

# Counting rooted planar quadrangulations

## 2. The functional equation in polynomial form

$$\text{In[44]:= } P[Q_-, q_-, t_-, u_-] = \underset{\text{Factorise}}{\text{Factor}} \left[ u \left( Q - 1 - u Q^2 - t \frac{Q - 1 - u q}{u} \right) \right]$$

$$\text{Out[44]= } t - Q t - u + Q u + q t u - Q^2 u^2$$

## 3. Differentiating with respect to Q

$$\begin{aligned} P^{(1,0,0,0)}[Q[U], Q_1, t, U] &= 0 \\ -t + U - 2U^2 Q[U] &= 0 \end{aligned}$$

Existence of a solution : this equation amounts to  $U=t+2U^2 Q[U]$  which has a unique solution by a fixed-point argument

## 4. The two other equations

$$\begin{aligned} P^{(0,0,0,1)}[Q[U], Q_1, t, U] &= 0 \\ P[Q[U], Q_1, t, U] &= 0 \\ -1 + Q[U] - 2UQ[U]^2 + tQ_1 &= 0 \\ t - U - tQ[U] + UQ[U] - U^2Q[U]^2 + tUQ_1 &= 0 \end{aligned}$$

## 5. Manipulation of the polynomial system

We first express  $Q[U]$  in terms of  $U$  by the first equation

$$\begin{aligned} \text{Solve}[P^{(1,0,0,0)}[Q[U], Q_1, t, U] = 0, Q[U]] [[1]] \\ \text{résous} \\ \{Q[U] \rightarrow \frac{-t + U}{2U^2}\} \end{aligned}$$

We then substitute in the second equation and solve for  $Q_1$

$$\begin{aligned} \text{Solve}[(P^{(0,0,0,1)}[Q[U], Q_1, t, U] = 0) / . \{Q[U] \rightarrow \frac{-t + U}{2U^2}\}, Q_1] [[1]] \\ \text{résous} \\ \{Q_1 \rightarrow \frac{t^2 - tU + 2U^3}{2tU^3}\} \end{aligned}$$

Finally we substitute all this in the third equation and solve for U (and pick the correct determination)

$$\begin{aligned} \text{Factor}\left[P[Q[U], Q_1, t, U] = 0 / . \{Q[U] \rightarrow \frac{-t+U}{2U^2}, Q_1 \rightarrow \frac{t^2-tU+2U^3}{2tU^3}\}\right] \\ \text{Simplify}[Solve[\%, U], Assumptions \rightarrow t > 0][[1]] \\ \frac{3t^2 - 4tU + U^2 + 4tU^2}{4U^2} = 0 \\ \{U \rightarrow -\frac{(-2 + \sqrt{1 - 12t})t}{1 + 4t}\} \end{aligned}$$

And now we plug this in  $Q_1$ , and compute the first few terms

$$\begin{aligned} \text{FullSimplify}\left[\{Q_1 \rightarrow \frac{t^2 - tU + 2U^3}{2tU^3}\} / . \{U \rightarrow -\frac{(-2 + \sqrt{1 - 12t})t}{1 + 4t}\}, Assumptions \rightarrow t > 0\right] \\ \text{Series}[Q_1 / . \%, \{t, 0, 10\}] \\ \{Q_1 \rightarrow \frac{-1 + \sqrt{1 - 12t} + 6(3 - 2\sqrt{1 - 12t})t}{54t^2}\} \\ 1 + 2t + 9t^2 + 54t^3 + 378t^4 + 2916t^5 + 24057t^6 + \\ 208494t^7 + 1876446t^8 + 17399772t^9 + 165297834t^{10} + 0[t]^{11} \end{aligned}$$

Compare with the explicit formula

$$\begin{aligned} \text{Table}\left[\frac{2 \times 3^n (2n)!}{n! (n+2)!}, \{n, 0, 10\}\right] \\ \{1, 2, 9, 54, 378, 2916, 24057, 208494, 1876446, 17399772, 165297834\} \end{aligned}$$

## 6. Rational parametrization

We return to our quadratic equation relation U and perform the substitution

$$\text{Solve}\left[\frac{3t^2 - 4tU + U^2 + 4tU^2}{4U^2} = 0 \text{ / . } U \rightarrow \frac{R-1}{R(2+R)}, t\right][[1]]$$

$$\text{Simplify}\left[\{Q_1 \rightarrow \frac{t^2 - tU + 2U^3}{2tU^3}\} \text{ / . } \{U \rightarrow \frac{R-1}{R(2+R)}\} \text{ / . \%}\right]$$

$$\{t \rightarrow \frac{-1+R}{3R^2}\}$$

$$\{Q_1 \rightarrow -\frac{1}{3}(-4+R)R\}$$

## 7. Returning to the initial functional equation and computing the discriminant

$$\text{In[45]:= } \text{funQRu} = \text{Factor}\left[P[Q, Q_1, t, u] \text{ / . } \{t \rightarrow \frac{-1+R}{3R^2}, Q_1 \rightarrow -\frac{1}{3}(-4+R)R\}\right]$$

$$\text{Factor}[\text{Discriminant}[\%, Q]]$$

$$\text{Out[45]= } -\frac{3 - 3Q - 3R + 3QR + 4Ru + 4R^2u - 9QR^2u + R^3u + 9Q^2R^2u^2}{9R^2}$$

$$\text{Out[46]= } -\frac{(-1 + 4Ru)(1 - R + 2Ru + R^2u)^2}{9R^4}$$

Notice the square factor

## 8. The one-cut form

We simply check the announced form now

$$\text{In[47]:= } \text{Qonecut} := \frac{(1 - R + 3R^2u) - (1 - R + 2Ru + R^2u)\sqrt{1 - 4Ru}}{6R^2u^2}$$

$$\text{Simplify}[\text{funQRu} \text{ / . } Q \rightarrow \text{Qonecut}]$$

$$\text{Out[48]= } 0$$

## 9. Expansion in $u$

```
In[49]:= Series[Qonecut, {u, 0, 10}]
[développement en série entière

% - Sum[ (2 + R) CatalanNumber[k] + (1 - R) CatalanNumber[k + 1]
[somme]                                     R^k u^k, {k, 0, 10}]

Out[49]= 1 + (4 R - R^2) u + (3 R^2 - R^3) u^2 + (8 R^3 - 3 R^4) u^3 + (70 R^4 - 28 R^5) u^4 +
(72 R^5 - 30 R^6) u^5 + (231 R^6 - 99 R^7) u^6 + (2288 R^7 - 1001 R^8) u^7 +
(2574 R^8 - 1144 R^9) u^8 + (8840 R^9 - 3978 R^10) u^9 + (92378 R^10 - 41990 R^11) u^10 + O[u]^11

Out[50]= O[u]^11
```

## Basketball walks

### Kernel and right-hand side

```
In[1]:= K[t_, u_] := 1 - t (u^-2 + u^-1 + u + u^2)
R[t_, u_] := t (1 + u) - t/(u) (G1 + G2 + G1/u)
```

### The initial terms as functions of the roots

```
In[7]:= Solve[{R[t, U1] == 0, R[t, U2] == 0}, {G1, G2}]
[résous

Out[7]= {{G1 -> -U1 U2 (1 + U1 + U2), G2 -> U1 + U1^2 + U2 + 2 U1 U2 + U1^2 U2 + U2^2 + U1 U2^2}}
```

Actually we may write  $G_1$  as a polynomial in the symmetric functions  
 $e_1 = U_1 + U_2$ ,  $e_2 = U_1 U_2$

```
In[8]:= SymmetricReduction[G1 /. %[[1]], {U1, U2}, {e1, e2}] [[1]]
[réduction symétrique

Out[8]= -e2 - e1 e2
```

Series expansion of  $U_{1,2}$ , here  $\tau = t^{1/2}$  or  $-t^{1/2}$

$$\begin{aligned} \text{InverseSeries}[\text{Series}[u/\text{Sqrt}[1+u+u^3+u^4], \{u, 0, 20\}] /. u \rightarrow \tau] \\ \text{série inverse} \quad \text{développe...} \quad \text{racine carrée} \\ \tau + \frac{\tau^2}{2} + \frac{\tau^3}{8} + \frac{\tau^4}{2} + \frac{159 \tau^5}{128} + \frac{3 \tau^6}{2} + \frac{1761 \tau^7}{1024} + \frac{7 \tau^8}{2} + \frac{229819 \tau^9}{32768} + 11 \tau^{10} + \\ \frac{4551367 \tau^{11}}{262144} + \frac{65 \tau^{12}}{2} + \frac{256435147 \tau^{13}}{4194304} + \frac{213 \tau^{14}}{2} + \frac{6269791041 \tau^{15}}{33554432} + \frac{693 \tau^{16}}{2} + \\ \frac{1386188792787 \tau^{17}}{2147483648} + 1176 \tau^{18} + \frac{36980416515147 \tau^{19}}{17179869184} + 4017 \tau^{20} + 0[\tau]^{21} \end{aligned}$$

## An algebraic equation for $G_1$

Notice that the equation  $K[t,U]=0$  can be rewritten as the system

$$X=U+1/U$$

$$1=t(X^2+X-2)$$

The second equation admits two roots  $X_1$  and  $X_2$ , and it is not difficult to check that each of them fixes one of the  $U_i$ ,

$$X_1 := U_1 + 1/U_1$$

$$X_2 := U_2 + 1/U_2$$

(\* since  $X_1$  and  $X_2$  are the two roots of  $X^2+X-2-1/t$ ,

we have  $X_1X_2=-2-1/t$  and  $X_1+X_2=-1$  \*)

(\* but  $X_1X_2$  and  $X_1+X_2$  are also symmetric functions in  $U_1$  and  $U_2$ ,  
hence polynomials in  $e_1=U_1+U_2$ ,  $e_2=U_1U_2$  \*)

(\* so we deduce a system of two polynomial equations for  $e_1$  and  $e_2$  \*)

$$\text{Numerator}[\text{Factor}[X_1 X_2 + 2 + 1/t]];$$

$\text{Numérateur}$   $\text{factorise}$

$$\text{SymmetricReduction}[\%, \{U_1, U_2\}, \{e_1, e_2\}][[1]] == 0$$

$\text{réduction symétrique}$

$$\text{Numerator}[\text{Factor}[X_1 + X_2 + 1]];$$

$\text{Numérateur}$   $\text{factorise}$

$$\text{SymmetricReduction}[\%, \{U_1, U_2\}, \{e_1, e_2\}][[1]] == 0$$

$\text{réduction symétrique}$

$$\text{Out}[32] = t + t e_1^2 + e_2 + t e_2^2 == 0$$

$$\text{Out}[34] = e_1 + e_2 + e_1 e_2 == 0$$

Since  $G_1 = -e_2 - e_1 e_2$ , we obtain by elimination an algebraic equation for  $G_1$  which admits a unique “good” solution, whose expansion yields the wanted numbers

$$\text{In}[40] = \text{Eliminate}[\{t + t e_1^2 + e_2 + t e_2^2 == 0, e_1 + e_2 + e_1 e_2 == 0, G_1 == -e_2 - e_1 e_2\}, \{e_1, e_2\}]$$

$\text{Élimine}$

$$\text{Out}[40] = (-1 + 2 t) G_1 + (-1 + 3 t) G_1^2 + 2 t G_1^3 + t G_1^4 == -t$$

```
In[41]:= Solve[% , G1][[2]]
résous
FullSimplify[Series[G1 /. %, {t, 0, 10}], Assumptions -> t > 0]
[simplifie complètement] [développement en série entière] [suppositions]

Out[41]= {G1 ->  $\frac{1}{2} \left( -1 + \sqrt{-3 + \frac{2}{t} - \frac{2 \sqrt{1-4t}}{t}} \right)}$ }

Out[42]= t + t2 + 3 t3 + 7 t4 + 22 t5 + 65 t6 + 213 t7 + 693 t8 + 2352 t9 + 8034 t10 + 0 [t]11
```

And Catalan numbers at the end

```
In[43]:= FullSimplify[(1 + G1 + G12) /. G1 ->  $\frac{1}{2} \left( -1 + \sqrt{-3 + \frac{2}{t} - \frac{2 \sqrt{1-4t}}{t}} \right)$ ]
[simplifie complètement]

Out[43]=  $\frac{2}{1 + \sqrt{1 - 4t}}$ 
```