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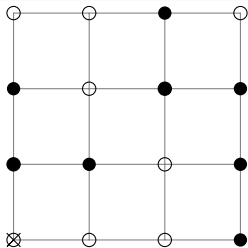
North-East/Oriented percolation



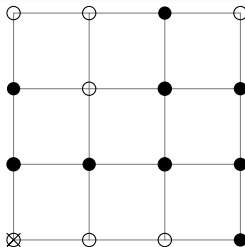
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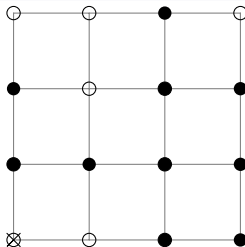
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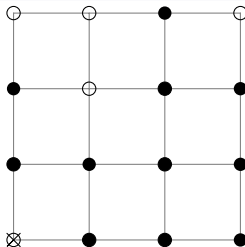
North-East/Oriented percolation



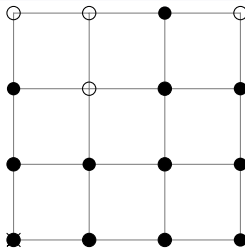
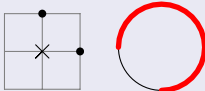
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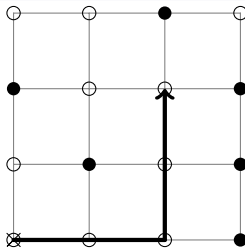
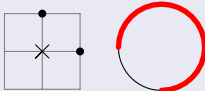


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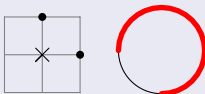
$$\tau_0 = 5$$

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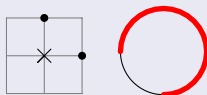
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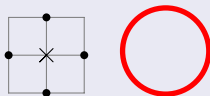
$$p_c \in (0, 1)$$

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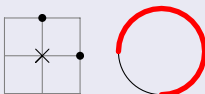


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4-neighbour bootstrap percolation

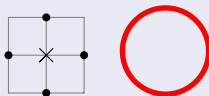


North-East/Oriented percolation



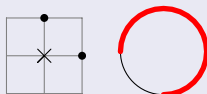
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4-neighbour bootstrap percolation



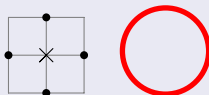
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North-East/Oriented percolation

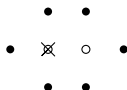


$$p_c \in (0, 1)$$

4-neighbour bootstrap percolation



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Definition (Subcritical family)

An update family is *subcritical* if every semi-circle contains infinitely many stable directions. It is *trivial subcritical* if all directions are stable.

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Theorem (Balister–Bollobás–Przykucki–Smith'16)

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Theorem (H'22)

For all \mathcal{U} supported in a half-space the conjecture holds.

Bootstrap percolation

- Geometry: \mathbb{Z}^2 .
- State space: $\Omega = \{0, \bullet\}^{\mathbb{Z}^2}$ ($0/\bullet$ = healthy/infected).
- Update rule: $U \subset \mathbb{Z}^2 \setminus \{0\}$, $U \neq \emptyset$, $|U| < \infty$.
- Update family $\mathcal{U} \neq \emptyset$: finite set of update rules.
- In \mathcal{U} -bootstrap percolation infections never heal and at each step we infect all $x \in \mathbb{Z}^2$ such that

$$\exists U \in \mathcal{U}, \forall u \in U : x + u \text{ is } \bullet.$$

- Infection time: $\tau_0 = \inf\{t \in \mathbb{N} : 0 \text{ is } \bullet\} \in \mathbb{N} \cup \{\infty\}$.
- Density of \bullet : $p \in [0, 1]$.
- Initial distribution: $\pi = \text{Ber}(p)^{\otimes \mathbb{Z}^2}$.
- Critical probability: $p_c = \inf\{p \in [0, 1] : \pi(\tau_0 = \infty) = 0\}$.

Kinetically constrained models

- Geometry: \mathbb{Z}^2 .
- State space: $\Omega = \{o, \bullet\}^{\mathbb{Z}^2}$ (o/\bullet = healthy/infected).
- Update rule: $U \subset \mathbb{Z}^2 \setminus \{0\}$, $U \neq \emptyset$, $|U| < \infty$.
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- In \mathcal{U} -KCM infections **can** heal and at **rate 1** we **update to** $Ber(p)$ all $x \in \mathbb{Z}^2$ such that

$$\exists U \in \mathcal{U}, \forall u \in U : x + u \text{ is } \bullet.$$

- Infection time: $\tau_0 = \inf\{t \in \mathbb{R}_+ : 0 \text{ is } \bullet\} \in \mathbb{R}_+ \cup \{\infty\}$.
- Density of \bullet : $p \in [0, 1]$.
- Initial **and stationary** distribution: $\pi = Ber(p)^{\otimes \mathbb{Z}^2}$.
- Critical probability: $p_c = \inf\{p \in [0, 1] : \mathbb{P}_\pi(\tau_0 = \infty) = 0\}$.

Theorem (Cancrini–Martinelli–Roberto–C. Toninelli'08)

For any \mathcal{U} the following are equivalent:

- $\pi(\tau_0 = \infty) = 0$ in \mathcal{U} -bootstrap percolation;
- $\mathbb{P}_\pi(\tau_0 = \infty) = 0$ in the \mathcal{U} -KCM;
- 0 is a simple eigenvalue of the generator of the \mathcal{U} -KCM;
- the \mathcal{U} -KCM is ergodic;
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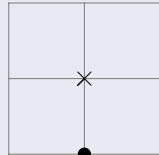
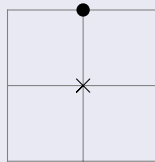
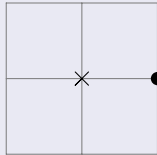
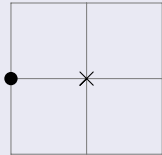
- $\pi(\tau_0 = \infty) = 0$ in \mathcal{U} -bootstrap percolation;
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Theorem (CMRT08,H21)

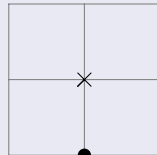
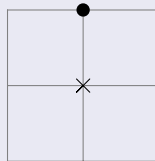
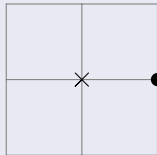
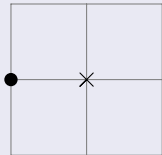
For any \mathcal{U} the following are equivalent:

- in \mathcal{U} -bootstrap percolation τ_0 has an exponential moment;
- in \mathcal{U} -KCM τ_0 has an exponential moment;
- $T_{\text{rel}} < \infty$ for the \mathcal{U} -KCM.

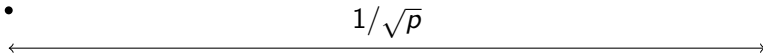
1-neighbour KCM



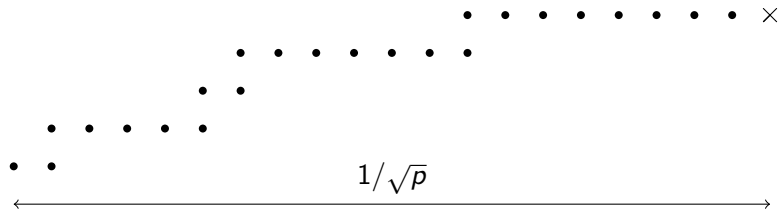
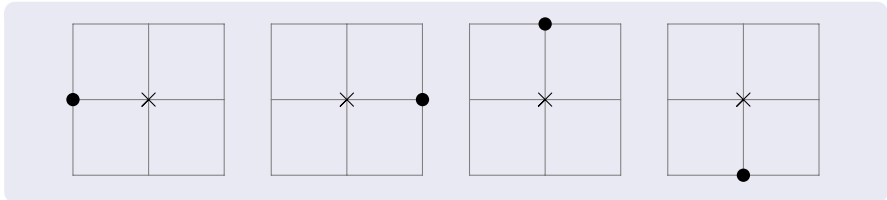
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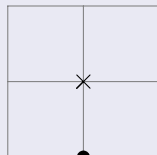
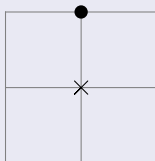
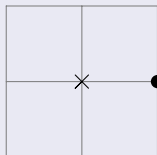
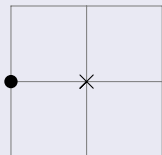
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1-neighbour KCM



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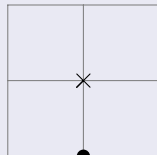
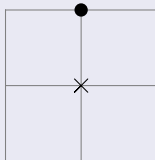
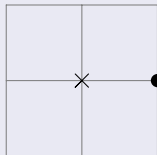
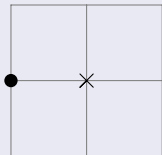
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$$1/\sqrt{p}$$

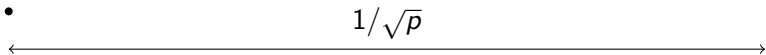


$$\tau_0 \leq \exp(1/\sqrt{p})$$

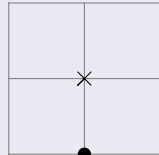
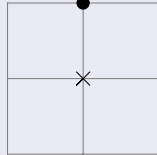
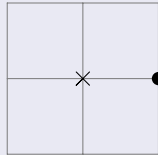
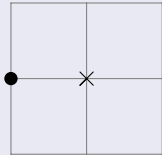
1-neighbour KCM



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1-neighbour KCM



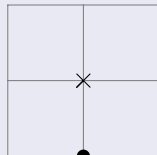
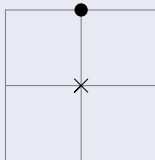
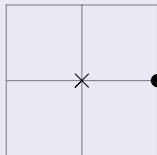
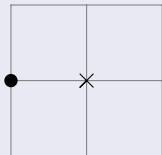
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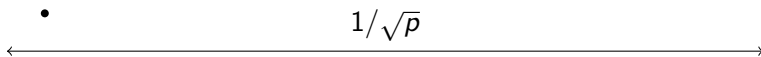
$$1/\sqrt{\rho}$$



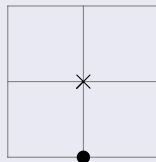
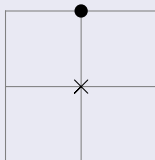
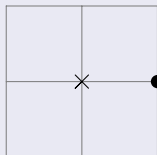
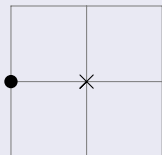
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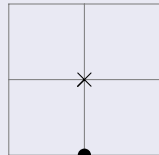
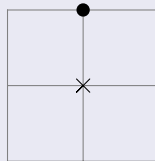
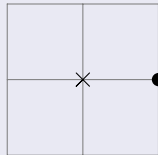
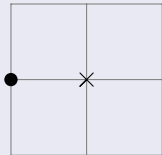
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$$1/\sqrt{p}$$



1-neighbour KCM



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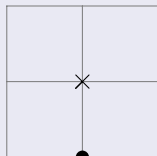
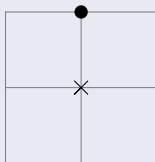
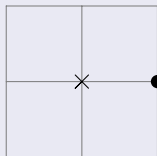
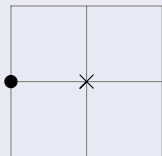
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$$1/\sqrt{p}$$



$$\tau_0 \leq (1/\sqrt{p})^2 / p = 1/p^2$$

1-neighbour KCM

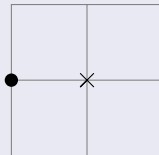


Theorem (CMRT08, Shapira'20)

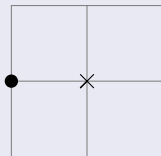
For the 1-neighbour KCM we have

$$T_{\text{rel}} = \begin{cases} \Theta(p^{-3}) & d = 1, \\ p^{-2+o(1)} & d = 2, \\ \Theta(p^{-2}) & d \geq 3. \end{cases}$$

East



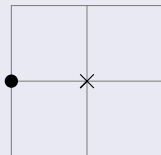
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Lemma (Mauch–Jackle'99, Sollich–Evans'99,
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Starting from an infection at 0 and using at most n infections simultaneously, we can bring an infection only as far as $2^{n-1} - 1$.

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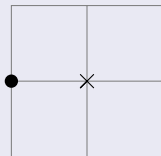


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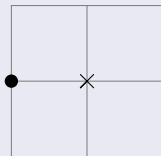


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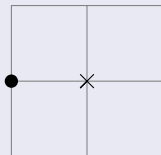


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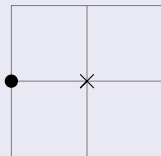


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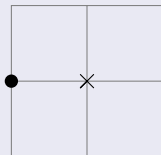


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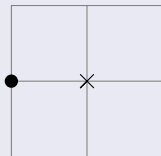


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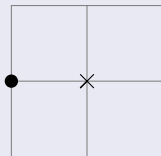


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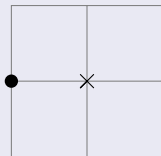


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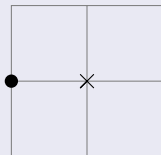


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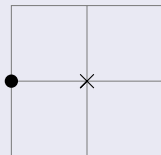


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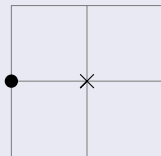


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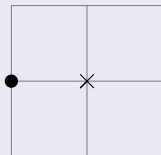


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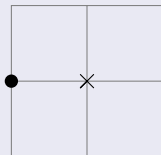


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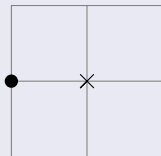


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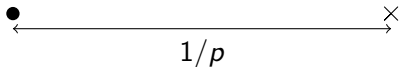


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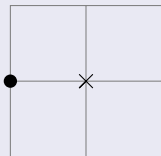


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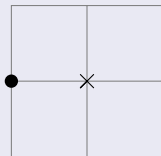


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$$\begin{array}{c}
 \bullet \longleftarrow \xrightarrow{\quad \times} \\
 \qquad \qquad \qquad 1/p \\
 \tau_0 \approx p^{-\log(1/p)} = \exp(\log^2(1/p))
 \end{array}$$

East



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Theorem (Aldous–Diaconis'02, CMRT08)

$$T_{\text{rel}} = \exp\left(\frac{\log^2(1/p)}{2 \log 2 + o(1)}\right)$$

Supercritical KCM

Definition (Rooted)

A supercritical family \mathcal{U} is *rooted* if there exist two non-opposite stable directions and *unrooted* otherwise.

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Theorem (Martinelli–C. Toninelli'19, Martinelli–Morris–C. Toninelli'19, Marêché'20, Marêché–Martinelli–C. Toninelli'20)

For a supercritical KCM we have

- $T_{\text{rel}} = p^{-\Theta(1)}$ if \mathcal{U} is unrooted;
- $T_{\text{rel}} = \exp(\Theta(\log^2(1/p)))$ if \mathcal{U} is rooted.

Critical KCM

Theorem (MT19, MMT19)

For critical \mathcal{U} -KCM we have $\tau_0 = \exp(p^{-\Theta(1)})$.

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- East-like deterministic logarithmic bottleneck.

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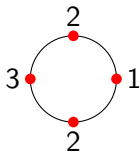
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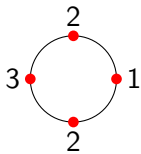
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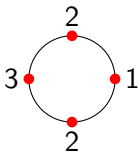
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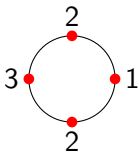


$$\alpha = 1$$



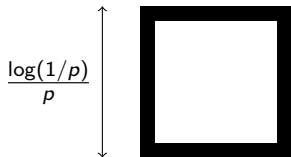
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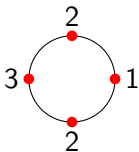
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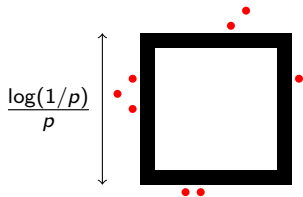
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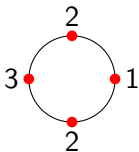




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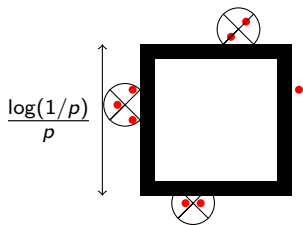
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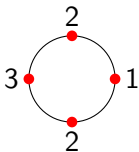




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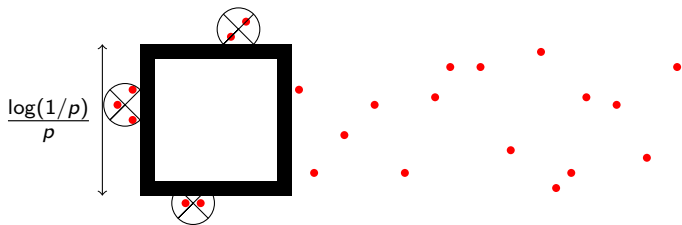
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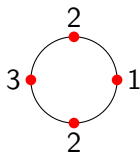




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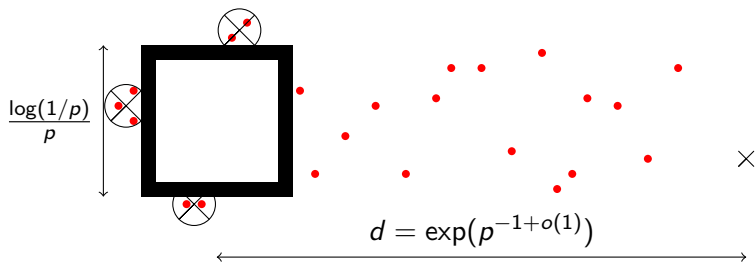
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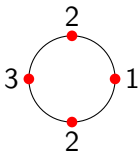


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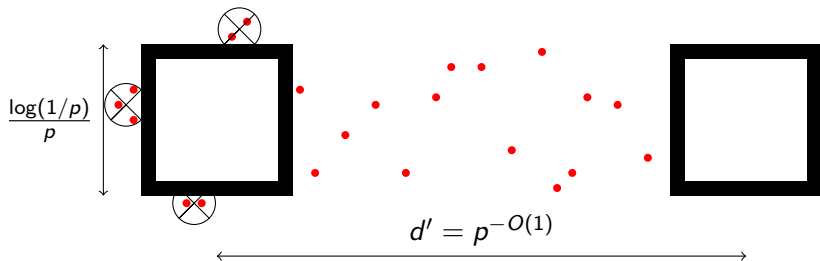


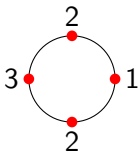
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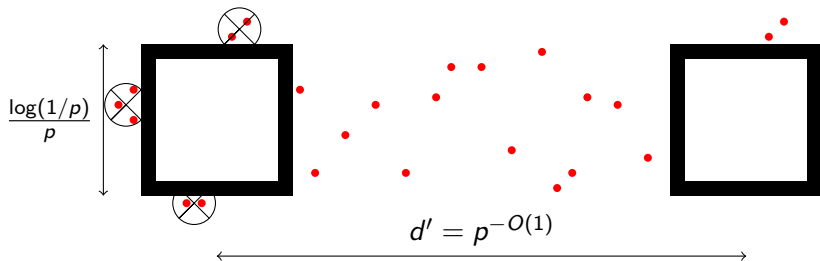
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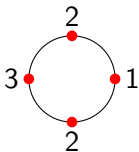




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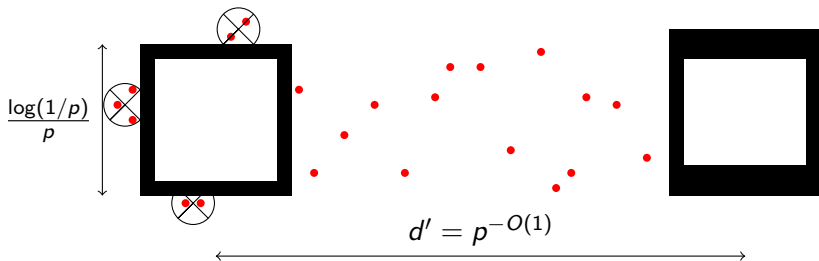
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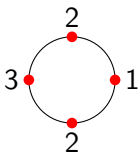




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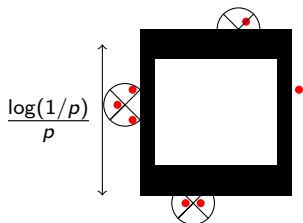
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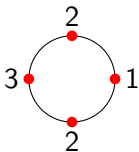




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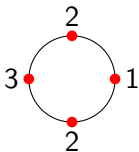




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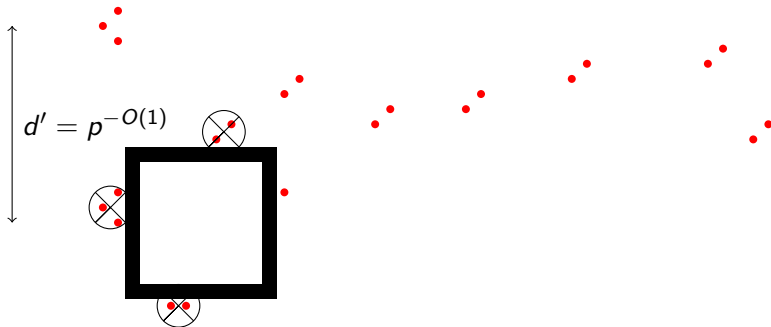
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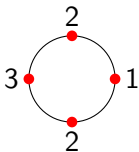




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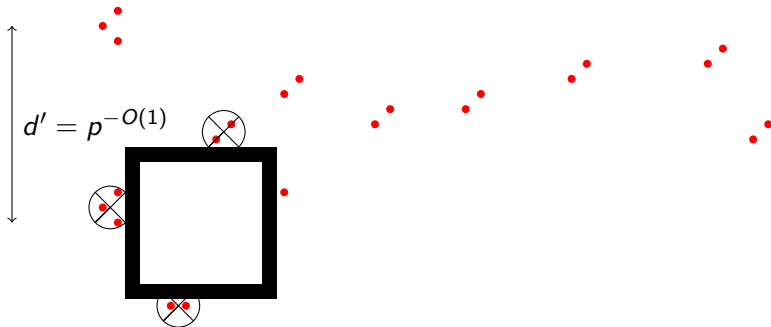
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Fix a critical update family. A direction u is *hard* if $\alpha(u) > \alpha$. The family is *unbalanced* if there are two opposite hard directions and *balanced* otherwise.

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Theorem (BDCMS14+)

For critical \mathcal{U} -bootstrap percolation with difficulty α we have

$$\tau_0 = \exp \left(\Theta(1) \frac{1}{p^\alpha} \left(\log \frac{1}{p} \right)^{\gamma'} \right),$$

where $\gamma' = 0$ if \mathcal{U} is balanced and $\gamma' = 2$ if it is unbalanced.

Bootstrap percolation
Kinetically constrained models
Further directions
Conclusion

Refined universality for critical families
Higher dimensions
Out of equilibrium

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A critical update family is *rooted* if it has two non-opposite hard directions and *unrooted* otherwise. Families with one hard direction are *semi-directed*, while those with no hard directions are *isotropic*.

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where the exponents β, γ, δ are given in the table below.

	Infinite stable dir.	Finite stable dir.	
		Rooted	Unrooted
Unbalanced	2, 4, 0	1, 3, 0	1, 2, 0
Balanced	2, 0, 0	1, 1, 0	1, 0, 1 S.-dir. Iso. 1, 0, 0

Higher dimensions

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Theorem (3 × Balister–Bollobás–Morris–Smith'22+)

Bootstrap percolation universality statements for supercritical and subcritical families extend to higher dimensions modulo adapting the definition as needed. For every critical family there exists an integer $1 \leq r \leq d - 1$ such that

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For KCM the analogous universality result (with a rooted/unrooted distinction for supercritical families) is not known. More precisely, the upper bounds for supercritical and critical families are still missing.

Out of equilibrium

Out of equilibrium

Theorem (H-F. Toninelli'22+)

For any update family \mathcal{U} which is not trivial subcritical there exist $p_0 < 1$ and $C > 0$ such that for any $p > p_0$ the following holds for the \mathcal{U} -KCM on $[-L, L]^d$ with infected boundary condition. For any $\delta \in (0, 1)$ and L large enough depending on δ

$$t_{\text{mix}}(\delta)/L \in [1/C, C].$$

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- KCM universality in higher dimensions.

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- Limit shapes, cutoff.
- Sharp phase transition of (2d) subcritical models.
- Determine or even conjecture which subcritical models exhibit a continuous phase transition.

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Thank you.

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