

# Bisection for Kinetically Constrained Models<sup>1</sup>

**Ivailo Hartarsky**

CEREMADE, Université Paris Dauphine, PSL University

22 October 2021

Rencontres de Probabilités, Rouen

---

<sup>1</sup>Supported by ERC Starting Grant 680275 MALIG

# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .

$$\mathcal{L}(f)(\eta) = \sum_{x \in \mathbb{Z}} \mathbb{1}_{\eta_{x-1} = \bullet} (\pi_x(f)(\eta) - f(\eta)).$$

# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .

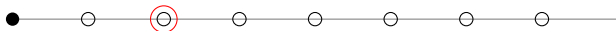


## East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

### East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .



## East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

### East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .



# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .



# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .



# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .



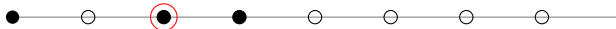


# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .



# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .



# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .



# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .



# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .



# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .



# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .



# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .





# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .



# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .



# East

- $\{o, \bullet\}^{\mathbb{Z}}$  state space.
- $q \in [0, 1]$  equilibrium density of  $\bullet$ . (Think of  $q$  small.)
- $Ber(q)^{\otimes \mathbb{Z}}$  is a reversible measure.

## East model (Jäckle–Eisinger'91)

The state of each site  $x \in \mathbb{Z}$  is resampled independently at rate 1 from  $Ber(q)$ . However, the update is rejected unless  $x - 1$  is in state  $\bullet$ .

## Theorem

(Aldous–Diaconis'02, Cancrini–Martinelli–Roberto–Toninelli'08)

$$T_{\text{rel}} \leq \exp(C \log^2(1/q))$$

## Lemma (Two-block Poincaré inequality of CMRT)

Let  $X_1$  and  $X_2$  be two independent RV valued in the finite sets  $\mathbb{X}_1, \mathbb{X}_2$ .  
Let  $\mathcal{H} \subset \mathbb{X}_1$  with  $p := \mathbb{P}(X_1 \in \mathcal{H}) > 0$ . Then for any  $f : \mathbb{X}_1, \mathbb{X}_2 \rightarrow \mathbb{R}$

$$\text{Var}(f) \leq \frac{1}{1 - \sqrt{1 - p}} \mathbb{E}[\text{Var}(f|X_2) + \mathbb{1}_{X_1 \in \mathcal{H}} \text{Var}(f|X_1)]$$

## Lemma (Two-block Poincaré inequality of CMRT)

Let  $X_1$  and  $X_2$  be two independent RV valued in the finite sets  $\mathbb{X}_1, \mathbb{X}_2$ . Let  $\mathcal{H} \subset \mathbb{X}_1$  with  $p := \mathbb{P}(X_1 \in \mathcal{H}) > 0$ . Then for any  $f : \mathbb{X}_1, \mathbb{X}_2 \rightarrow \mathbb{R}$

$$\text{Var}(f) \leq \frac{1}{1 - \sqrt{1 - p}} \mathbb{E}[\text{Var}(f|X_2) + \mathbb{1}_{X_1 \in \mathcal{H}} \text{Var}(f|X_1)]$$

Idea:  $e^{-1/T_{\text{rel}}} = \lim_{t \rightarrow \infty} (d_{\text{TV}}(\mu_t, \pi))^{1/t}$ .

## Probabilistic proof

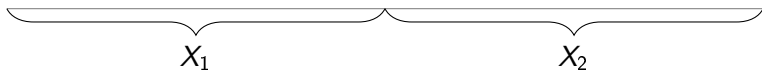
Two chains couple as soon as we update  $X_1$  so that  $\mathcal{H}$  occurs and then  $X_2$ . There are  $\lfloor N/2 \rfloor$  attempted updates at  $X_2$  preceded by an update at  $X_1$ , where  $N \sim \mathcal{P}(t)$ . Each succeeds with probability  $p$ , so

$$\begin{aligned} d_{\text{TV}}(\mu_t, \pi) &\leq \mathbb{P}(\text{not coupled at time } t) \leq \mathbb{E} \left[ (1 - p)^{\lfloor N/2 \rfloor} \right] \\ &\approx \mathbb{E} \left[ \left( \sqrt{1 - p} \right)^N \right] = \exp \left( -t \left( 1 - \sqrt{1 - p} \right) \right). \end{aligned}$$

## Lemma (Two-block Poincaré inequality of CMRT)

Let  $X_1$  and  $X_2$  be two independent RV valued in the finite sets  $\mathbb{X}_1, \mathbb{X}_2$ .  
Let  $\mathcal{H} \subset \mathbb{X}_1$  with  $p := \mathbb{P}(X_1 \in \mathcal{H}) > 0$ . Then for any  $f : \mathbb{X}_1, \mathbb{X}_2 \rightarrow \mathbb{R}$

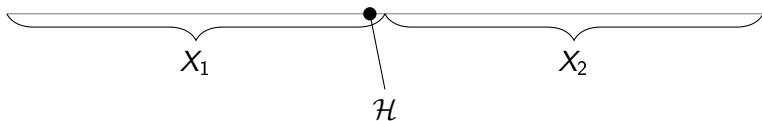
$$\text{Var}(f) \leq \frac{1}{1 - \sqrt{1 - p}} \mathbb{E}[\text{Var}(f|X_2) + \mathbb{1}_{X_1 \in \mathcal{H}} \text{Var}(f|X_1)]$$



## Lemma (Two-block Poincaré inequality of CMRT)

Let  $X_1$  and  $X_2$  be two independent RV valued in the finite sets  $\mathbb{X}_1, \mathbb{X}_2$ .  
Let  $\mathcal{H} \subset \mathbb{X}_1$  with  $p := \mathbb{P}(X_1 \in \mathcal{H}) > 0$ . Then for any  $f : \mathbb{X}_1, \mathbb{X}_2 \rightarrow \mathbb{R}$

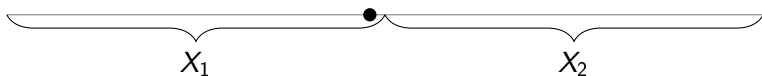
$$\text{Var}(f) \leq \frac{1}{1 - \sqrt{1 - p}} \mathbb{E}[\text{Var}(f|X_2) + \mathbb{1}_{X_1 \in \mathcal{H}} \text{Var}(f|X_1)]$$



## Lemma (Two-block Poincaré inequality of CMRT)

Let  $X_1$  and  $X_2$  be two independent RV valued in the finite sets  $\mathbb{X}_1, \mathbb{X}_2$ .  
 Let  $\mathcal{H} \subset \mathbb{X}_1$  with  $p := \mathbb{P}(X_1 \in \mathcal{H}) > 0$ . Then for any  $f : \mathbb{X}_1, \mathbb{X}_2 \rightarrow \mathbb{R}$

$$\text{Var}(f) \leq \frac{1}{1 - \sqrt{1 - p}} \mathbb{E}[\text{Var}(f|X_2) + \mathbb{1}_{X_1 \in \mathcal{H}} \text{Var}(f|X_1)]$$



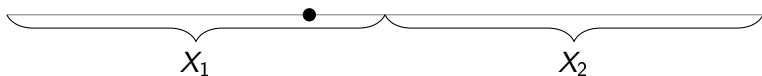
$$\frac{1}{1 - \sqrt{1 - p}} \approx \begin{cases} 2/\varepsilon & \text{if } p = \varepsilon \ll 1, \\ 1 + \sqrt{\varepsilon} & \text{if } 1 - p = \varepsilon \ll 1. \end{cases}$$



## Lemma (Two-block Poincaré inequality of CMRT)

Let  $X_1$  and  $X_2$  be two independent RV valued in the finite sets  $\mathbb{X}_1, \mathbb{X}_2$ . Let  $\mathcal{H} \subset \mathbb{X}_1$  with  $p := \mathbb{P}(X_1 \in \mathcal{H}) > 0$ . Then for any  $f : \mathbb{X}_1, \mathbb{X}_2 \rightarrow \mathbb{R}$

$$\text{Var}(f) \leq \frac{1}{1 - \sqrt{1 - p}} \mathbb{E}[\text{Var}(f|X_2) + \mathbb{1}_{X_1 \in \mathcal{H}} \text{Var}(f|X_1)]$$



$$\frac{1}{1 - \sqrt{1 - p}} \approx \begin{cases} 2/\varepsilon & \text{if } p = \varepsilon \ll 1, \\ 1 + \sqrt{\varepsilon} & \text{if } 1 - p = \varepsilon \ll 1. \end{cases}$$

# General KCM

- Volume  $L \subset \mathbb{Z}$ —finite or infinite;

## General KCM

- Volume  $L \subset \mathbb{Z}$ —finite or infinite;
- for each  $x \in L$  a finite probability space  $(\mathcal{S}_x, \pi_x)$ , an infection event  $\bullet_x \subset \mathcal{S}_x$ ;

## General KCM

- Volume  $L \subset \mathbb{Z}$ —finite or infinite;
- for each  $x \in L$  a finite probability space  $(\mathcal{S}_x, \pi_x)$ , an infection event  $\bullet_x \subset \mathcal{S}_x$ ;
- uniform bound  $\inf_{x \in L} \pi_x(\bullet_x) \geq q > 0$  on the infection probability;

## General KCM

- Volume  $L \subset \mathbb{Z}$ —finite or infinite;
- for each  $x \in L$  a finite probability space  $(\mathcal{S}_x, \pi_x)$ , an infection event  $\bullet_x \subset \mathcal{S}_x$ ;
- uniform bound  $\inf_{x \in L} \pi_x(\bullet_x) \geq q > 0$  on the infection probability;
- boundary condition  $\omega$ ;

## General KCM

- Volume  $L \subset \mathbb{Z}$ —finite or infinite;
- for each  $x \in L$  a finite probability space  $(\mathcal{S}_x, \pi_x)$ , an infection event  $\bullet_x \subset \mathcal{S}_x$ ;
- uniform bound  $\inf_{x \in L} \pi_x(\bullet_x) \geq q > 0$  on the infection probability;
- boundary condition  $\omega$ ;
- for each  $x \in L$  a finite family  $\mathcal{U}_x$  of finite subsets of  $\mathbb{Z} \setminus \{x\}$

## General KCM

- Volume  $L \subset \mathbb{Z}$ —finite or infinite;
- for each  $x \in L$  a finite probability space  $(\mathcal{S}_x, \pi_x)$ , an infection event  $\bullet_x \subset \mathcal{S}_x$ ;
- uniform bound  $\inf_{x \in L} \pi_x(\bullet_x) \geq q > 0$  on the infection probability;
- boundary condition  $\omega$ ;
- for each  $x \in L$  a finite family  $\mathcal{U}_x$  of finite subsets of  $\mathbb{Z} \setminus \{x\}$

$$\mathcal{L}(f)(\eta) = \sum_{x \in L} \mathbb{1}_{\exists U \in \mathcal{U}_x, \forall y \in U, (\eta \cdot \omega)_y \in \bullet_y} (\pi_x(f)(\eta) - f(\eta));$$

## General KCM

- Volume  $L \subset \mathbb{Z}$ —finite or infinite;
- for each  $x \in L$  a finite probability space  $(\mathcal{S}_x, \pi_x)$ , an infection event  $\bullet_x \subset \mathcal{S}_x$ ;
- uniform bound  $\inf_{x \in L} \pi_x(\bullet_x) \geq q > 0$  on the infection probability;
- boundary condition  $\omega$ ;
- for each  $x \in L$  a finite family  $\mathcal{U}_x$  of finite subsets of  $\mathbb{Z} \setminus \{x\}$

$$\mathcal{L}(f)(\eta) = \sum_{x \in L} \mathbb{1}_{\exists U \in \mathcal{U}_x, \forall y \in U, (\eta \cdot \omega)_y \in \bullet_y} (\pi_x(f)(\eta) - f(\eta));$$

- uniform bound  $\sup_{x \in L, U \in \mathcal{U}_x, y \in U} |x - y| \leq R < \infty$  on the range;



# General KCM

- Volume  $L \subset \mathbb{Z}$ —finite or infinite;
- for each  $x \in L$  a finite probability space  $(\mathcal{S}_x, \pi_x)$ , an infection event  $\bullet_x \subset \mathcal{S}_x$ ;
- uniform bound  $\inf_{x \in L} \pi_x(\bullet_x) \geq q > 0$  on the infection probability;
- boundary condition  $\omega$ ;
- for each  $x \in L$  a finite family  $\mathcal{U}_x$  of finite subsets of  $\mathbb{Z} \setminus \{x\}$

$$\mathcal{L}(f)(\eta) = \sum_{x \in L} \mathbb{1}_{\exists U \in \mathcal{U}_x, \forall y \in U, (\eta \cdot \omega)_y \in \bullet_y} (\pi_x(f)(\eta) - f(\eta));$$

- uniform bound  $\sup_{x \in L, U \in \mathcal{U}_x, y \in U} |x - y| \leq R < \infty$  on the range;
- an irreducible component  $\mathcal{C} \subset \prod_{x \in L} \mathcal{S}_x$  of the dynamics.

## Result

### Theorem

*There exists  $C_R > 0$  such that*

$$T_{\text{rel}} \leq (2/q)^{C_R \log(\min(2/q, |L|))}.$$

## Result

### Theorem

*There exists  $C_R > 0$  such that*

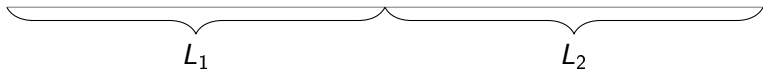
$$T_{\text{rel}} \leq (2/q)^{C_R \log(\min(2/q, |L|))}.$$

We focus on proving

$$T_{\text{rel}} \leq (2/q)^{C_R \log |L|}.$$

## Lemma (Two-block dynamics)

*Update  $L_1$  at rate 1 conditionally on the irreducible component induced by  $\eta_{L_2 \setminus L_1}$  and similarly for  $L_2$ . The relaxation time of this chain is at most  $(2/q)^{C_R}$ .*



## Lemma (Two-block dynamics)

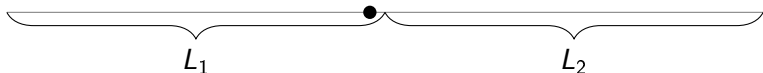
*Update  $L_1$  at rate 1 conditionally on the irreducible component induced by  $\eta_{L_2 \setminus L_1}$  and similarly for  $L_2$ . The relaxation time of this chain is at most  $(2/q)^{C_R}$ .*



Couple two copies of the chain, by asking to get the most • possible next to the middle in  $R$  consecutive alternating updates.

## Lemma (Two-block dynamics)

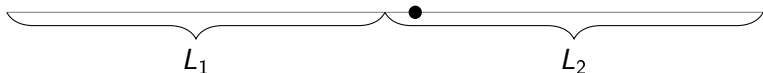
Update  $L_1$  at rate 1 conditionally on the irreducible component induced by  $\eta_{L_2 \setminus L_1}$  and similarly for  $L_2$ . The relaxation time of this chain is at most  $(2/q)^{C_R}$ .



Couple two copies of the chain, by asking to get the most • possible next to the middle in  $R$  consecutive alternating updates.

## Lemma (Two-block dynamics)

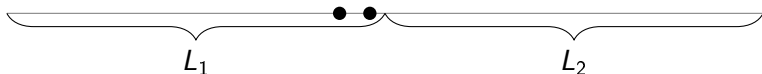
Update  $L_1$  at rate 1 conditionally on the irreducible component induced by  $\eta_{L_2 \setminus L_1}$  and similarly for  $L_2$ . The relaxation time of this chain is at most  $(2/q)^{C_R}$ .



Couple two copies of the chain, by asking to get the most  $\bullet$  possible next to the middle in  $R$  consecutive alternating updates.

## Lemma (Two-block dynamics)

*Update  $L_1$  at rate 1 conditionally on the irreducible component induced by  $\eta_{L_2 \setminus L_1}$  and similarly for  $L_2$ . The relaxation time of this chain is at most  $(2/q)^{C_R}$ .*

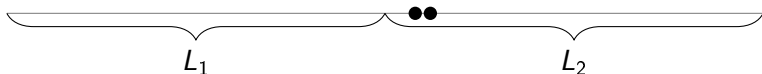


Couple two copies of the chain, by asking to get the most • possible next to the middle in  $R$  consecutive alternating updates.



## Lemma (Two-block dynamics)

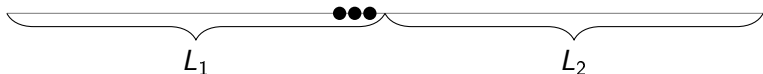
*Update  $L_1$  at rate 1 conditionally on the irreducible component induced by  $\eta_{L_2 \setminus L_1}$  and similarly for  $L_2$ . The relaxation time of this chain is at most  $(2/q)^{C_R}$ .*



Couple two copies of the chain, by asking to get the most • possible next to the middle in  $R$  consecutive alternating updates.

## Lemma (Two-block dynamics)

*Update  $L_1$  at rate 1 conditionally on the irreducible component induced by  $\eta_{L_2 \setminus L_1}$  and similarly for  $L_2$ . The relaxation time of this chain is at most  $(2/q)^{C_R}$ .*

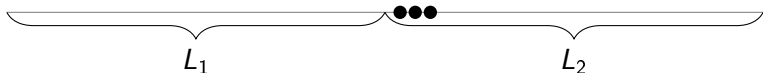


Couple two copies of the chain, by asking to get the most  $\bullet$  possible next to the middle in  $R$  consecutive alternating updates.

Couple the parts when they have identical boundary condition and irreducible component.

## Lemma (Two-block dynamics)

*Update  $L_1$  at rate 1 conditionally on the irreducible component induced by  $\eta_{L_2 \setminus L_1}$  and similarly for  $L_2$ . The relaxation time of this chain is at most  $(2/q)^{C_R}$ .*



Couple two copies of the chain, by asking to get the most  $\bullet$  possible next to the middle in  $R$  consecutive alternating updates.

Couple the parts when they have identical boundary condition and irreducible component.

Thank you.

?